Linguaggi formali

Let's start from the beginning

- A program is written in a programming language
- Every programming language (as every language in general) needs to obey its own rules
- · We need to formally define languages...

Strings

- An alphabet is a finite set of symbols
- Examples

```
\Sigma_1 = \{a, b, c, d, ..., z\}: the set of letters in Italian
```

$$\Sigma_2 = \{0, 1\}$$
: the set of binary digits

$$\Sigma_3 = \{ (,) \}$$
: the set of open and closed brackets

A string over alphabet Σ is a finite sequence of symbols in Σ .

Examples

abfbz is a string over
$$\Sigma 1 = \{a, b, c, d, ..., z\}$$

11011 is a string over
$$\Sigma 2 = \{0, 1\}$$

))()(() is a string over
$$\Sigma 3 = \{(,)\}$$

The empty string is a string having no symbol, denoted by ε .

Operations on strings: lenght

• The length of a string x, denoted by |x|, is the number of symbols which compose x.

```
    Examples
        |abfbz|=5
        |110010|=6
        |))()(()|=7
        |ε|=0
```

Operations on strings: concatenation and substrings

- The concatenation of two strings x and y is a string xy, i.e., x is followed by y.

 It is an associative operation that admits the neutral element ϵ
- s is a substring of x if there exist two strings y and z such that x = ysz.

 Example:

the prefixes of abc are : ϵ , a, ab, abc

• In particular, when x = sz (substring with $y=\epsilon$), s is called a prefix of x; when x = ys (substring with $z=\epsilon$), s is called a suffix of x;

 ε is a prefix and a suffix of any string (including ε itself)

Power of an alphabet

· We define the set of all strings over Σ of a given length. Σ^n denotes the strings of length n whose symbols are in Σ

```
If \Sigma = \{0,1\}
\Sigma^{0} = \{\varepsilon\}
\Sigma^{1} = \Sigma = \{0, 1\}
\Sigma^2 = \{00, 01, 11, 10\}
\Sigma^3 = {000, 001, 010, 011, 100, 101, 110, 111}
  \Sigma^{+} = \Sigma^{1} \cup \Sigma^{2} \cup \Sigma^{3} \cup \Sigma^{4} \cup \dots = \bigcup_{i>0} \Sigma^{i} \qquad \Sigma^{*} = \{\epsilon\} \cup \Sigma^{+}
 \Sigma^{+} = {0, 1, 00, 01, 11, 10, 000, 001, 010, 011, 100, 101, 110, 111....}
```

Languages

A language is a set of strings over an alphabet:

 $L \subseteq \Sigma^*$ is a language over Σ

Examples

 L_1 = The set of all strings over Σ_1 that contain the substring "fool"

 L_2 = The set of all strings over Σ_2 that represents a binary number divisible by 7

= {111, 10001, 10101, ...}

 L_{3} The set of all strings over Σ_{3} where every '(' is followed exactly by 2 occurrences of ')'

$$=\{\epsilon, (), (), (), (), (), ...\}$$

Other examples of Languages

 L_4 = The set of binary numbers whose value is prime ={ 10, 11, 101, 111, 1011, 1101, ...}

 L_5 = The set of legal English words over the English alphabet

 L_{6} The set of legal C programs over the strings of characters and punctuation symbols

Operations on Languages

Union: A U B

Intersection: $A \cap B$

Difference: $A \setminus B$ (when $B \subseteq A$)

Complement: \overline{A} = Σ^* - A where Σ^* is the set of all strings on Σ

Concatenation: $AB = \{ab \mid a \in A \text{ and } b \in B\}$

Example: $\{0, 1\}\{1, 2\} = \{01, 02, 11, 12\}.$

Kleene Clousure

Kleene closure:
$$A^* = \bigcup_{i=0}^{\infty} A^i$$

Notation:

$$A^+ = \bigcup_{i=1}^{\infty} A^i$$

More example of Languages

Examples:

- The set of strings with n 1's followed by n 0's $\{\epsilon, 01, 0011, 000111, \ldots\}$
- The set of strings with an equal number of 0's and 1's $\{\epsilon, 01, 10, 0011, 0101, 1001, \ldots\}$
- The empty language Ø
- The language $\{\epsilon\}$ consisting of the empty string only

Remember $\emptyset \neq \{\epsilon\}$

Problems

· Does the string w belong to the language L?

Example: $11101 \in L_4$?

We want to define a procedure to decide it!

We can try to generate all words....

We can try to recognise when a word belongs to L

The generative approach: Grammars

Starting from a particular initial symbol, using the rewriting rules of the productions,

we generate the set of all the strings belonging to the language

Definition of Grammars

We define a Grammar $G=(\Sigma, N, S, P)$ where:

- $\cdot \Sigma$ is the alphabet, a set of symbols (called terminals)
- ·N is the set of nonterminals
- \cdot 5 \in N is the starting symbol
- ·P is the set of productions, each of the form

$$V \rightarrow V$$

where $U \in (\Sigma \cup N)^+$ and $V \in (\Sigma \cup N)^*$.

Derivations of $G=(\Sigma, N, S, P)$

A string $w \in \Sigma^*$ is generated by G if there exists a derivation starting from S and resulting in w obtained by rewriting the string using the productions in P

$$G=(\{a\},\{S\},S,P)$$
 $S\to\epsilon$ $S\to a$ $S\to aS$

A language generated by grammar G is denoted L(G) and it is the set of strings derived using G.

Example of a grammar

We want to describe L1 the language of strings with an even number of 1's

L1 can be generated by a grammar ($\{0,1\},\{5,T\},S,P$) with P equal to

$$S \rightarrow \epsilon$$

 $S \rightarrow 0S$
 $S \rightarrow 1T$
 $T \rightarrow 0T$
 $T \rightarrow 1S$

A string belongs to L1 iff it can be generated by the grammar

Grammar Example

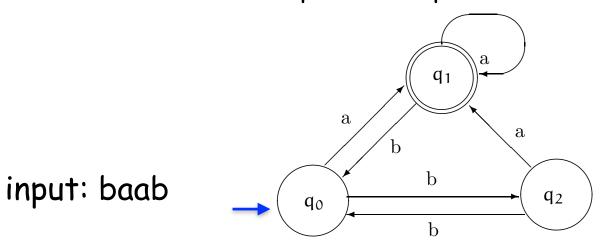
Does the string 01010 belong to L1? We need to find a derivation

$$\begin{array}{c} S \rightarrow \epsilon \mid 0S \mid 1T \\ T \rightarrow 0T \mid 1S \end{array}$$

S

Recognising a language: Automata

- A finite state automaton is finite state machine with an input of discrete values.
- The state machine consumes the input and possibly moves to a different state.
- The system may be in a state among a finite set of possible states.
 Being in a state allows to keep track of previous history.



Back to our Problems

· Does the string w belong to the language L?

We want to define a procedure to decide it!

 Which is the computational complexity necessary to answer to the previous question?

It depends on the complexity of the language!!

Classification of Languages

Restrictions on productions give different types of grammars:

- Regular (type 3)
- Context-free (type 2)
- Context-sensitive (type 1)
- Phrase-structure (type 0)

$$\mathbf{U} \to \mathbf{V}$$
 where $U \in (\Sigma \cup N)^+$ and $V \in (\Sigma \cup N)^*$.

For context-free, e.g., $U \in N$ No restrictions for phrase-structure

A language is of a type iff it admits a grammar of that type

Complexity of Languages Problems

	Regular Grammar Type 3	Context Free Grammar Type 2	Context Sensitive Grammar Type 1	Unrestricted Grammar Type 0
Is W ∈ L(G)?	Р	Р	PSPACE	U
Is L(G) empty?	Р	Р	U	U
Is L(G1)≡ L(G2)?	PSPACE	U	U	U

P: decidable in polynomial time

PSPACE: decidable in polynomial space (at least as hard as NP-complete)

U: undecidable

Regular languages

All the following ways to represent regular languages are equivalent:

- Regular grammars (RG, type 3)
- Deterministic finite automata (DFA)
- Non-deterministic finite automata (NFA)
- Non-deterministic finite automata with ε transitions (ε -NFA)
- Regular expressions (RE)

Regular Grammars

A Right (or, analogously, Left) Regular Grammar is a grammar, where

- every production has the form A-> aB | a
- only for the starting symbol S we can have $S \rightarrow \epsilon$

```
Example G=(\{a,b\},\{S,B\},S,P) where productions P are: S-> aS|aB B->bB|b aaabb \in L(G)?? L(G)=\{a^nb^m| n,m>0\}
```

Deterministic Finite Automata

A deterministic finite automaton (DFA) (Q, Σ , δ , qo, F)

- Q a finite set of states
- Σ a finite set Σ of symbols
- $\mathbf{\delta}:Q\times\Sigma\to Q$ the transition function takes as argument a state and a symbol and returns one state
 - q_0 the starting state
 - $F \subseteq Q$ the set of final or accepting states

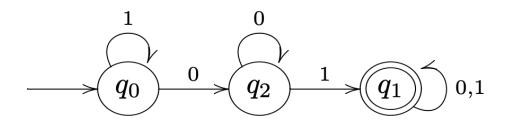
Deterministic Finite Automata

How to represent a DFA? With a transition table

	0	1
$\rightarrow q_0$	q_2	q_0
$*q_1$	q_1	q_1
q_2	q_2	q_1

- -> indicates the starting state
- * indicates the final states

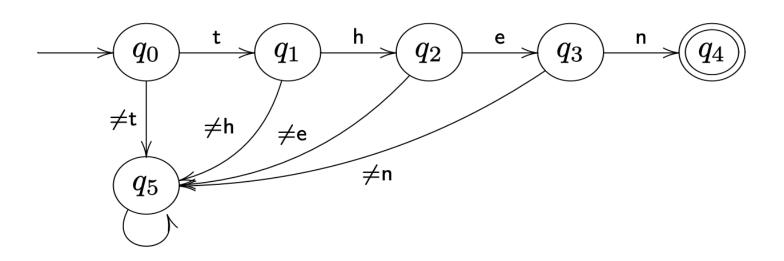
This defines the following transition system



Deterministic Finite Automata

When does an automaton accept a word?

It reads a word and accept it if it stops in an accepting state



here Q=
$$\{q_0,q_1,q_2,q_3,q_4,q_5\}$$
 F= $\{q_4\}$ Only the word then is accepted

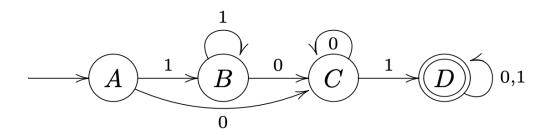
How DFA processes Strings

We build an automaton that accepts string containing the substring 01

$$\Sigma = \{0,1\}$$

L= $\{x01y | x,y \in \Sigma^*\}$

We get



	0	1
\rightarrow A	C	В
В	C	В
\mathbf{C}	C	D
*D	D	D

Extending the transition function to strings

We define the transitive closure of δ

$$\hat{\delta}: Q \times \Sigma^* \longrightarrow Q$$

$$\begin{cases} \hat{\delta}(q, \varepsilon) = q \\ \hat{\delta}(q, wa) = \delta(\hat{\delta}(q, w), a) \end{cases}$$

A string x is accepted by M=(Q, Σ , δ ,qo,F) iff $\ \widehat{\delta}(q_0,x) \in F$

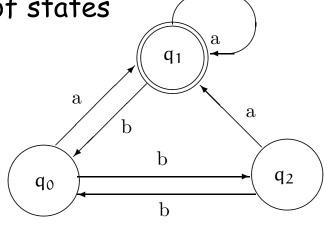
$$L(M) = \{ x \in \Sigma^* | \widehat{\delta}(q_0, x) \in F \}$$

Nondeterministic Finite Automata

A nondeterministic finite automaton (NFA) allows more than one transition on the same input symbol.

