### Francesco Tosoni

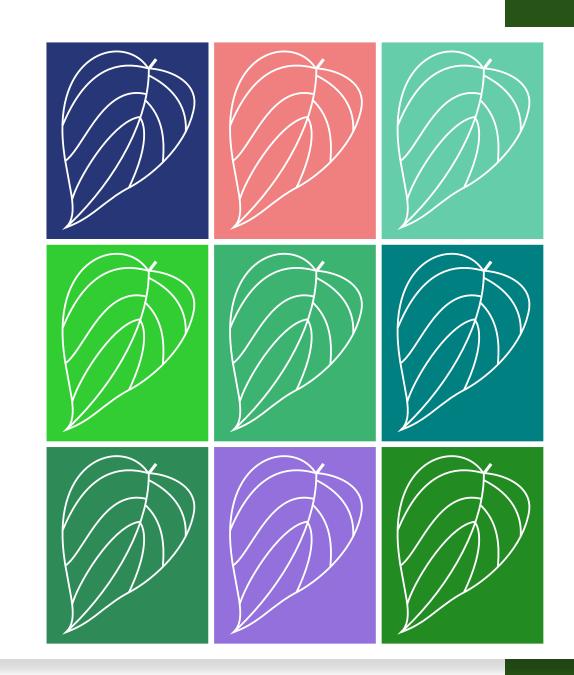
## Toward Greener Matrix Operations by Lossless Compressed Formats

Learning from large, complex and structured data: advances in methods and applications

4<sup>th</sup> June 2025 Aula Magna, Sant'Anna School of Advanced Studies, Pisa



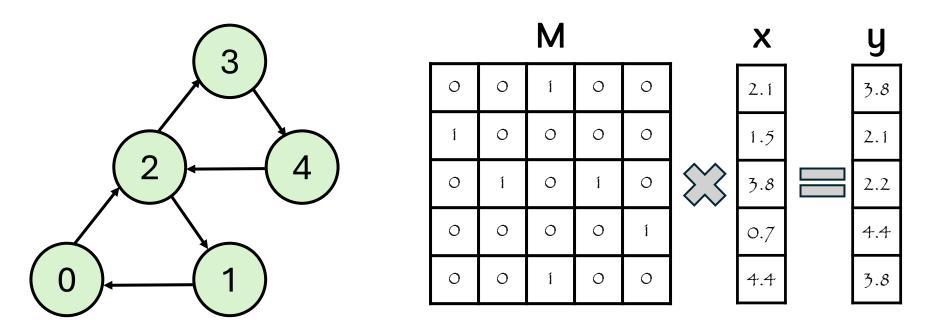




### Introduction

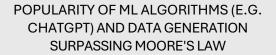
**Sparse Matrix-Vector Multiplication (SpMV)** are relevant in ML, scientific computing, and graph algorithms.

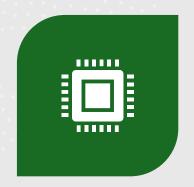
Research focus: Investigate **space**, **time**, and **energy** efficiency of SpMV. We challenge prevailing assumptions about a straightforward linear correlation between time and energy.



# Motivation







AI NOT JUST ON SERVER MACHINES BUT ALSO ON EDGE AND IOT DEVICES → BATTERY DURATION AS CRITICAL CONCERN.



ENERGY AS A LEADING DESIGN
CONSTRAINT IN COMPUTING DEVICES;
HOWEVER, GREEN SOFTWARE
ENGINEERING IS STILL IN ITS INFANCY.



OVERSIMPLIFIED ENERGY COMPLEXITY

MODELS FAIL TO CAPTURE REAL-WORLD

DYNAMICS → NEED FOR

COMPREHENSIVE REFERENCE MODELS



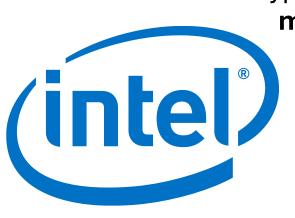
## **Platforms**

Raspberry Pi 4 model B @1.5GHz (4 cores) and a Fluke 8845A benchtop multimeter



VS.

Intel® Core™ i9-7960X CPU
@2.80GHz (16 cores, 2-way
hyperthreading) and a power
meter + the RAPL energy
profiler



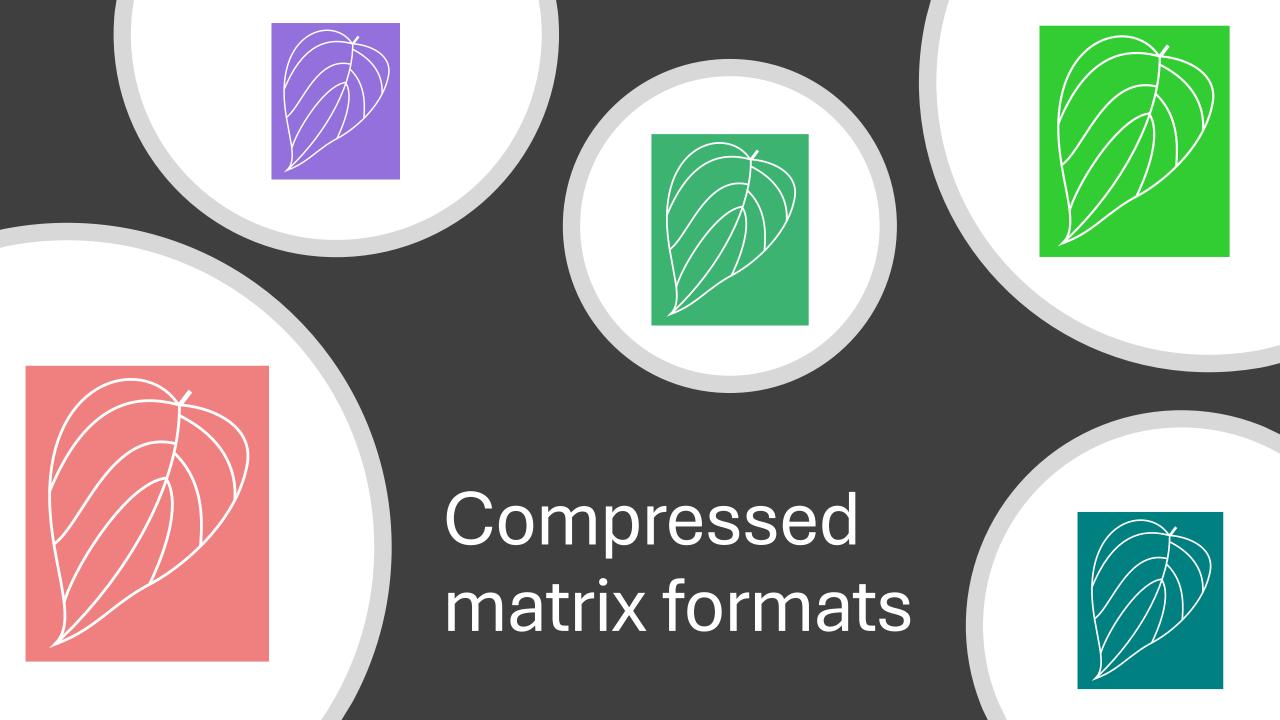
### Research questions

How does compression affect the efficiency of space, time, and energy? Some matrix formats are more space-efficient but consume order of magnitude more energy than others.

What trade-offs exist between time and energy optimisation? Often the energy-optimal parallelism degree is lower than the time-optimal one.

Which runtime metrics impact energy efficiency? The number of L1 and L3 cache accesses impact performances.













## Compressed matrix formats

We compare *three* computation-friendly compression schemes for **large** yet sparse **binary matrices**:

- •Google's Zuckerli (Versari et al., 2020)
- •k<sup>2</sup>-tree (Brisaboa, Ladra, and Navarro 2014)
- •RePair-compressed matrices [mm-repair] (Ferragina et al., 2022)

### Why lossless?

## **Lossy compression** solutions for space reduction:

- Low-precision storage (e.g. FP32)
- Sparsification
- Quantisation (binary, ternary)
- →careful & manual application

## **Lossless compression** is a better "automated" alternative

- data independent
- no need a priori knowledge about the input data.

## Computationfriendly compression

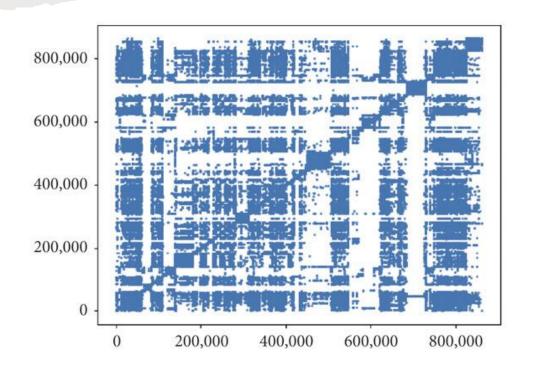
All formats we tested are **computation-friendly** for matrix-vector multiplications:

- Exploit more than mere sparsity
- Enable <u>direct operations</u> on data without prior decompression
- Allow operating in time proportional to the size of the compressed representation (win-win!)

### WebGraph & Zuckerli (1/2)

Exploit redundancies of outgoing links within the same domain.

- **Webgraph** (2004) exploit the copying property of consecutive adjacency lists to compress each list based on a reference list (Java, c++, Rust).
- Google's **Zuckerli** (2020) applies novel compression heuristics on top of Zuckerli.



Adjacency matrix for eu-2005. Figure taken from DOI: 10.1155/2020/2354875

## WebGraph & Zuckerli (2/2)

These graph formats allow for compression-friendly matrix-to-vector multiplications (Francisco et al. 2022).

*Idea:* exploit deltas between similar adjacency lists.

We implement multiplications on top of **Zuckerli**.

Node	Outdegree	Successors
•••	•••	•••
15	11	13, <mark>15, 16, 17</mark> , 18, 19, <mark>23, 24</mark> , 203, <mark>315, 316, 317, 3041</mark>
16	10	15, 16, 17, 22, <mark>23, 24</mark> , <mark>315, 316, 317, 3041</mark>
17	0	
18	5	13, 15, 16, 17, 50
•••	•••	•••

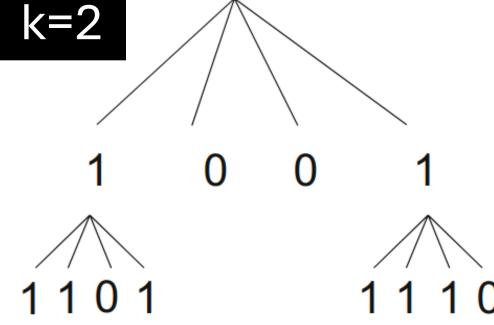
## k<sup>2</sup>-tree (1/3)

Exploit sparsity and clustering of **0**'s.

Submatrices are recursively split into k<sup>2</sup> smaller submatrices.

- 0: empty submatrix;
- 1: non-empty ones.

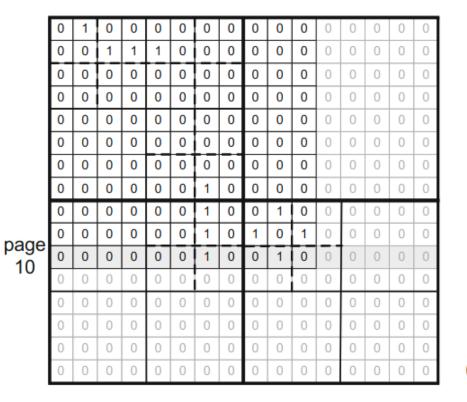
1	1	0	0
0	1	0	0
0	0	1	1
0	0	1	0

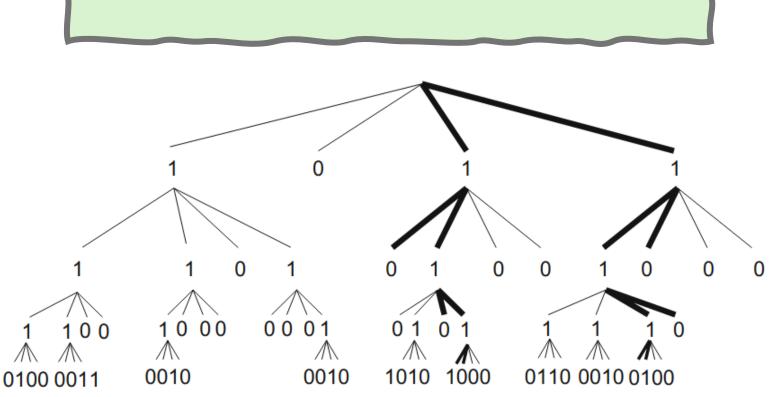


## k<sup>2</sup>-tree (2/3)

Height is always  $\log_k n \rightarrow \text{access}$  to each single cell  $\mathcal{O}(\log_k n)$ .