Formally, a NFA is defined as $(Q, \Sigma, \delta, qo, F)$ where the only difference is the transition function

 $\delta: Q \times \Sigma \to \mathcal{P}(Q)$ a transition function that takes as argument a state and a symbol and returns a set of states



Extending the transition function to strings

We define the transitive closure of δ

$$\begin{cases} \hat{\delta}(q, \epsilon) = \{q\} \\ \hat{\delta}(q, wa) = \bigcup_{p \in \hat{\delta}(q, w)} \delta(p, a) \end{cases}$$

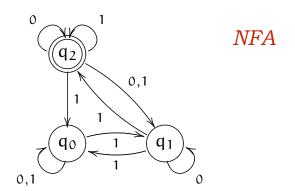
A string x is accepted by M=(Q, Σ , δ ,qo,F) iff $\widehat{\delta}(q_0,x)\cap F\neq\emptyset$ $L(M)=\{x\in\Sigma^*|\widehat{\delta}(q_0,x)\cap F\neq\emptyset\}$

NFAs do not expand the class of language that can be accepted.

Example

		0	1
→	qo	{q ₀ }	$\{q_0,q_1\}$
	q_1	$\{q_1\}$	$\{q_0,q_2\}$
*	q_2	$\{q_1,q_2\}$	$\{q_0,q_1,q_2\}$

$$F=\{q_2\}.$$



L= $\{x \in \{0,1\}^* \mid x \text{ contains at least 2 occurrences of 1} \}$

$$\begin{array}{c|cccc} & 0 & 1 \\ \hline q_0 & q_0 & q_1 \\ \hline q_1 & q_1 & q_2 \\ \hline \bigstar q_2 & q_2 & q_2 \\ \end{array}$$

Different characterisation of Regular Languages

There are different ways to characterise a regular language

- Regular grammars
- Deterministic Finite Automata
- Non deterministic Finite Automata
- Epsilon non deterministic Finite Automata
- Regular expression

Different characterisation of Regular Languages

DFA NFA RG

RE

ε-NFA

 We formally will show how to pass from one characterization to another one

Roadmap: equivalence between NFA and RG

DFA

NFA RG

RE

E-NFA

From Regular Grammars to NFA

Theorem 1.

For each right grammar RG there is a non deterministic finite automaton NFA such that L(RG)=L(NFA).

Construction Algorithm

Given a RG=(Σ , N, S, P) construct a NFA=(N U { F}, Σ , δ , S, F') where F is a newly added state and if F'= {F}U{S} if S-> ϵ belongs to P, F'= {F}, otherwise.

The transition function δ is defined by the following rules

- 1) For any A->a belonging to P, with a in Σ , set $\delta(A,a) = F$
- 2) For any A-> aB belonging to P, with a in Σ and B in N, set $\delta(A,a)=B$

Example

```
G=(\{a,b\},\{S,B\},S,P) where productions P are:

S->aS|aB

B->bB|b L(G)=\{a^nb^m\mid n,m>0\}
```

From NFA to Regular Grammars

Theorem 2

For each nondeterministic automaton NFA, there is one right grammar RG such that L(RG)=L(NFA).

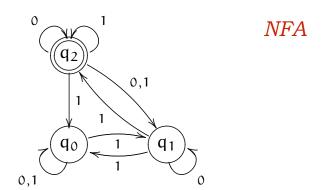
Construction Algorithm

Given an automaton NFA= $(Q, \Sigma, \delta, qo, F)$, construct a grammar $RG=(\Sigma, Q, qo', P)$ according the following steps:

- 1) for any $\delta(A,a)=B$ add $A\rightarrow aB$ to P,
- 2) if B belongs to F add also $A \rightarrow a$ to P;
- 3) if qo belongs to F then add (q-> qo | ϵ to P and qo'=q) else qo'=qo.

		0	1
\rightarrow	qo	{q ₀ }	$\{q_0,q_1\}$
	q_1	$\{q_1\}$	$\{q_0, q_2\}$
*	q_2	$\{q_1,q_2\}$	$\{q_0,q_1,q_2\}$

$$F = \{q_2\}.$$



L= $\{x \in \{0,1\}^* \mid x \text{ contains at least 2 occurrences of 1} \}$

Exercises

Write the NFA for the following languages

- Strings over the alphabet {a,b,c} containing at least one a and at least one b
- Strings of 0's and 1's whose tenth symbol from the right is 1
- The set of strings of 0's and 1's with at most one pair of consecutive 1's

and derive the corresponding grammars

Roadmap: equivalence between DFA and NFA

RE ε-NFA

From a NFA to a DFA

The NFA are usually easier to "program".

For each NFA N there is a DFA D, such that L(D) = L(N),.

This involves a subset construction.

Given an

we will build a
$$(Q_N, \Sigma, \delta_N, q_0, F_N)$$
 DFA D =
$$(Q_D, \Sigma, \delta_D, q_0, F_N)$$
 such that
$$(Q_D, \Sigma, \delta_D, q_0, F_D)$$
 L (D) = L (N)

From NFA to a DFA

$$Q_D = \wp(Q_N),$$

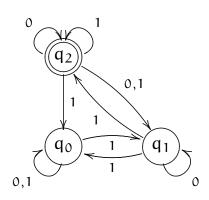
Note that not all these state are necessary, most of them will be unreachable.

$$\forall P \in \mathcal{P}(Q_N) : \delta_D(P, a) = \bigcup_{p \in P} \delta_N(p, a)$$

$$F_D = \{ P \in \mathcal{P}(Q_N) \mid P \cap F \neq \emptyset \}$$

NFA

		0	1
	qo	{q ₀ }	$\{q_0,q_1\}$
	q_1	$\{q_1\}$	$\{q_0,q_2\}$
*	q_2	$\{q_1,q_2\}$	$\{q_0,q_1,q_2\}$



Consider all the subsets $\mathcal{P}(Q_N)$

 \emptyset

 $\{q_0\} \qquad \{q_1\} \qquad \overline{\{q_2\}}$

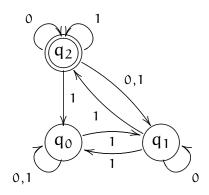
Which ones are final?

$$\{q_0,q_1\} \hspace{0.5cm} \boxed{\{q_0,q_2\}} \hspace{0.5cm} \boxed{\{q_1,q_2\}}$$

 $\{q_0,q_1,q_2\}$

NFA

		0	1
·	qo	{q ₀ }	$\{q_0,q_1\}$
	q_1	$\{q_1\}$	$\{q_0,q_2\}$
*	q_2	$\{q_1,q_2\}$	$\{q_0,q_1,q_2\}$

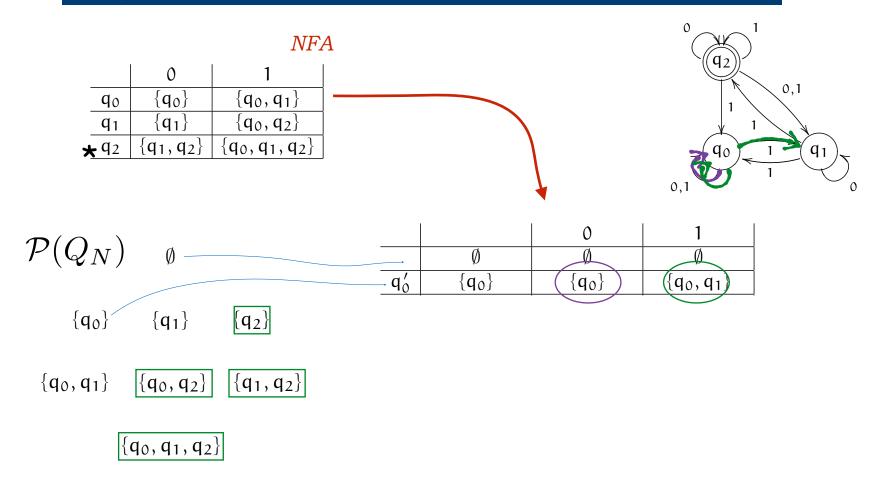


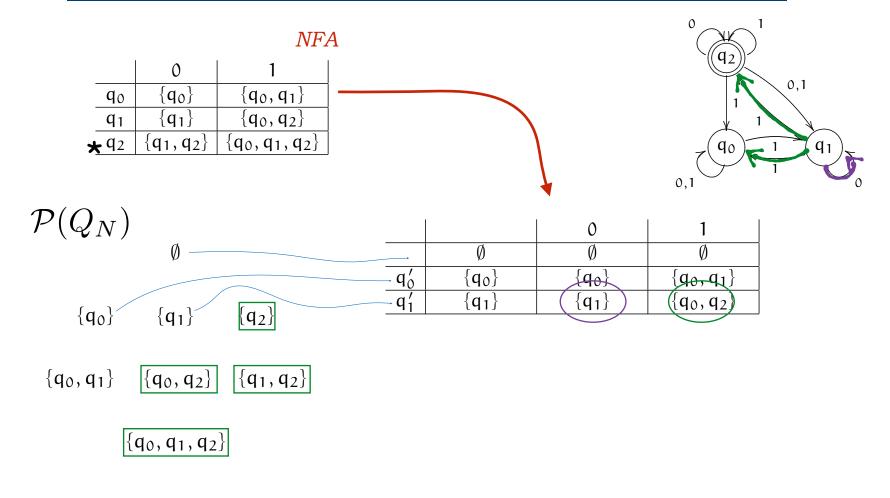
$$\mathcal{P}(Q_N)$$

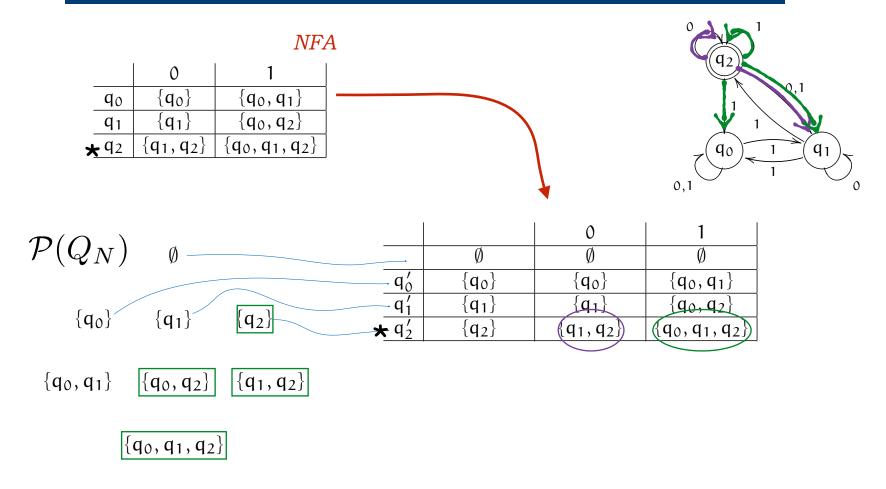
$$\{q_0\} \qquad \{q_1\} \qquad \overline{\{q_2\}}$$

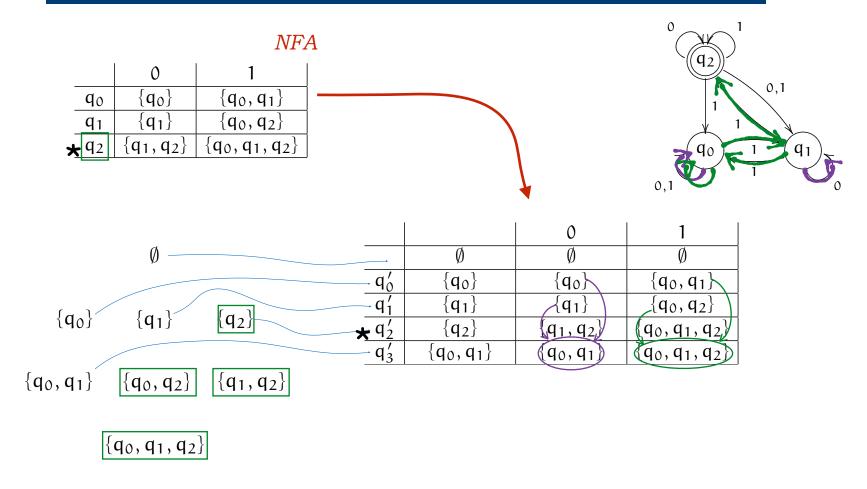
$$\{q_0,q_1\} \hspace{0.5cm} \boxed{\{q_0,q_2\}} \hspace{0.5cm} \boxed{\{q_1,q_2\}}$$