Matrix-vector **multiplication** = visit to the tree





Retrieving neighbours for page 10



simongog/sdsl-lite

Succinct Data Structure Library 2.0





Millennium Institute Foundational Research on Data

UNIVERSIDADE DA CORUÑA

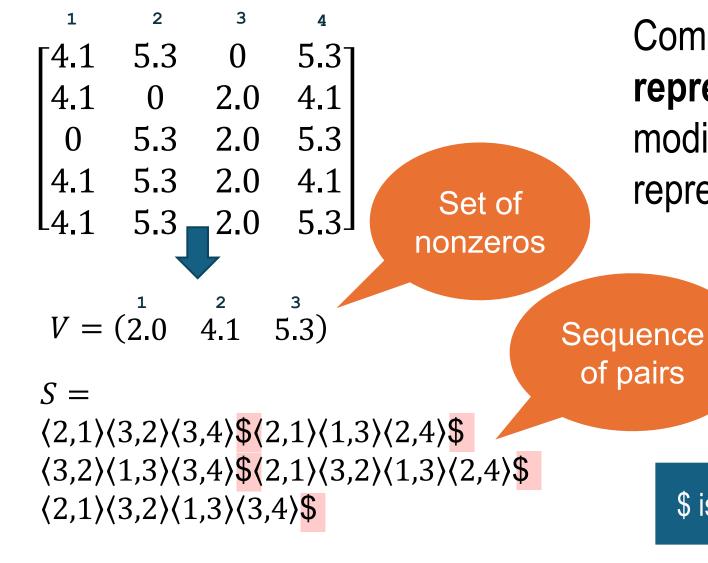
k<sup>2</sup>-tree (3/3)

### Implementations:

University of A Coruña, SDSL library, and one by the University of Chile/Millennium Institute.

Matrix-to-vector multiplications can be implemented by a tree traversal (in any order). There's **no need of rank** and select data structures.

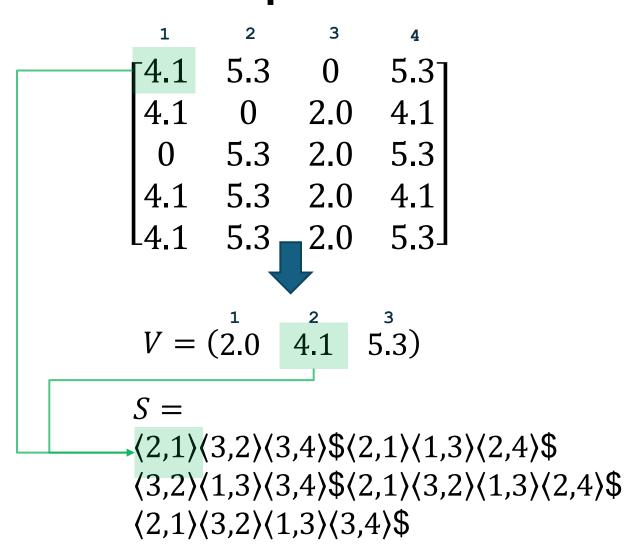
## mm-repair ~ Step #1: exploit sparsity



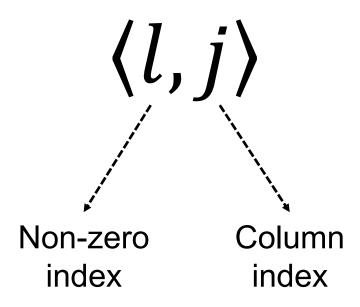
Compute the CSRV representation of a matrix, a modification of the CSR representation.

\$ is the EOL character

## mm-repair ~ Step #1: exploit sparsity



Generate column-value index pairs



## mm-repair ~ Step #1: exploit sparsity

$$\begin{bmatrix}
4.1 & 5.3 & 0 & 5.3 \\
4.1 & 0 & 2.0 & 4.1 \\
0 & 5.3 & 2.0 & 5.3 \\
4.1 & 5.3 & 2.0 & 4.1 \\
4.1 & 5.3 & 2.0 & 5.3
\end{bmatrix}$$

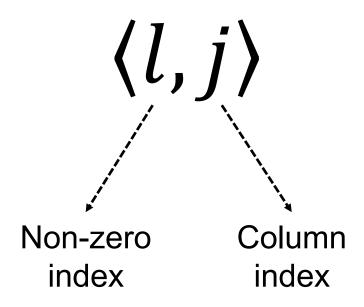
$$V = (2.0 & 4.1 & 5.3)$$

$$S = \begin{cases}
2.1 & 3 \\
2.0 & 5.3
\end{cases}$$

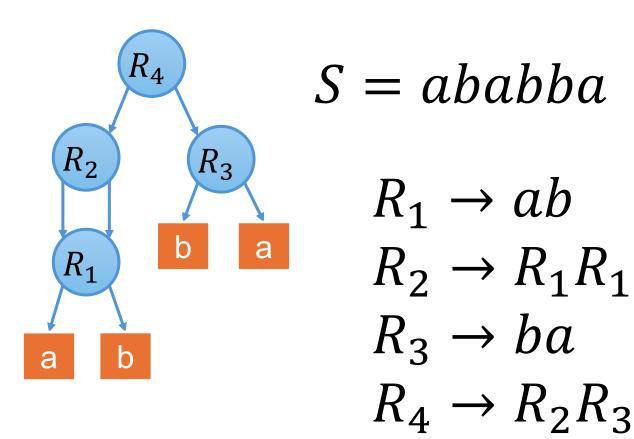
$$S = \begin{cases}
2.1 & 3 \\
3.2 & 3
\end{cases}$$

$$(3,2) & (3,4) & (2,1) & (1,3) & (2,4) & (3,2) & (1,3) & (2,4) & (3,2) & (1,3) & (3,4) & (2,1) & (3,2) & (1,3) & (2,4) & (2,1) & (3,2) & (1,3) & (3,4) & (3,$$

Generate column-value index pairs



In the following, as a (lossless) compressor we use RePair, which is a grammar compressor based on straight-line programs (SLP).



$$V = (\stackrel{1}{2}.0 \quad \stackrel{2}{4}.1 \quad \stackrel{3}{5}.3)$$

$$V = (\stackrel{1}{3}.2)$$

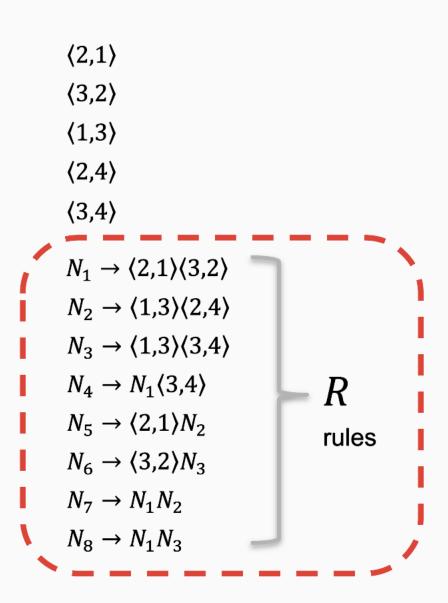
$$V =$$

nonzeros 
$$V = \begin{pmatrix} 1 & 2 & 3 \\ 2.0 & 4.1 & 5.3 \end{pmatrix}$$

$$S = \langle 2,1\rangle\langle 3,2\rangle\langle 3,4\rangle$$
\$\langle 2,1\rangle 1,3\rangle 2,4\rangle\$\$\$ \langle 3,2\rangle 1,3\rangle 3,4\rangle\$\$\$ \langle 2,1\rangle (3,2\rangle 1,3\rangle 3,4\rangle\$\$\$\$ \langle 2,1\rangle (3,2\rangle 1,3\rangle 3,4\rangle\$\$\$\$\$

final string

$$C = N_4 N_5 N_6 N_7 N_8$$



## mm-repair ~ Step #3: multiply

nonzeros  

$$V = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 4.1 & 5.3 \end{pmatrix}$$

$$y = M \begin{pmatrix} 2 \\ 0 \\ 2 \\ 4 \end{pmatrix}$$

$$\langle l,j \rangle \Rightarrow eval_x(\langle l,j \rangle) = V[l] \cdot x[j]$$
  
 $N_i \to AB \Rightarrow eval_x(N_i) = eval(A) + eval(B)$ 

$$\langle 2,1 \rangle$$
 $\langle 3,2 \rangle$ 
 $\langle 1,3 \rangle$ 
 $\langle 2,4 \rangle$ 
 $\langle 3,4 \rangle$ 
 $N_1 \rightarrow \langle 2,1 \rangle \langle 3,2 \rangle$ 
 $N_2 \rightarrow \langle 1,3 \rangle \langle 2,4 \rangle$ 
 $N_3 \rightarrow \langle 1,3 \rangle \langle 3,4 \rangle$ 
 $N_4 \rightarrow N_1 \langle 3,4 \rangle$ 
 $N_5 \rightarrow \langle 2,1 \rangle N_2$ 
 $N_6 \rightarrow \langle 3,2 \rangle N_3$ 
 $N_7 \rightarrow N_1 N_2$ 

rules

 $N_8 \rightarrow N_1 N_3$ 

## mm-repair ~ Step #3: multiply

$$V = (2.0 \quad 4.1 \quad 5.3)$$

$$y = M \begin{pmatrix} 2 \\ 0 \\ 2 \\ 4 \end{pmatrix}$$

$$\langle l,j \rangle \Rightarrow eval_{x}(\langle l,j \rangle) = V[l] \cdot x[j]$$
  
 $N_{i} \rightarrow AB \Rightarrow eval_{x}(N_{i}) = eval(A) + eval(B)$ 

8.2 
$$N_1 \rightarrow \langle 2,1 \rangle \langle 3,2 \rangle$$
  
20.4  $N_2 \rightarrow \langle 1,3 \rangle \langle 2,4 \rangle$   
25.2  $N_3 \rightarrow \langle 1,3 \rangle \langle 3,4 \rangle$   
29.4  $N_4 \rightarrow N_1 \langle 3,4 \rangle$   
28.6  $N_5 \rightarrow \langle 2,1 \rangle N_2$   
25.2  $N_6 \rightarrow \langle 3,2 \rangle N_3$   
28.6  $N_7 \rightarrow N_1 N_2$   
33.4  $N_8 \rightarrow N_1 N_3$ 

R rules

## mm-repair ~ Step #3: multiply

nonzeros
$$V = (2.0 \quad 4.1 \quad 5.3)$$

$$x$$

$$y = M \begin{pmatrix} 2 \\ 0 \\ 2 \\ 4 \end{pmatrix}$$

$$\langle l, j \rangle \Rightarrow eval_x(\langle l, j \rangle) = V[l] \cdot x[j]$$