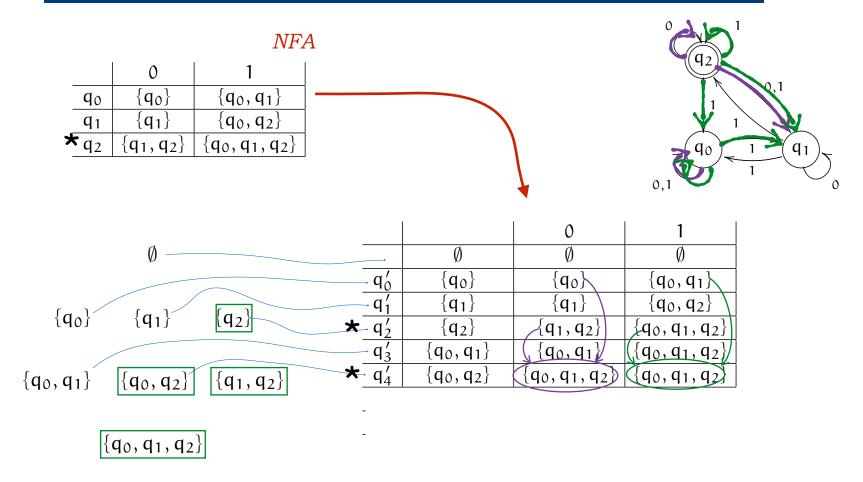
$$\{q_0,q_1,q_2\}$$

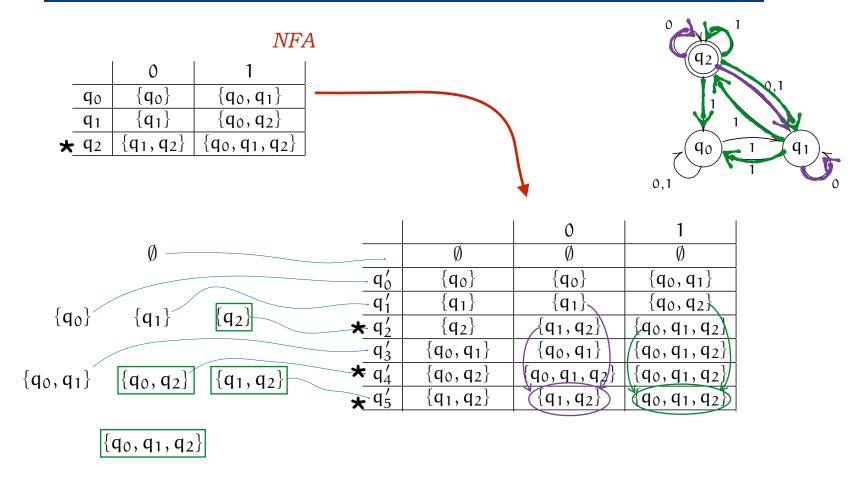


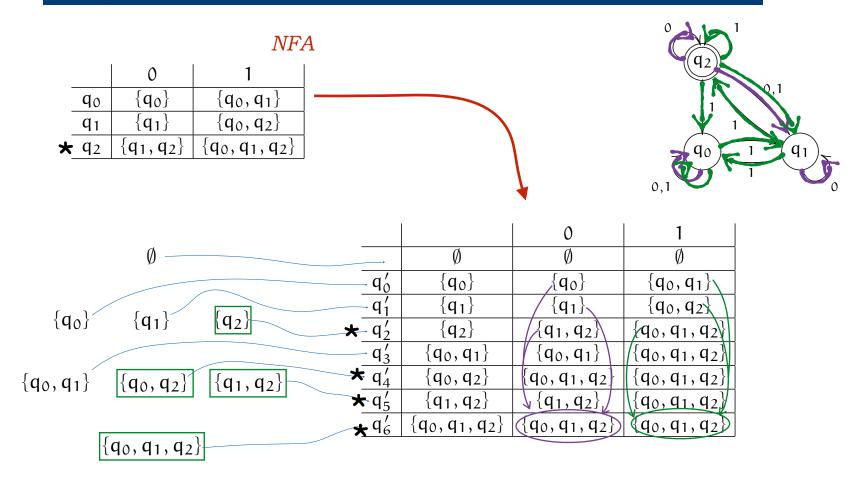


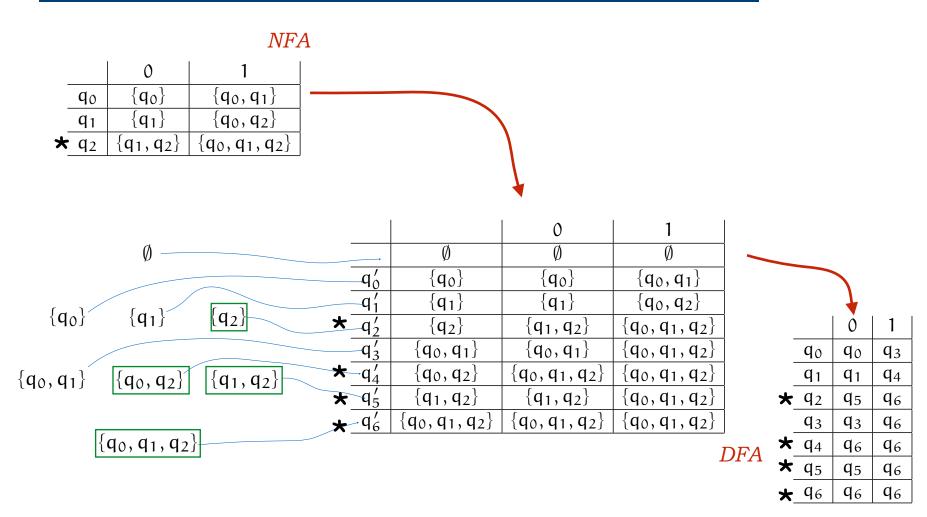






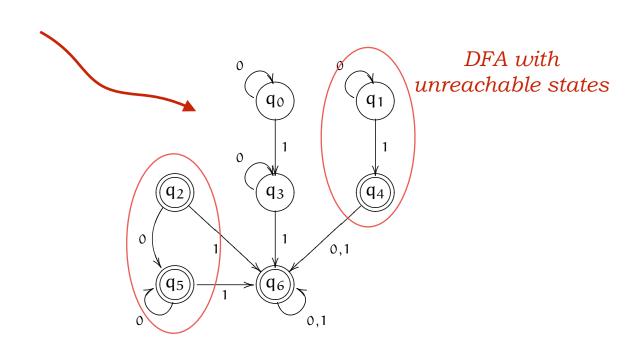






DFA

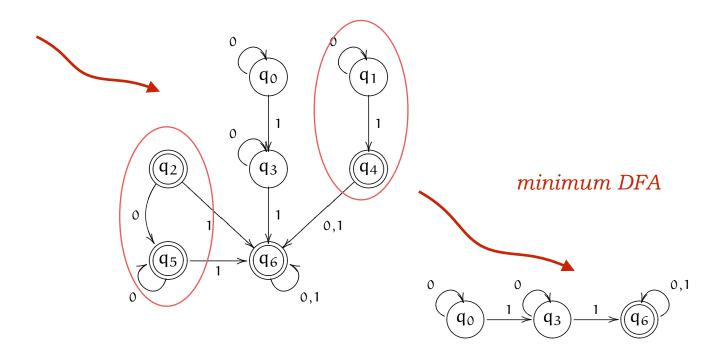
		0	1
	qo	qo	q ₃
	q_1	q ₁	q_4
*	q_2	q ₅	q_6
	q_3	q_3	q_6
*	q_4	q 6	q_6
*	q_5	q ₅	q_6
*	q_6	q 6	q 6



	0	1
qo	qo	q ₃
q_1	q_1	q_4
q_2	q_5	q_6
q_3	q_3	q_6
q_4	q_6	q_6
q ₅	q_5	q_6
96	q_6	q_6

DFA

DFA with unreachable states



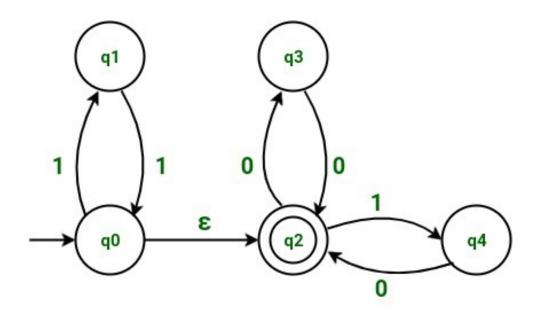
The E-NFA: NFA with epsilon transitions

- · Extension of finite automaton.
- The new feature: we allow transition on ϵ , the empty string.
- An NFA that is allowed to make transition spontaneously, without receiving any input symbol.
- As in the case of NFA w.r.t. DFA this new feature does not expand the class of languages that can be accepted.

Definition of E-NFA

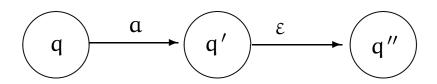
A NFA whose transition function can always choose epsilon as input symbol

$$\delta: Q \times (\Sigma \cup \{\epsilon\}) \to \wp(Q)$$



Definition of ϵ -closure for extending δ to Strings

We need to define the $\epsilon\text{-}closure$ that applied to a state gives all the states reachable with $\epsilon\text{-}transitions$



$$\epsilon$$
-closure(q)={q} ϵ -closure(q')={q', q''}

$$\varepsilon$$
-closure(P) = $\bigcup_{p \in P} \varepsilon$ -closure(p)

The extension of δ to strings

$$\hat{\delta}: Q \times \Sigma^* \longrightarrow \wp(Q)$$

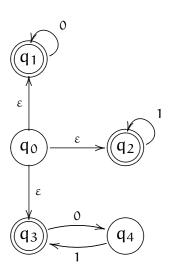
$$\begin{cases} \hat{\delta}(q, \epsilon) &= \epsilon\text{-closure}(q) \\ \hat{\delta}(q, w\alpha) &= \bigcup_{p \in \hat{\delta}(q, w)} \epsilon\text{-closure}(\delta(p, \alpha)) \end{cases}$$

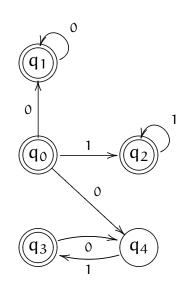
$$\hat{\delta}(q, a) = \bigcup_{p \in \hat{\delta}(q, \varepsilon)} \varepsilon\text{-closure}(\delta(p, a)) = ???$$

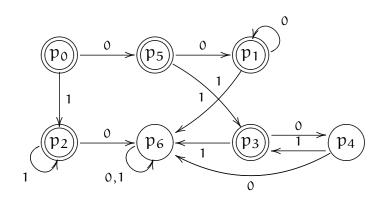
ε-NFA

NFA

DFA

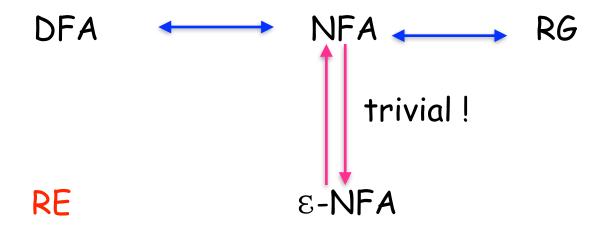






$$L = \{ x \mid \exists n \in \mathbb{N}. \ x = 0^n \lor x = 1^n \lor x = (01)^n \}$$

Roadmap: equivalence between NFA and ϵ -NFA



From E-NFA to NFA

For each ϵ -NFA E there is a NFA N, such that L (E) = L (N), and vice versa.