$$N_i \rightarrow AB \Rightarrow eval_x(N_i) = eval(A) + eval(B)$$

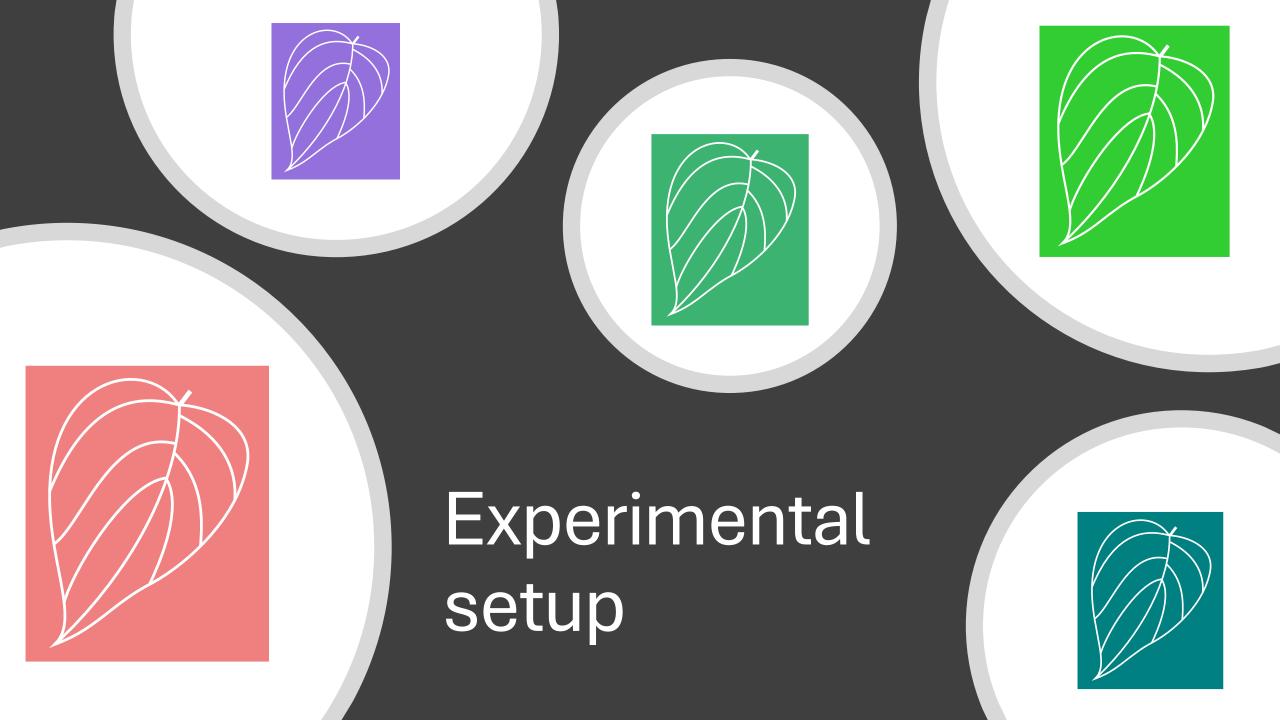
$$O(|R|) \text{ extra space}$$

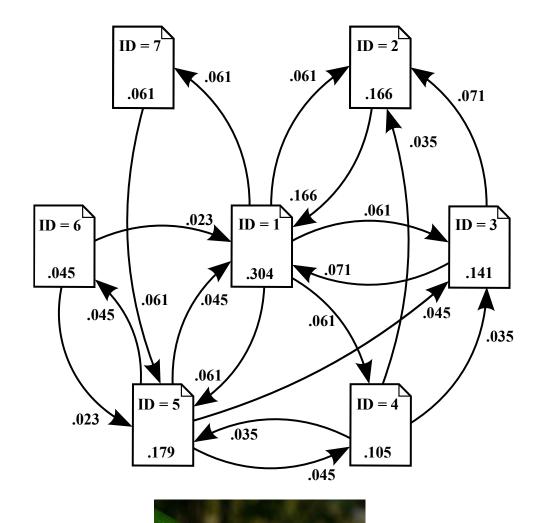
8.2 
$$\langle 2,1 \rangle$$
  
0  $\langle 3,2 \rangle$   
4.0  $\langle 1,3 \rangle$   
16.4  $\langle 2,4 \rangle$   
21.2  $\langle 3,4 \rangle$   
8.2  $N_1 \rightarrow \langle 2,1 \rangle \langle 3,2 \rangle$   
20.4  $N_2 \rightarrow \langle 1,3 \rangle \langle 2,4 \rangle$   
25.2  $N_3 \rightarrow \langle 1,3 \rangle \langle 3,4 \rangle$   
29.4  $N_4 \rightarrow N_1 \langle 3,4 \rangle$   
29.4  $N_4 \rightarrow N_1 \langle 3,4 \rangle$   
 $N_5 \rightarrow \langle 2,1 \rangle N_2$   
 $N_6 \rightarrow \langle 3,2 \rangle N_3$   
 $N_7 \rightarrow N_1 N_2$   
 $N_8 \rightarrow N_1 N_3$ 

	Final string C	Rules R	Nonzeros V
re_32	32 bit	32 bit	64 bit
re_iv	packed arrays	packed arrays	64 bit
re_ans	ANS (entropy coder)	packed arrays	64 bit

## mm-repair ~ physical representations

Different space—time tradeoffs





### PageRank

A classical algorithm in graph analysis.

Teleporting parameter  $(\alpha=0.15)$ 

$$\vec{\pi}_t = \alpha \cdot \vec{\pi}_0 + (1 - \alpha) \cdot \vec{\pi}_{t-1} \cdot M$$

Initial probability distribution

Left matrix-vector multiplication

Dataset	#vertices	#edges	Web graph?
eu-2005	862 664	19 235 140	✓ × ✓ × ✓ ✓ ✓
hollywood-2009	1 139 905	57 515 616	
in-2004	1 382 908	16 917 053	
ljournal-2008	5 363 260	79 023 142	
indochina-2004	7 414 866	194 109 311	
uk-2002	18 520 486	298 113 762	
arabic-2005	22 744 080	639 999 458	✓
uk-2005	39 459 925	936 364 282	✓
it-2004	41 291 594	1 150 725 436	✓

From the WebGraph framework, via the SuiteSparse Matrix Collection. Most graphs are derived from **web crawls**, with vertices ordered by the reversed URL lexicographically.

### Datasets

### We also included two **social network** graphs:

- hollywood-2009: An undirected graph representing movie actors, where edges connect actors who co-starred in films.
- ljournal-2008: A directed graph illustrating asymmetric friendships in the LiveJournal social network.

## Specifications



### Intel® Core™ i9-7960X

•CPU: single-socket Intel® Core™ i9-7960X

@2.80GHz

•Core: 16 physical cores (2-way Intel® Hyper-

Threading)

### **Memory**:

•Total RAM: 384 GB (12 x 32 GB DDR4)

•Velocità: 2666 MT/s

### **Operating system:**

•Ubuntu 22.04.3 LTS (64 bit)

#### Cache architecture:

•L1d: 32 KiB (8-way set associative)

•L1i: 32 KiB (8-way set associative)

•L2: 1024 MiB (16-way set associative)

•L3: 22 MiB (11-way set associative)

### Raspberry Pi 4 Model B

•CPU: 4 x ARM Cortex-A72 @ 1.5GHz

•Cores: 4 physical cores

### Memory:

Total RAM: 4 GB LPDDR4 SDRAM

### **Operating system:**

•Ubuntu Server 24.04 LTS (64-bit)

#### Cache architecture:

•L1d: 128 KiB per core; L1i: 192 KiB per core

•L2: 1 MB shared (16-way set associative)

•No L3!

Datasets Used: ≤10<sup>7</sup> vertices

### Code setup



### **Preprocessing steps**

Transposed the matrix

Compress via Zuckerli, k2-tree, mm-repair

Store out-degree array O[1, n] for each vertex



### **Experiment execution**

Executed 100 iterations of PageRank

Repeated for each compression format and dataset



### **Code optimisation**

All codes written in C/C++

Compiled with -O3 flag for maximum optimization → energy savings (<43% compared to -O0)

1.2	3.4	5.6	0	2.3
2.3	0	2.3	4.5	1.7
1.2	3.4	2.3	4.5	0
3.4	0	5.6	0	2.3
2.3	0	2.3	4.5	0
1.2	3.4	2.3	4.5	3.4

### Data parallelism

We exploit multicore architectures with data-parallel versions.

We divide each matrix into *b* row blocks. *y*=M*x* consists of *b* independent multiplications over a single block.

We use C/C++ with POSIX Threads (Pthreads) and fork-join mechanisms





## Power measurement on the Intel® Core™ i9-7960X

Measurement of **power** consumption using a **PZEM-016** multimeter (~€20). Within the power range of our machine, it provides estimations with an error of 0.5%.

**Intel® RAPL** (Running Average Power Limit) interface, accessible via the Linux perf profiler (version 5.15.149).

- Package-level energy estimation: includes cores, memory controller, last-level cache, and other components.
- Accuracy is disputed. Integrated with tools such as Scaphandre, CodeCarbon, and Green Metrics Tool, but recent contributions in literature have shown that RAPL can make errors of up to 150%.
- Integrated into perf, which also allows for counting CPU cycles, cache hits/misses, and instruction counts.

## Power Measurement on Raspberry Pi 4

The voltage (5V) is constant  $\Rightarrow$  power is proportional to current.

We sample supply **current** via a **Fluke 8845A** benchtop multimeter (~€1000).

- The multimeter is connected in series with the USB-C power cable.
- Range: R = 10A
- Resolution: 4½ digits
- Measurement uncertainty:  $\epsilon=k_1i+k_2R$  with  $k_1=0.15\%$  and  $k_2=0.0080\%$
- Sampling frequency:  $3s^{-1}$ .



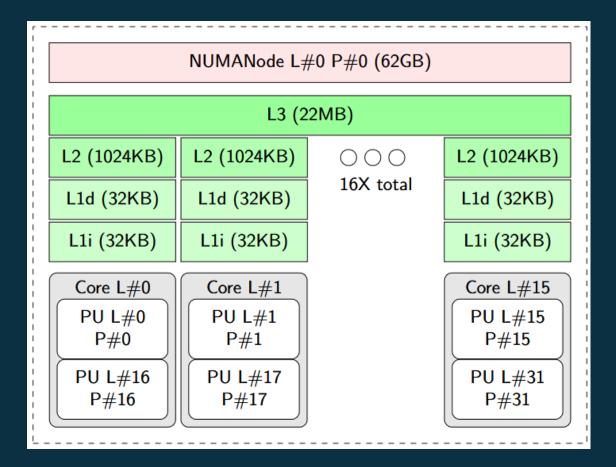


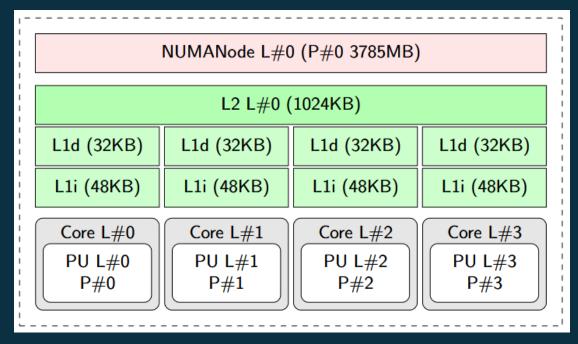
## Reproducibility Setup

- Dedicated machines
- Problem size limited to main memory (RAM) to avoid swapping ⇒ smaller datasets on Raspberry Pi
- CPU affinity: pthread\_setaffinity\_np to assign threads to logical cores ⇒ maximise parallelism, including through Hyper-Threading.
- Fixed-speed fans: maximum speed for constant power consumption.
- Fixed CPU frequency via DVFS powersave.
- Verification of PageRank result consistency across different matrix formats.