Given an

$$\epsilon$$
-NFA E = $(Q, \Sigma, \delta_E, q_0, F_E)$

we build a

NFA N =
$$(Q, \Sigma, \delta_N, q_0, F_N)$$

such that

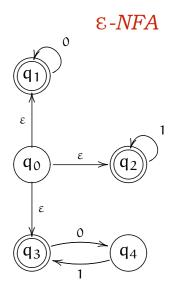
$$L(E) = L(N)$$

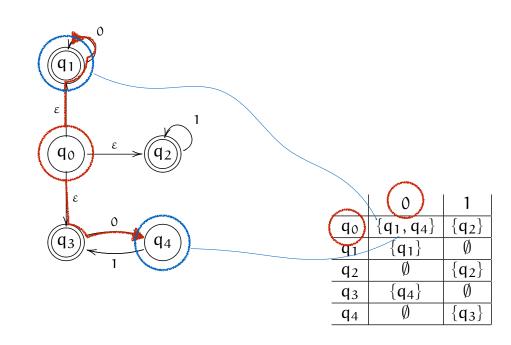
Equivalence between ε -NFA and NFA

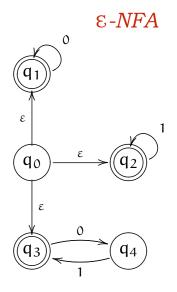
$$\delta_N(q,a) = \widehat{\delta}_E(q,a)$$

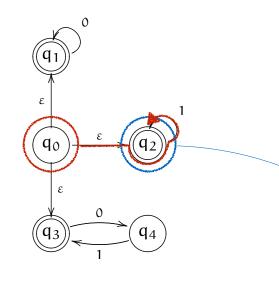
$$F_N = \begin{cases} F_E \cup \{q_0\} & \text{if } \epsilon\text{-closure}(q_0) \cap F_E \neq \emptyset \\ F_E & \text{otherwise} \end{cases}$$

if a final state can be reached with an epsilon transition from the initial state

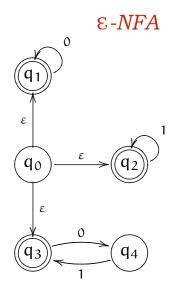


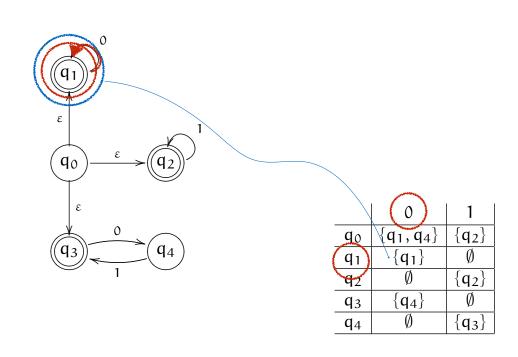


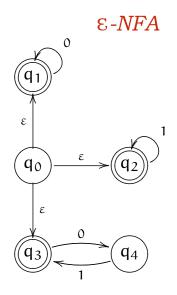


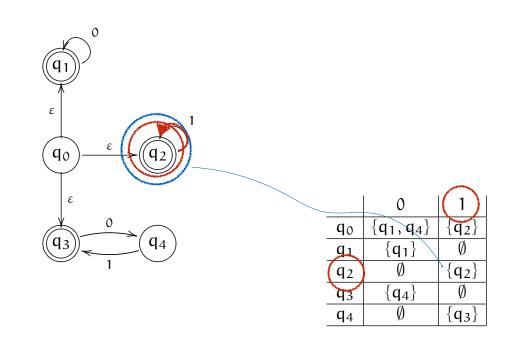


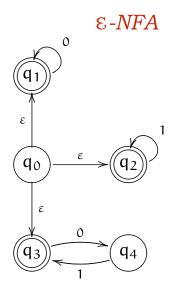
ĺ		$\left(\begin{array}{c} 1 \end{array} \right)$
	U	ノノ
qo)	$\{q_1,q_4\}$	$\{q_2\}$
Т	$\{q_1\}$	Ø
q_2	Ø	$\{q_2\}$
q_3	$\{q_4\}$	Ø
q_4	Ø	$\{q_3\}$

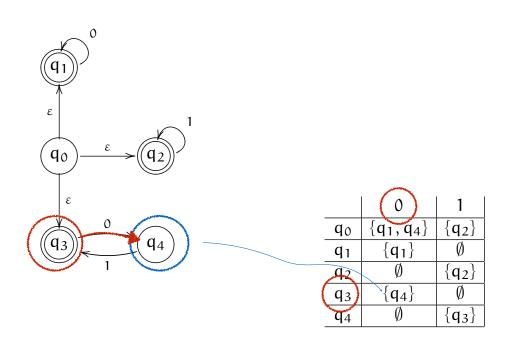


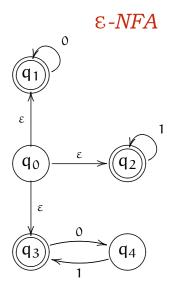


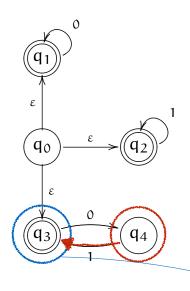




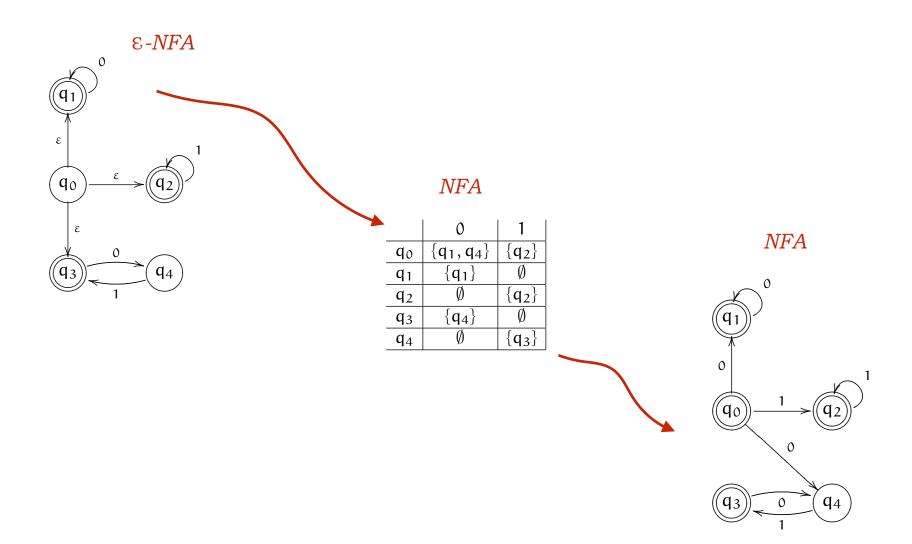








$q_0 \mid \{q_1, q_4\} \mid \{q_2\}$
10 (11) 17) (12)
$q_1 \mid \{q_1\} \mid \emptyset$
$q_2 \mid \emptyset \mid \{q_2\}$
$q_3 \{q_4\} \emptyset$
(q_4) \emptyset $\{q_3\}$



Operations on languages: recap.

Union: A U B

Intersection: A \cap B

Difference: A \ B

Complement: $compl(A) = \Sigma^* - A$

Concatenation: $AB = \{ab \mid a \in A, b \in B\}$

Kleene Clousure: $A^* = \bigcup_{i=0}^{\infty} A^i$

Regular Expressions

A regular expression denotes a set of strings (a language).

Given a finite alphabet Σ , the following constants are defined as regular expressions:

- Ø denoting the empty set,
- ε denoting the set $\{\varepsilon\}$,
- a in Σ denoting the set containing only the character $\{a.\}$

If r and s are regular expression (denoting the sets R and S, respectively) then (r+s), (rs) and r^* denotes the set R U S, RS and R*, respectively.

L(r) indicates the language denoted by r

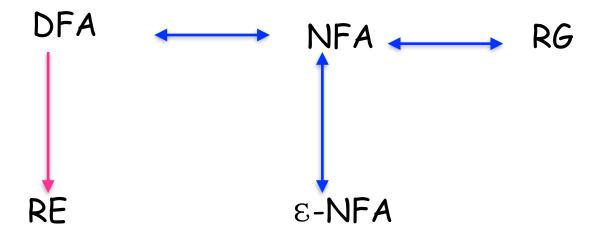
Examples

• (0* + 1* + (01)*) denotes the language

$$L = \{ x \mid \exists n \in \mathbb{N}. \ x = 0^n \lor x = 1^n \lor x = (01)^n \}$$

- a|b* denotes
 {ε, "a", "b", "bb", "bbb", ...}
- (a+b)* denotes
 all the strings formed with "a" and "b"
- ab*(c+ ϵ) denotes the set of strings starting with "a", then zero or more "b"s and finally optionally a "c"
- (0+(1(01*0)*1))* denotes the set of binary numbers that are multiples of 3

Roadmap



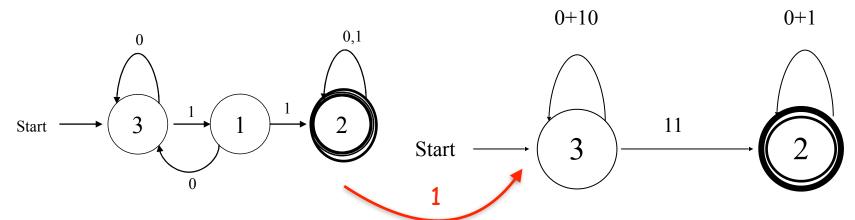
Encoding the language of a DFA into a RE

Theorem 3

For each DFA D, there is a regular expression r such that L(D)=L(r).

Construction:

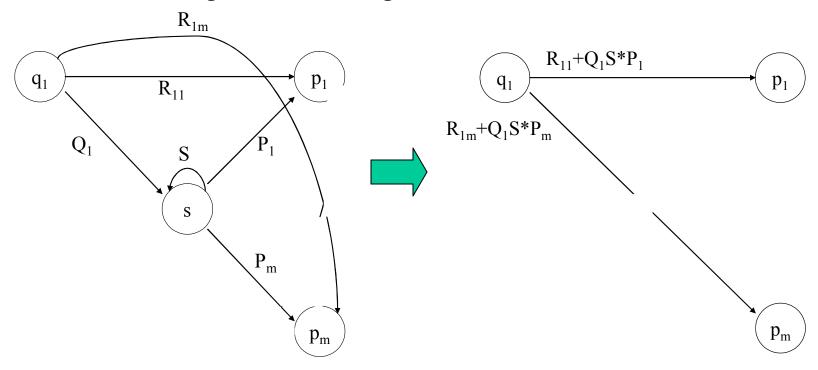
- 1) Eliminates states of the automaton replacing the edges with regular expressions that includes the behavior of the eliminated paths
- 2) When the automaton has just one starting and all final states, we synthesize the corresponding RE



State Elimination

Note: q_i and p_j may be the same state!

- Figure below shows the elimination of a state s. The labels on all edges are regular expressions.
- To remove s, we must make labels for the paths between q_1 and $p_{1,...}$, p_m we had in the original DFA through s.



From a DFA to RE State Elimination Point (1)

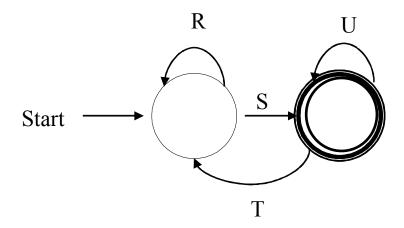
Apply the state elimination process to produce an equivalent automaton with regular expression labels on the edges:

-Start with intermediate states and then moving to accepting states,

-The result will be some state automaton with one start state and (one or more than one) accepting states.

From a DFA to RE State Elimination Point (2)

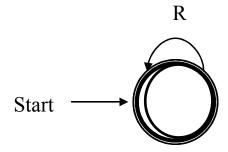
Just one final state and a different starting state



We can describe this automaton as: (R+SU*T)*SU*

From a DFA to RE State Elimination Point (2)

Just one final state that coincides with the starting state



We can describe this automaton as simply R*.