**Objective**: Reliable and reproducible measurements of our PageRank algorithm's energy consumption."

## Architectures: lstopo

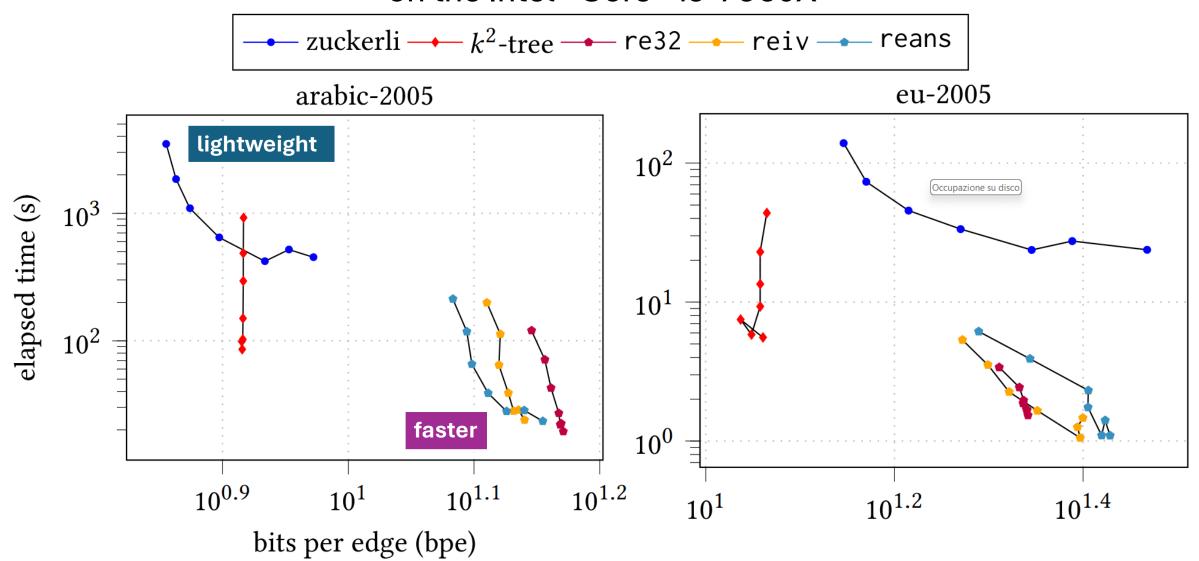


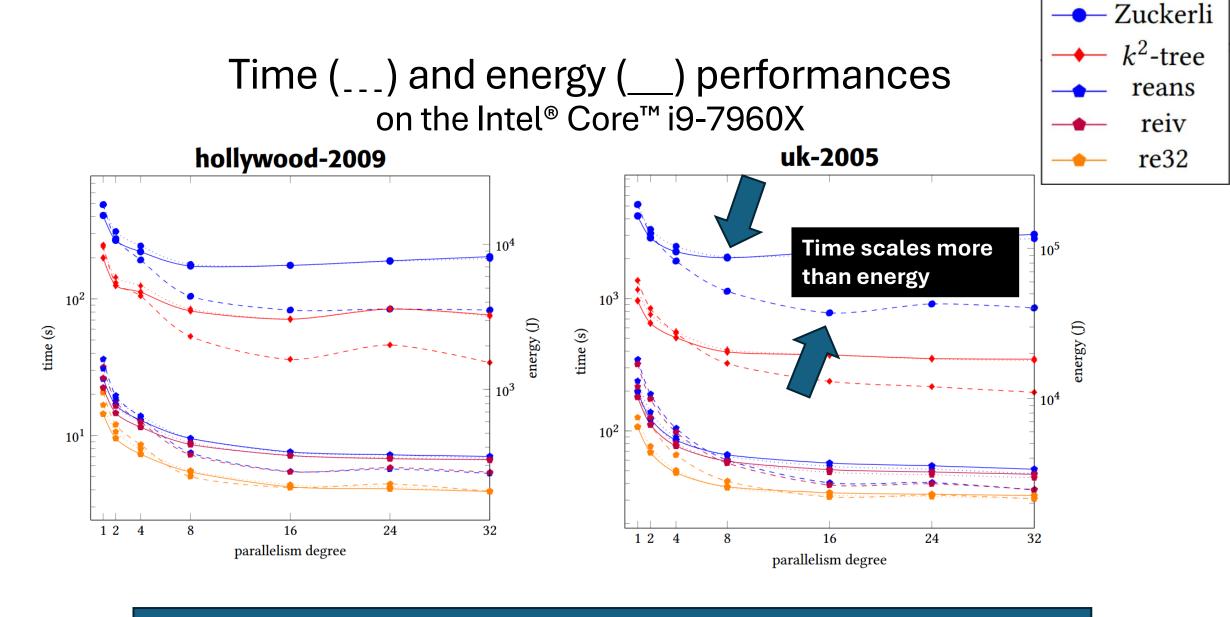


Raspberry Pi 4



## Space-time Performances on the Intel® Core™ i9-7960X

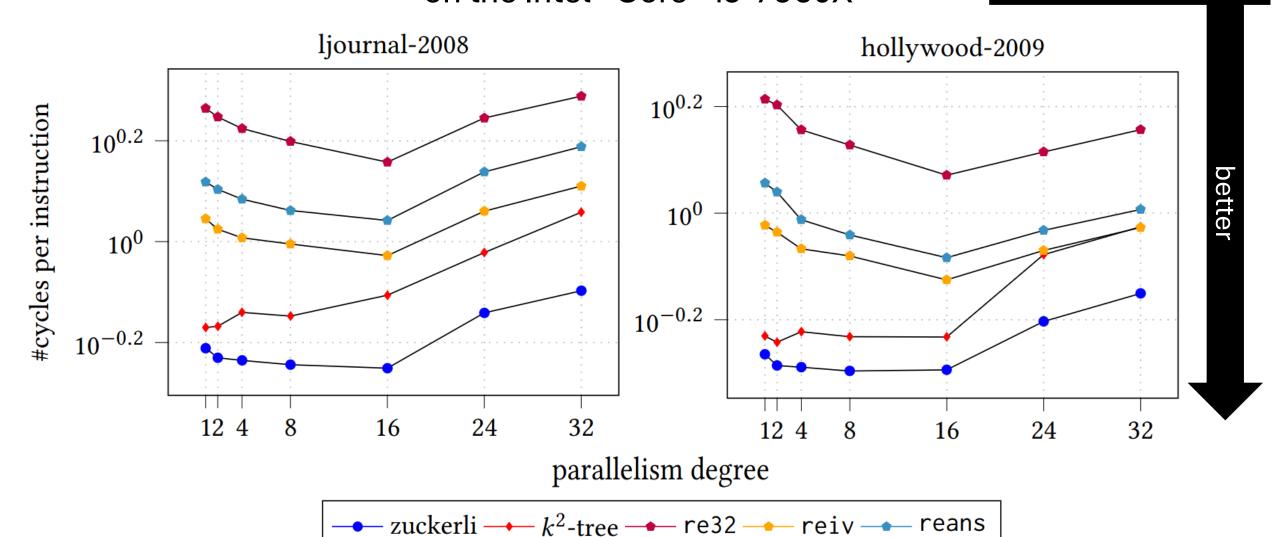


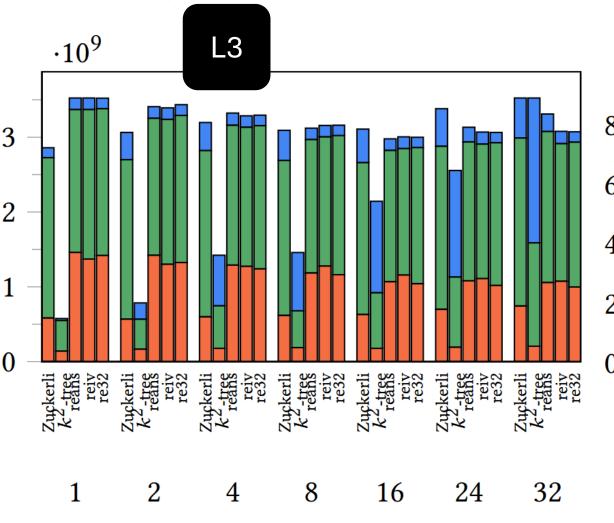


Multi-criteria approach in multi-threaded applications (e.g., selecting the fastest solution within energy constraints)

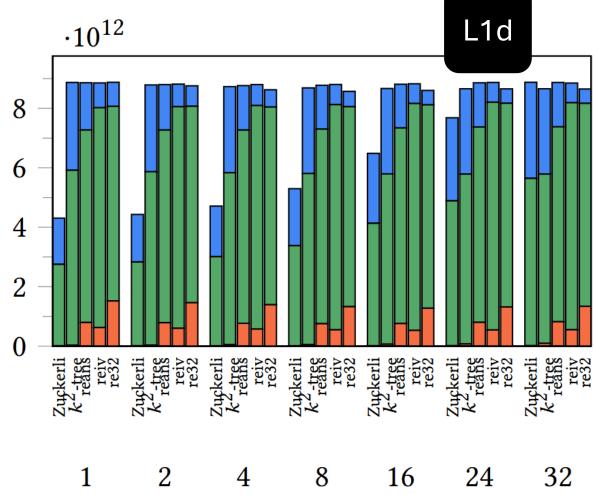
# Instruction throughput on the Intel® Core™ i9-7960X

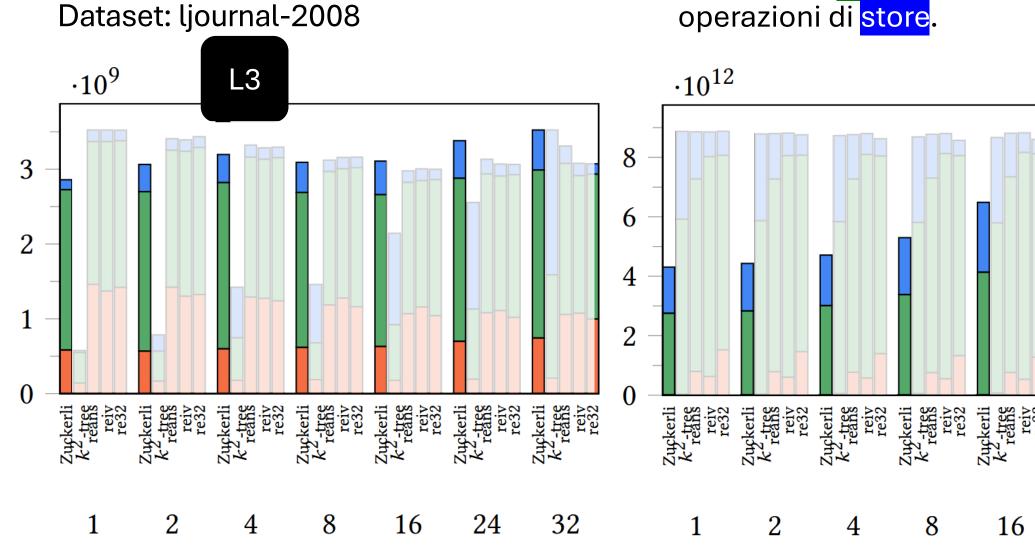
Zuckerli and the k²-tree exhibit better throughputs





load cache misses, load cache hits, operazioni di store.





load cache misses,

L<sub>1</sub>d

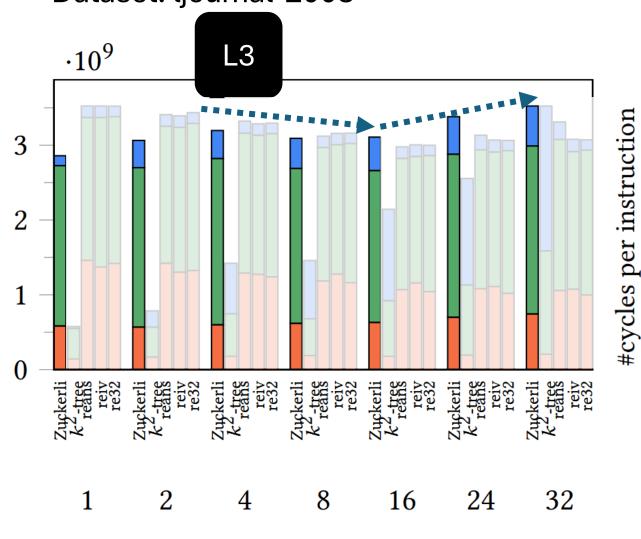
Zuckerli k<sup>2</sup>-tree rears reiv re32

24

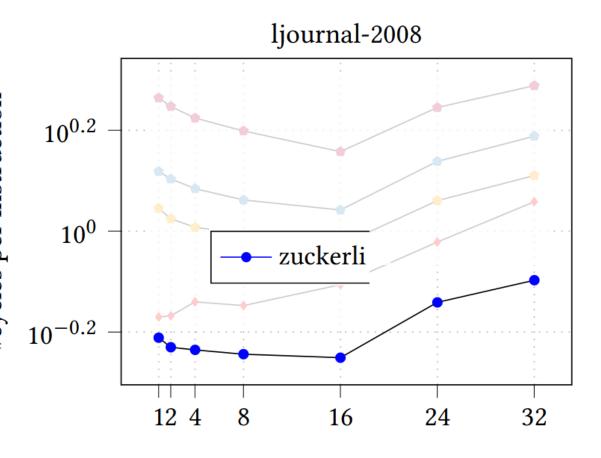
Zuckerli k<sup>2</sup>-tree reiv reiv resy

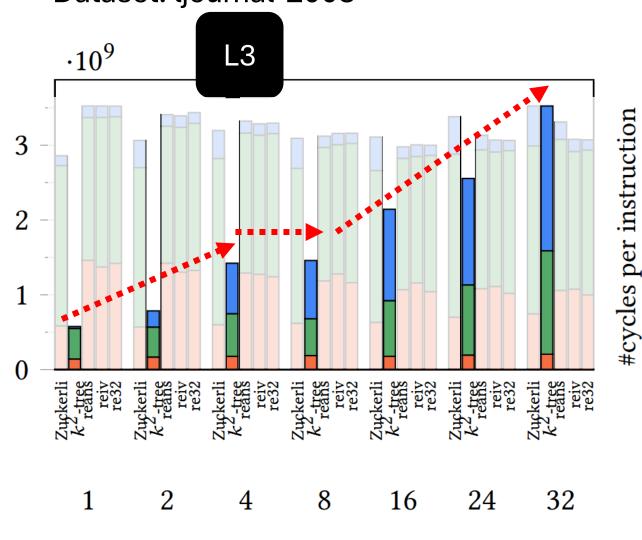
32

load cache hits,

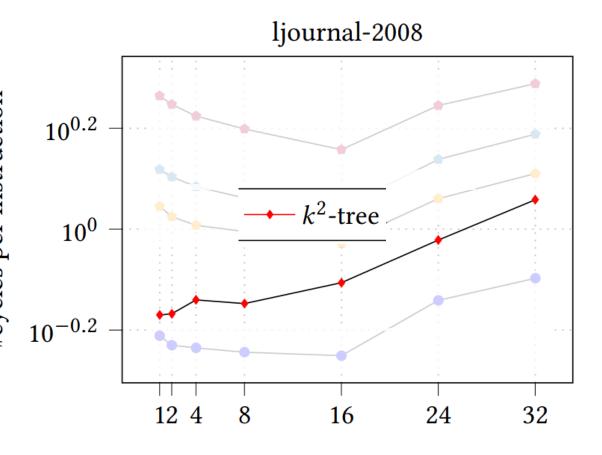


load cache misses, load cache hits, operazioni di store.





load cache misses, load cache hits, operazioni di store.

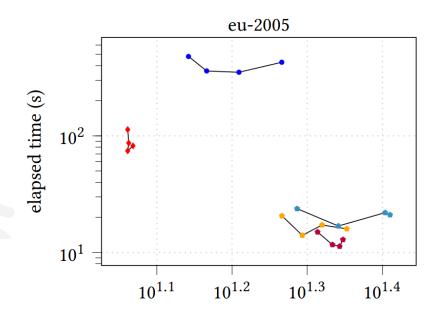


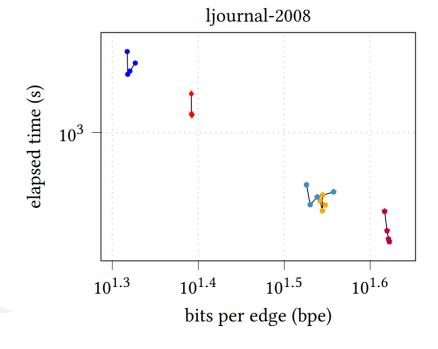
# L1d/L3 cache access patterns on the Raspberry Pi

5 smallest graphs. Up to  $\leq$ 8 threads.

- Non-monotonic behaviour observed when scaling from 4 to 8 threads (notably for Zuckerli).
- Single-threaded k<sup>2</sup>-tree outperforms all Zuckerli configurations on Raspberry Pi
- Less pronounced convex trend on Intel® Xeon® suggests different resource management.

- zuckerli -  $k^2$ -tree - re32 - reiv - reans





### Conclusion



Appropriate compressed representations enable handling large datasets on resource-constrained devices.



Careful selection of compressed representation can reduce energy usage by one or two orders of magnitude across different environments.



The k<sup>2</sup>-tree is nearly as fast as grammar-based compressors and almost as space-efficient as Zuckerli, with minimal degradation in compression ratio as threads increase.



Optimal thread counts may differ for minimising energy vs. optimizing runtime.



Increased L1 and L3 cache operations affect cycles per instruction

## Future work



Investigate additional lossless compression formats for matrices and vectors.

Expand applications beyond PageRank.

In-depth studies on optimising energy-time tradeoffs. ⇒ Insights for software engineers to reduce carbon footprints and enhance battery life.

Study energy-efficient implementations of major compressed data structures (e.g., FM-index, Rank and select structures, Suffix arrays, Succinct tree topologies, ...)

ML optimisation:
explore combinations
of lossless and state-ofthe-art lossy
compression strategies.

## Guidelines for software engineers



Utilize computationallyfriendly compressed formats: Efficiently handle large datasets on resourceconstrained devices using domain-specific compression.



Prioritize cache efficiency: Implement cache-oblivious solutions to improve performance and reduce energy consumption by streamlining data access.



Optimise for space-time trade-offs and thread count: Balance performance and energy by optimizing thread allocation based on hardware.



Employ k2-trees for matrixvector dominated applications: k2-trees offer a simple, robust, and energy-efficient choice for applications like PageRank.



Investigate time-energy relationships: Explore the interplay between thread counts, runtime, and energy usage to develop multicriteria algorithmic frameworks for performance and sustainability.

## Transparency and reproducibility

The entire codebase to reproduce the experiments is made available at gitlab: https://gitlab.com/ftosoni/g reen-lossless-spmv

Datasets from https://sparse.tamu.edu/L





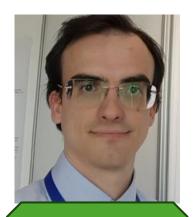
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- 3 research groups
- 2 research areas

# Research groups

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## Questions & Answers

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