From a DFA to RE State Elimination Point (2)

Several final states $s_1, s_2, ... s_n$

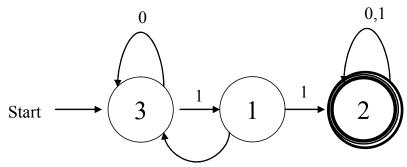
Repeat the previous steps for each s_i turning any other accepting state in non accepting.

In this way we get n different regular expressions, R_1 , R_2 , ... R_n .

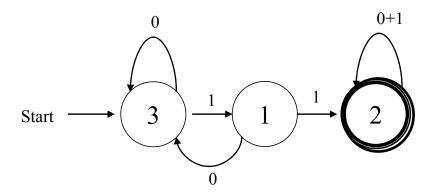
The desired regular expression for the automaton is then the union of each of the n regular expressions: $R_1 \cup R_2 ... \cup R_N$

$DFA \rightarrow RE Example$

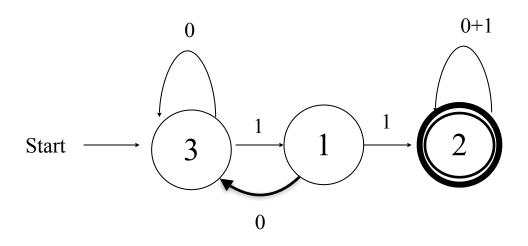
Convert the following to a RE

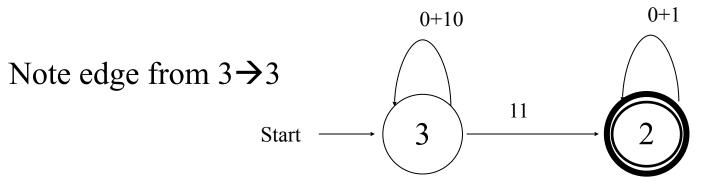


• First convert the edges to RE's: 0



$DFA \rightarrow RE Example (2)$

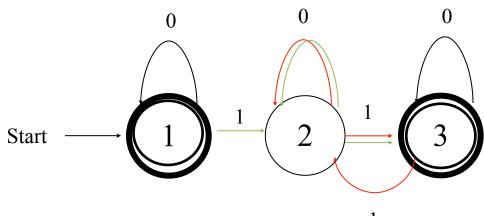




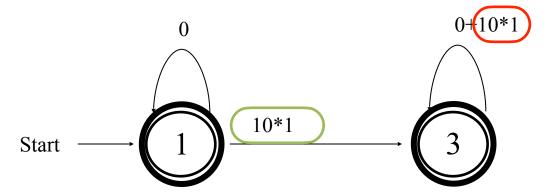
Answer: (0+10)*11(0+1)*

Third Example

Automata that accepts even number of 1's

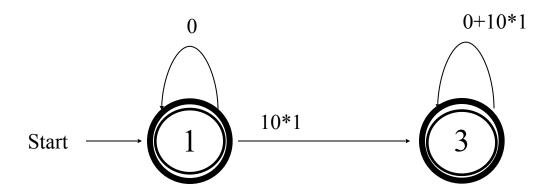


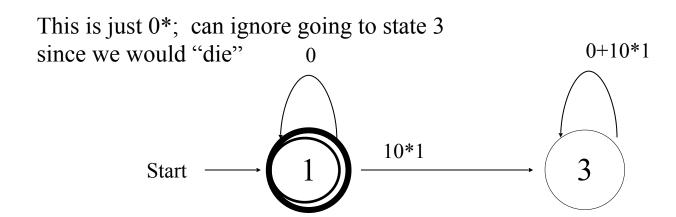
• Eliminate state 2:



Third Example (2)

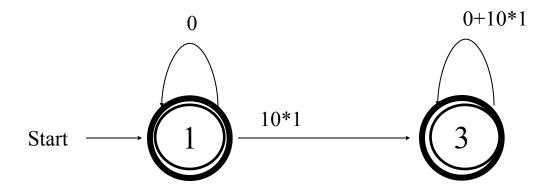
Two accepting states, turn off state 3 first



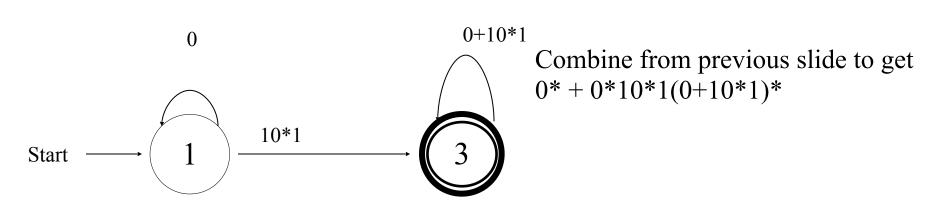


Second Example (3)

• Turn off state 1 second:

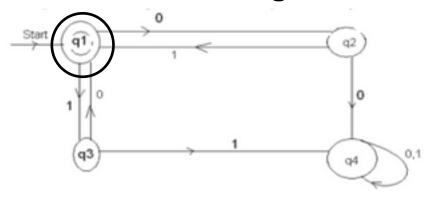


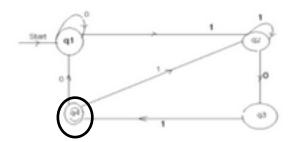
This is just 0*10*1(0+10*1)*



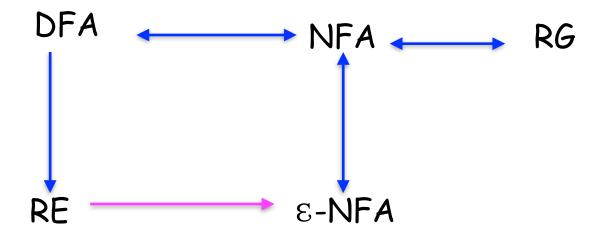
Exercises

Convert the following DFA into a RE





Roadmap

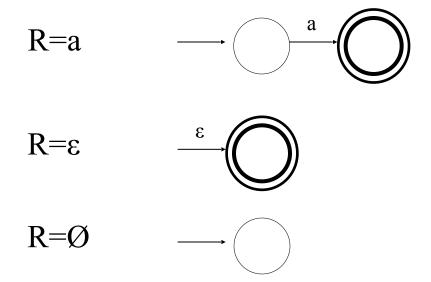


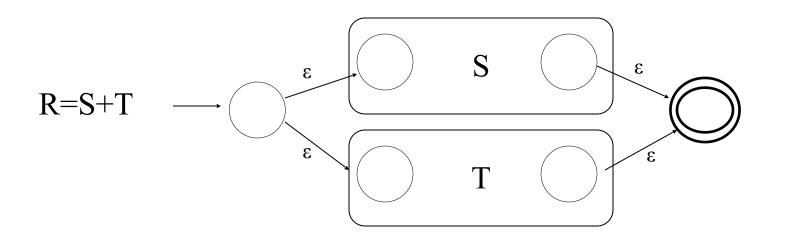
Converting a RE to an Automata

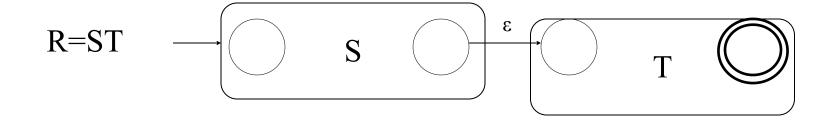
- We can convert a RE to an ε -NFA
 - Inductive construction
 - Start with a simple basis, use that to build more complex parts of the NFA

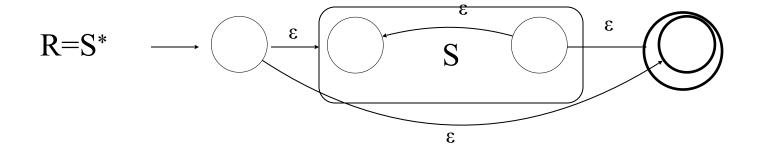
RE to ε -NFA

Basis:



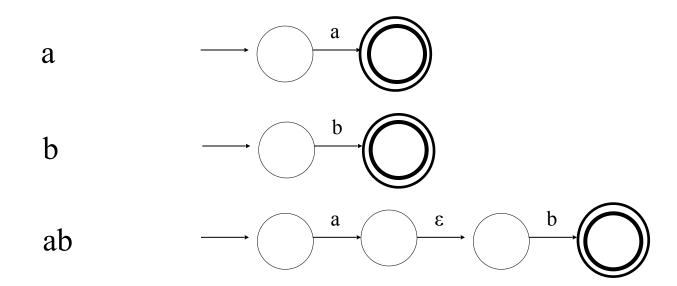






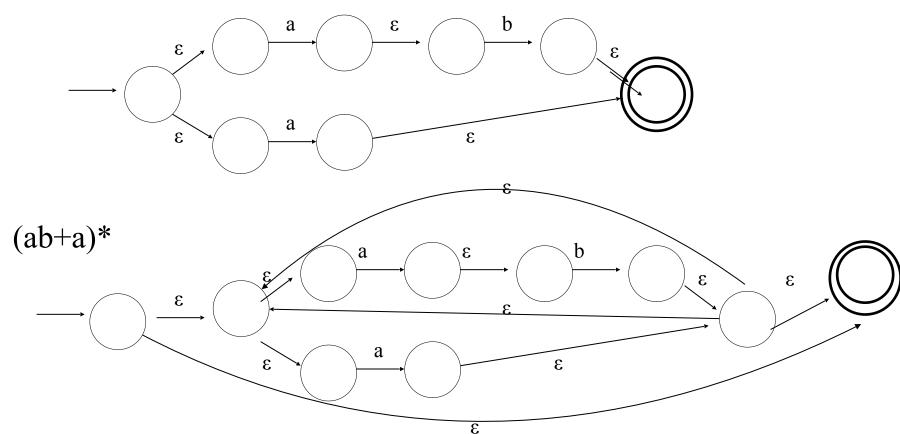
RE to ε -NFA Example

- Convert R= (ab+a)* to an NFA
 - We proceed by steps, starting from simple elements and working our way up



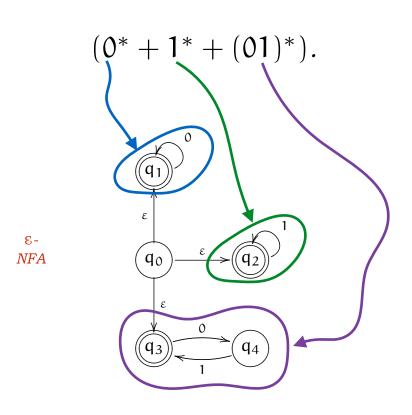
RE to ε -NFA Example (2)





Esempio: from RE to ε -NFA

$$L = \{ x \mid \exists n \in \mathbb{N}. \ x = 0^n \lor x = 1^n \lor x = (01)^n \}$$



What have we shown?

- Regular expressions, finite state automata and regular grammars are different ways of expressing the same languages
- In some cases you may find it easier to start with one and move to the other
 - e.g., the language of an even number of 1's is typically easier to design as a NFA or DFA and then convert it to a RE

Not all languages are regular!

• L={ $a^nb^n \mid n \in Nat$ }

Pumping Lemma

Given L an infinite regular language then there exists an integer k such that for any string $z\in L.|z|\geq k$ it is possible to split z into 3 substrings

Negating the PL

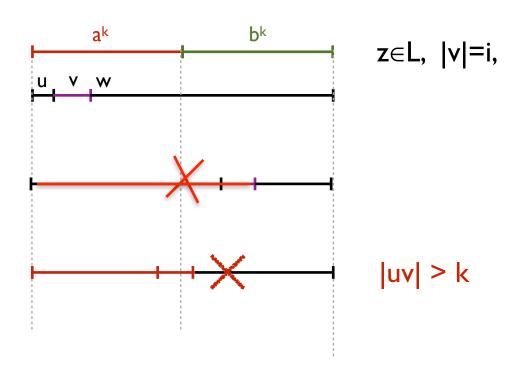
The PL gives a necessary condition, that can be used to prove that a language is not a regular language!

If
$$\forall k \in \mathbb{N} \ \exists z \in L. |z| \geq k$$
 for all possible splitting
$$z = uvw \text{ with } |uv| \leq k, |v| > 0 \ \exists i \in \mathbb{N} \text{ such that } uv^i w \not\in L$$

then L is not a regular language!

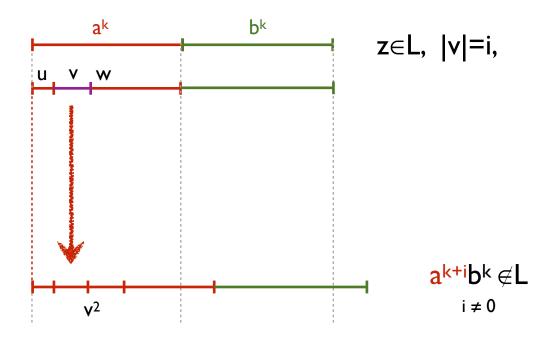
Exemple

- · L={ $a^nb^n \mid n \in \mathbb{N}$ }, take any $k \in \mathbb{N}$
- Consider the string $z = a^k b^k$



Esempio

- · L={ $a^nb^n \mid n \in \mathbb{N}$ }, take any $k \in \mathbb{N}$
- Consider the string $z = a^k b^k$



Exercises

Prove that the following are not regular languages

$$L_{pal} = \{ w \in \{0, 1\}^* \mid w = w^R \} = \{ \epsilon, 0, 1, 00, 11, 00100, 01110, \ldots \}$$

$$L_1 = \{0^n 1^m | n \le m\}$$

$$L_2 = \{0^n \mid n \text{ e' una potenza di } 2\}$$

$$L_3 = \{w2^n \mid w \in \{0,1\}^*, n = |w|\}$$

Property of Regular languages

The regular languages are closed with respect to the union, concatenation and Kleene closure.

The complement of a regular language is always regular.

The regular language are closed under intersection

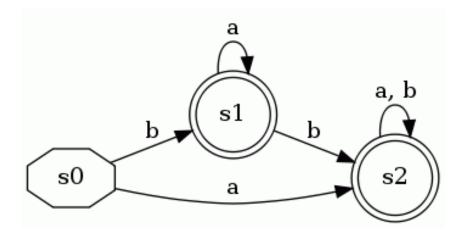
Decision Properties:

Approximately all the properties are decidable in case of finite automaton.

- (i) Emptiness
- (ii) Non-emptiness
- (iii) Finiteness
- (iv) Infiniteness
- (v) Membership

DFA Minimization

- Some states can be redundant:
 - The following DFA accepts (a|b)+
 - State s1 is not necessary



DFA Minimization

- The task of the DFA minimization is to automatically transform a given DFA into a state-minimized DFA
 - Several algorithms and variants are known

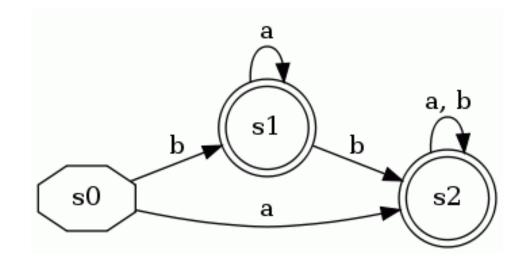
A DFA Minimization Algorithm

- Recall that a DFA M=(Q, Σ , δ , q_0 , F)
- Two states p and q are distinct if
 - p ∈F and q∉F or vice versa, or
 - $\delta(p, a)$ and $\delta(q, a)$, for some a in Σ , are distinct
- Using this inductive definition, we can calculate which states are distinct

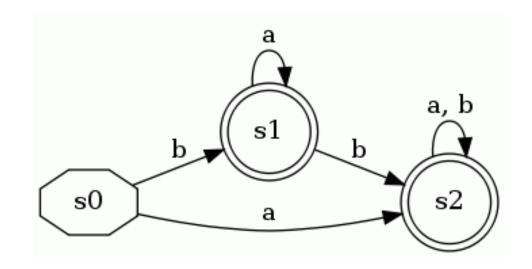
DFA Minimization Algorithm

- Create lower-triangular table DISTINCT, initially blank
- For every pair of states (p,q):
 - If p is final and q is not, or vice versa
 - \rightarrow DISTINCT(p,q) = ε
- Loop until no change for an iteration:
 - For every pair of states (p,q) and each symbol a
 - → If DISTINCT(p,q) is blank and DISTINCT($\delta(p,\alpha)$, $\delta(q,\alpha)$) is not blank
 - DISTINCT(p,q) = a
- Combine all states that are not distinct

s0			
s1			
s2			
	s0	s1	s2



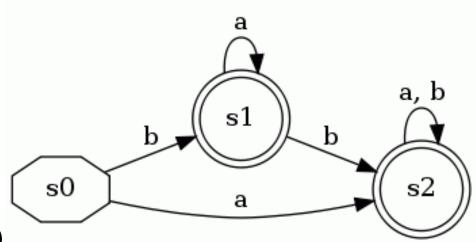
s0			
s1	3		
s2	3		
	s0	s1	s2



Label pairs with ε where one is a final state and the other is not

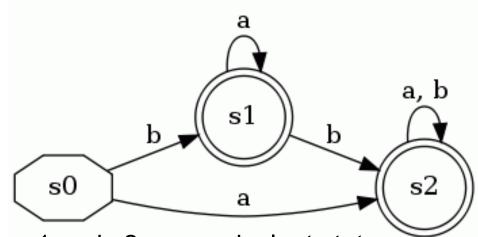
s0			
s1	ω		
s2	3		
	s0	s1	s2

- \rightarrow DISTINCT(p,q) is blank and DISTINCT($\delta(p,\alpha)$, $\delta(q,\alpha)$) is not blank
 - DISTINCT(p,q) = a

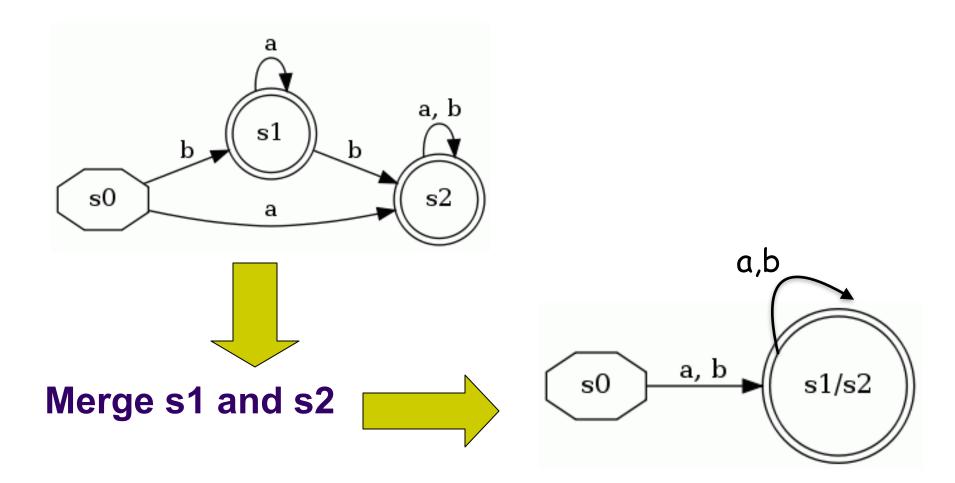


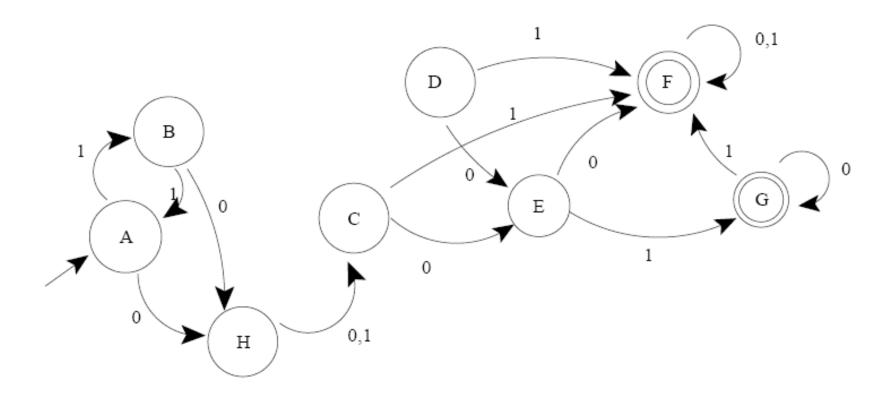
Main loop (no changes occur)

s0			
s1	3		
s2	3		
	s0	s1	s2

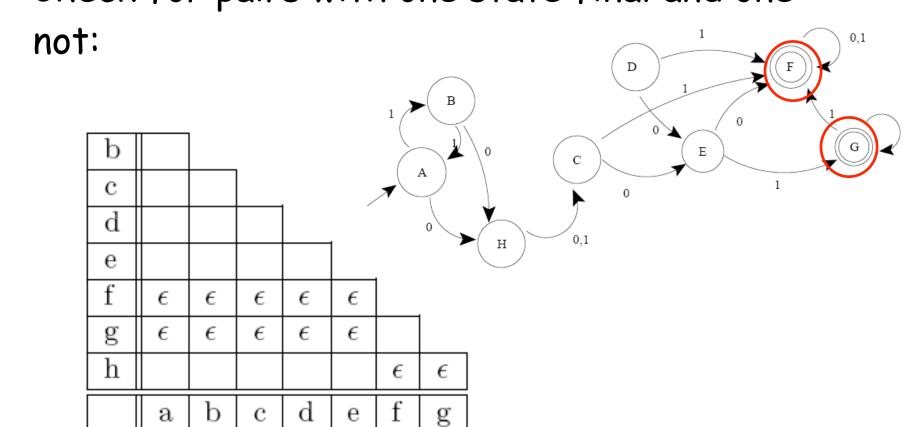


DISTINCT(s1, s2) is empty, so s1 and s2 are equivalent states





Check for pairs with one state final and one

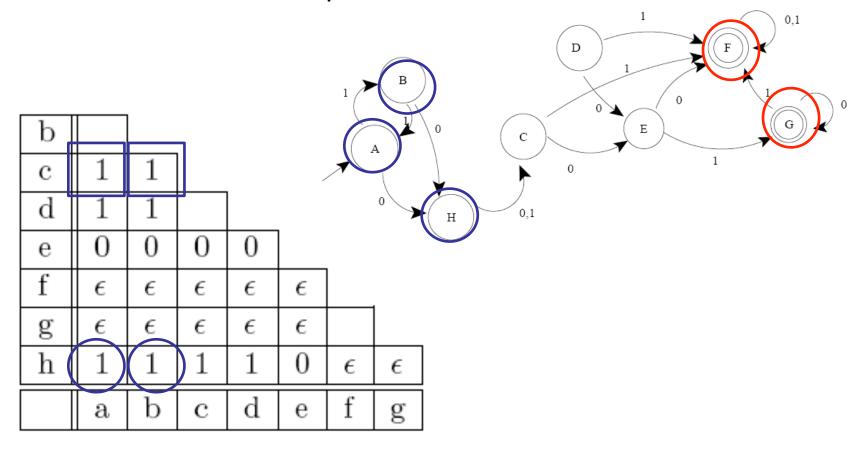


 \mathbf{a}

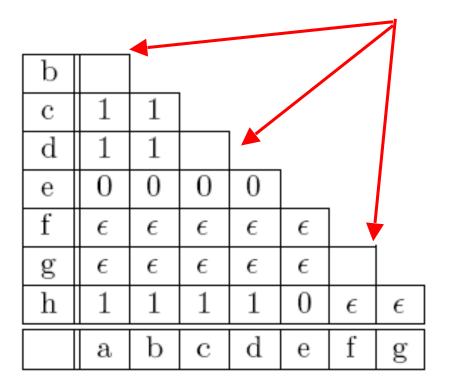
• First iteration of main ' 0,1 D b $^{\rm c}$ d 0,1 Η 0 0 е ϵ g ϵ ϵ h 0 ϵ ϵ

g

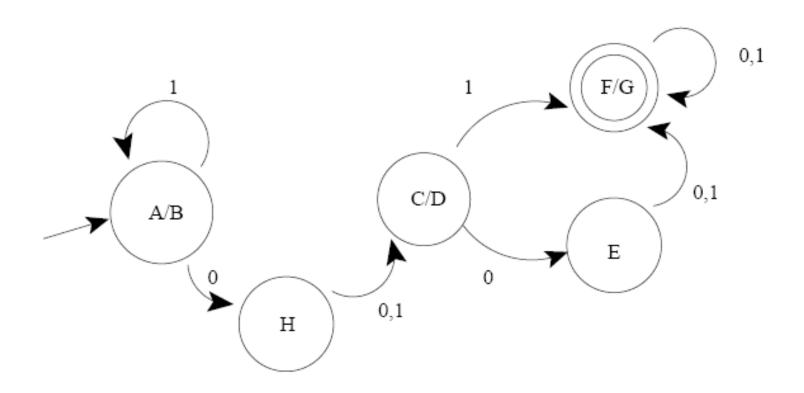
• Second iteration of main loop:



- Third iteration makes no changes
 - Blank cells are equivalent pairs of states



Combine equivalent states for minimized DFA:

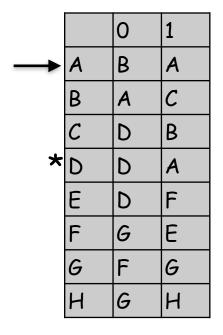


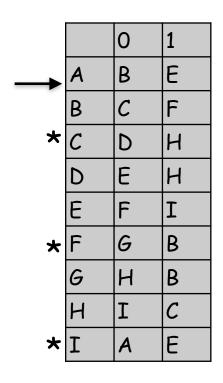
Conclusion

- The algorithm described is $O(kn^2)$
 - John Hopcraft describes another more complex algorithm that is O(k (n log n))

Exercises

Minimize the following automata





Linguaggi Context Free

Context free Grammars

A Context free Grammar (Σ, N, S, P) is a grammar, where

ullet every production has the form $U \to V$

$$U \in N$$
 and $V \in (\Sigma \cup N)^+$

• only for the starting symbol S, we can have $S \rightarrow \epsilon$

```
G = \{\{E\}, \{or, and, not, (,), 0, 1\}, E, P\}
            E \mapsto 0
             E \mapsto 1
            E \mapsto (E \text{ or } E)
            E \mapsto (E \text{ and } E)
            E \mapsto (not E)
```

Esempio

$$S \rightarrow 0S1 \mid \epsilon$$



 $\{0^n 1^n : n \ge 0\}$

$$S \rightarrow \epsilon |0|1|0S0|1S1$$



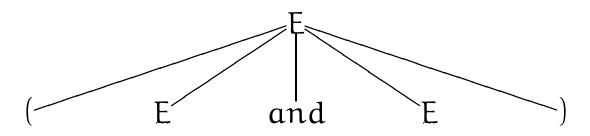
$$z = \{x \in \{0, 1\}^* \mid x = x^R\}$$

Parse tree

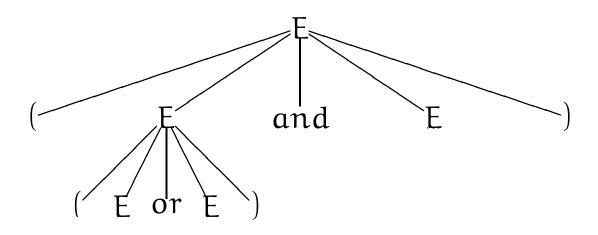
Given a grammar (Σ, N, S, P) . The parse tree is the graph representation of a derivation, which can be defined in the following way:

- every vertex has a label in $\Sigma \cup N \cup \{\epsilon\}$,
- the label of the root and of every internal vertex belongs to N,
- if a vertex is labeled with A and has m children labeled with X1,. ..., Xk
- then the production A->X1...Xk belongs to P,
- if a vertex is labeled with ε then is a leaf and is an only child.

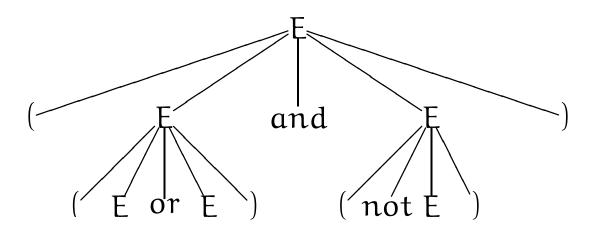
 $E \mapsto 0|1|(E \text{ or } E)|(E \text{ and } E)|(\text{not } E).$



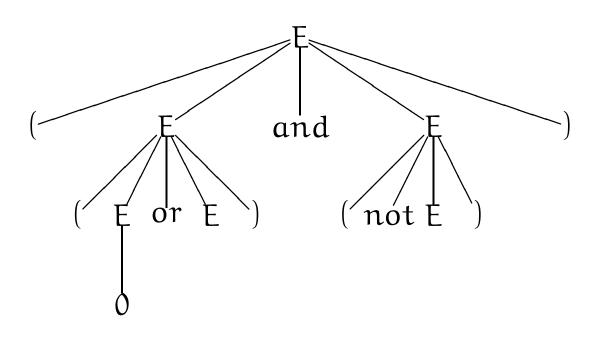
 $E \mapsto 0|1|(E \text{ or } E)|(E \text{ and } E)|(not E).$



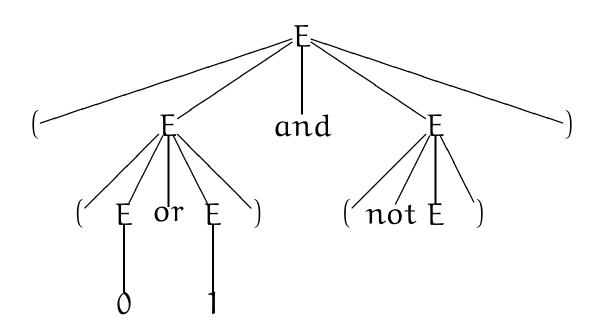
 $E \mapsto 0|1|(E \text{ or } E)|(E \text{ and } E)|(\text{not } E)|$



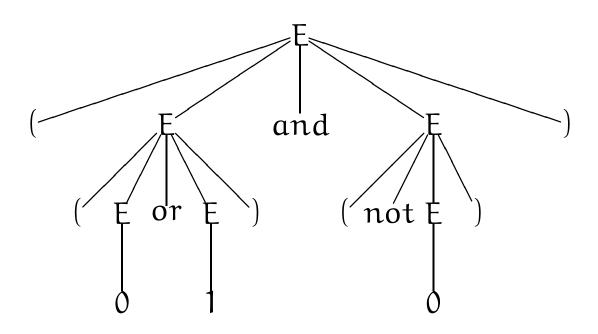
 $E \mapsto 0 |1|(E \text{ or } E)|(E \text{ and } E)|(\text{not } E).$

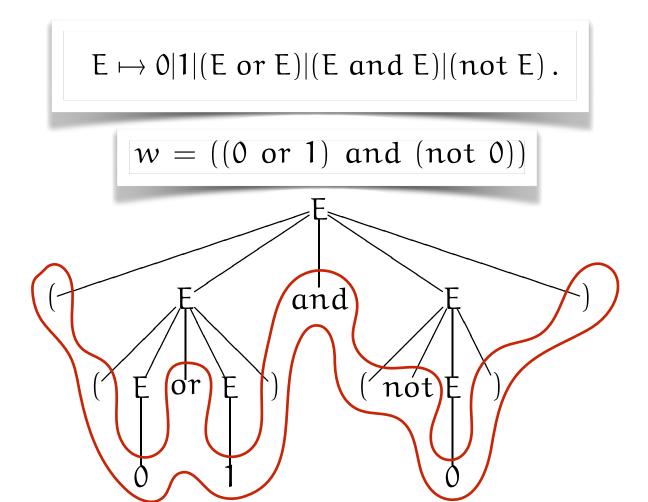


 $E \mapsto O(1)(E \text{ or } E)|(E \text{ and } E)|(not E).$

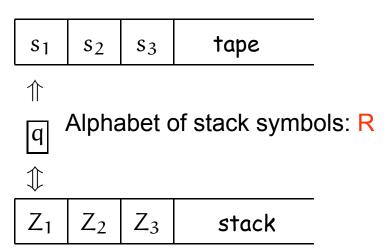


 $E \mapsto 0 |1|(E \text{ or } E)|(E \text{ and } E)|(\text{not } E).$





Pushdown automata



The stack head always scans the top symbol It performs three basic operations:

Push: add a new symbols at the top of the stack

Pop: read and remove the top symbol

Empty: verify if the stack is empty

Pushdown automata

A push down automaton is $M = (Q, \Sigma, R, \delta, q0, Z0, F)$ where

R is the alphabet of stack symbols,

• $\delta: Q \times (\Sigma \cup \{\epsilon\}) \times R \to \mathcal{P}(Q \times R^*)$ is the transition function

Z0 belonging to R is the starting symbol on the stack

Instantaneous Description

The evolution of the PDA is described by triples (q, w, γ) where;

- q is the current state
- w is the unread part of the input string (the remaining input)
- y is the current contents of the stack

A move from one instantaneous description to another

will be denoted by

 $(q0, aw, Zr) \mapsto (q1, w, \gamma r)$ iff (q1, y) belongs to $\delta(q0, a, Z)$

The language accepted by a pushdown automaton

Two ways to define the accepted language:

with empty stack (in this case F is the empty set)

$$L_{p}(M) = \left\{ x \in \Sigma^{*} : (q_{0}, x, Z_{0}) \mapsto_{M}^{*} (q, \epsilon, \epsilon), q \in Q \right\}$$

· with explicit final states F

$$L_F(M) \ = \ \left\{ x \in \Sigma^* \, : \, (q_0, x, Z_0) \mapsto_M^* (q, \epsilon, \gamma), \gamma \in R^*, q \in F \right\}$$

Esempio

$$L = \{ \; xcx^R | \; x \in \{a,b\}^* \; \}, \\ \Sigma = \{a,b,c\} \; \text{string when the input and } \; \{a,b\}^* \; \}$$

We will recognise the stack are empty!

$$\langle \{q_0, q_1\}, \{\alpha, b, c\}, \{Z, A, B\}, \delta, q_0, Z, \varnothing \rangle$$
 APND

qo	ε	α	b	c	q ₁	ε	a	b	c
Z		q_0, ZA	q_0, ZB	q_1, ε	Z		q_1, Z	q_1, Z	
A					A		q_1, ε	q_1, Z	q_1, Z
В					В		q_1, Z	q_1, ε	q_1, Z

Remember: we will recognise the string when the input and stack are empty!

 \Rightarrow

Example: abcba

qo	ε	α	b	c
Z		q_0, ZA	q_0, ZB	q_1, ε
A				
В				

 \Rightarrow

 \Rightarrow

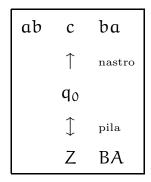
q_1	ε	a	b	c
Z		q_1, Z	q_1, Z	
A		q_1, ϵ	q_1, Z	q_1, Z
В		q_1, Z	q_1, ε	q_1, Z

 \Rightarrow

 \Rightarrow

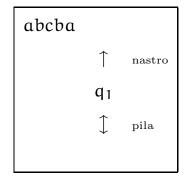
$$\begin{array}{ccc} a & bcba \\ \uparrow & {\rm nastro} \\ q_0 \\ \downarrow & {\rm pila} \\ Z \end{array}$$

$$\begin{array}{ccc} \alpha & b & cb\alpha \\ & \uparrow & {\rm nastro} \\ & q_0 & \\ & \updownarrow & {\rm pila} \\ & Z & A \end{array}$$



$$\begin{array}{cccc} abc & b & a \\ & \uparrow & {\rm nastro} \\ & q_1 \\ & \updownarrow & {\rm pila} \\ & B & A \end{array}$$

$$\begin{array}{ccc} abcb & a \\ & \uparrow & {}_{nastro} \\ & q_1 \\ & \updownarrow & {}_{pila} \\ & A \end{array}$$



$$L = \{ |xx^R| | x \in \{a, b\}^* \}, \Sigma = \{a, b\}$$

- $Q = \{q_0, q_1\}$
- $\Sigma = \{a, b\}$
- $R = \{Z, A, B\}$

qo	ε	a	ъ
Z		q_0, AZ	q ₀ ,BZ
A		q_0, AA	q ₀ , BA
		q_1, ε	
В		q ₀ , AB	q ₀ ,BB
			q_1, ε

q ₁	ε	α	ь
Z	q_1, ε		
A		q_1, ε	
В			q_1, ε

Exercises

Design a PDA to recognise the following languages:

 $\{w \in \{0,1\}^* | \text{ every prefix has more 0's than 1's} \}$

 $\{w \in \{0,1\}^* | w \text{ has an equal number of 0's and 1's}\}$

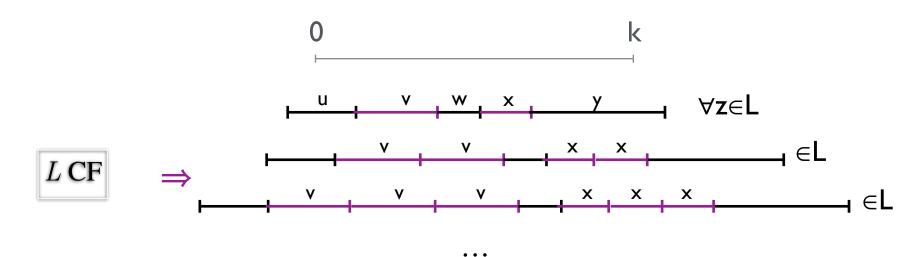
Unfortunately...

not all languages are Context Free!

Pumping Lemma for CF

Given a context free language L there exists an integer k such that for any string $z\in L.|z|\geq k$ it is possible to split z into 5 substrings

z = uvwxy with $|vwx| \le k, |vx| > 0$ such that $\forall i \in \mathbb{N}, uv^iwx^iy \in L$

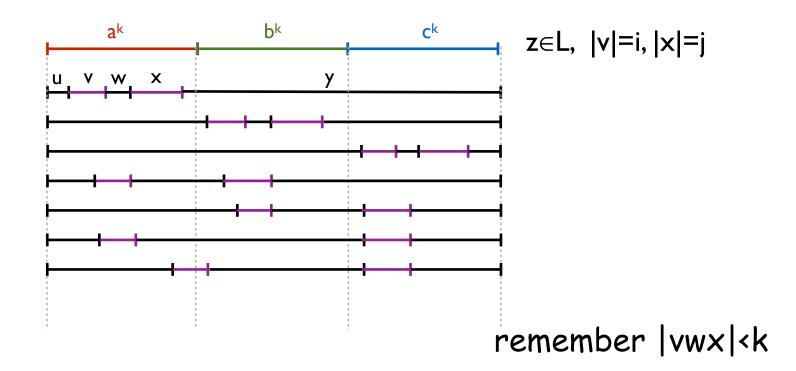


Negating the PL for CF

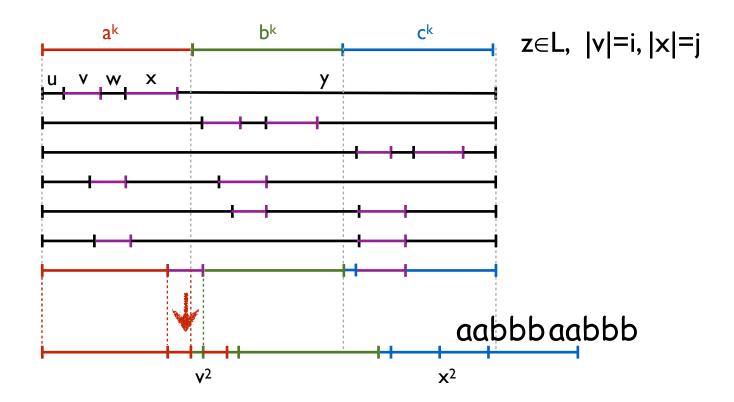
The PL for CF gives a necessary condition, that can be used to prove that a language is not context free!

If $\forall k \in \mathbf{N} \ \exists z \in L. |z| \geq k$ for all possible splitting of the form $z = uvwxy \ \text{with} \ |vwx| \leq k, |vx| > 0 \ \exists i \in \mathbf{N} \ \text{such that} \ uv^i wx^i y \not\in L$ then L is not context free!

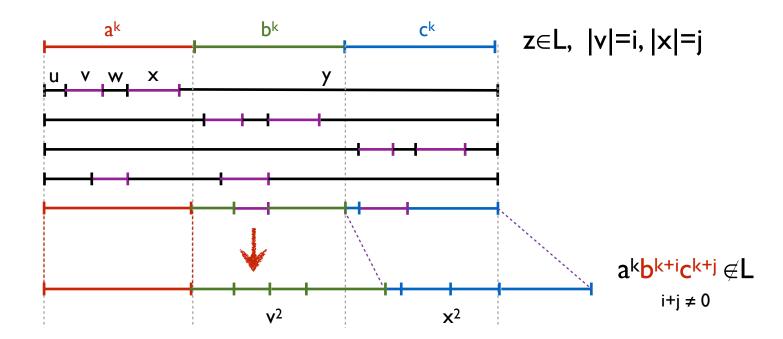
- Let L={ $a^nb^nc^n \mid n \in Nat$ }, consider $k \in N$
- Let $z = a^k b^k c^k$



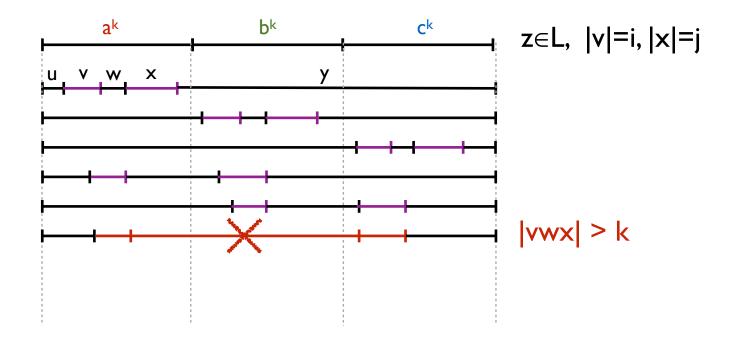
- Let L={ $a^nb^nc^n \mid n \in Nat$ }, consider $k \in N$
- Let $z = a^k b^k c^k$



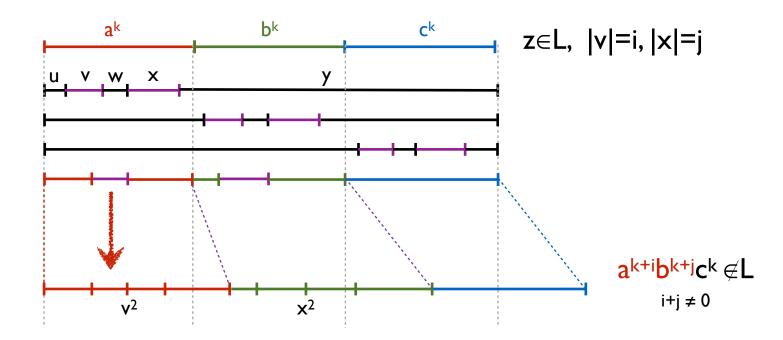
- Let L={ $a^nb^nc^n \mid n \in Nat$ }, consider $k \in N$
- Let $z = a^k b^k c^k$



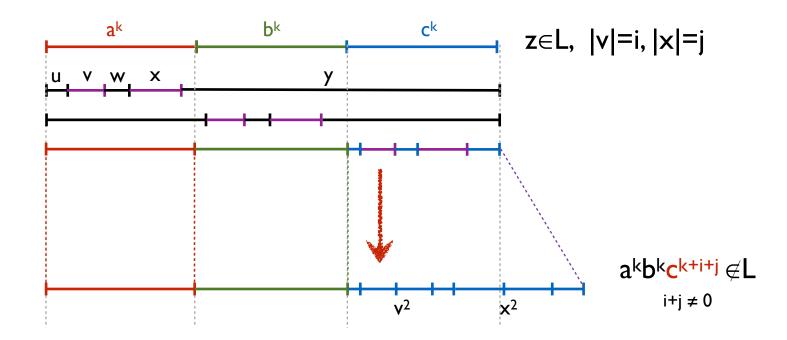
- Let L={ $a^nb^nc^n \mid n \in Nat$ }, consider $k \in N$
- Let $z = a^k b^k c^k$



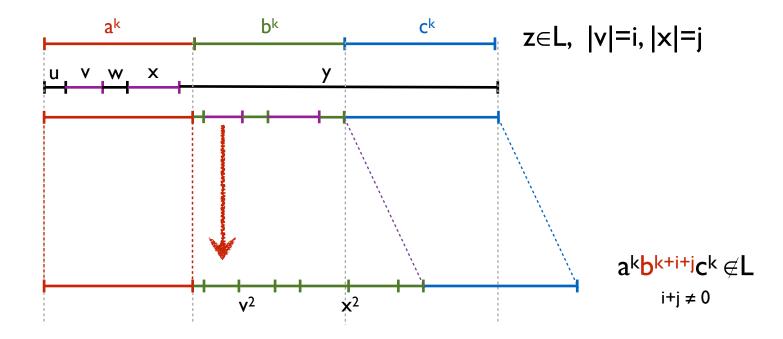
- Let L={ $a^nb^nc^n \mid n \in Nat$ }, consider $k \in N$
- Let $z = a^k b^k c^k$



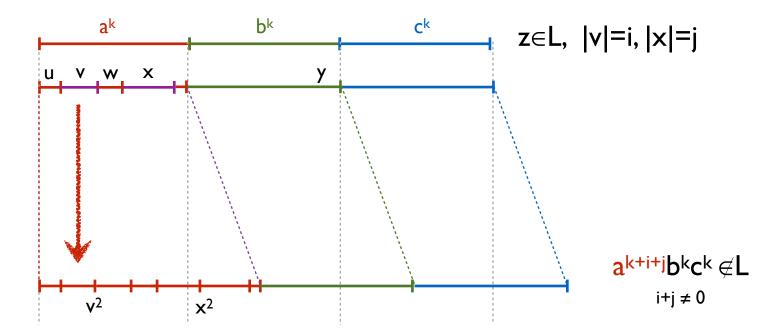
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- Let L={ $a^nb^nc^n \mid n \in Nat$ }, consider $k \in N$
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- Let L={ $a^nb^nc^n \mid n \in Nat$ }, consider $k \in N$
- Let $z = a^k b^k c^k$



Exercises: are these languages context free?

$$\{0^{n}1^{3n}|n \ge 0\}$$

$$\{0^{n}1^{kn}|n \ge 0 \text{ and } k \ge 0\}$$

$$\{a^{i}b^{j}c^{k}|i = j \text{ or } j = k\}$$

$$\{a^{i}b^{j}c^{k}|k \ne i + j\}$$

$$\{w \in \{a,b\}^{*}|w \ne vv\}$$

$$L = \{w \in \{a, b\}^* | w \neq vv\}$$

If |w| is odd then $w \in L$

$$A \rightarrow a | aAa | aAb | bAa | bAb$$
 $B \rightarrow b | aBa | aBb | bBa | bBb$ $S \rightarrow A | B | BA | AB | \epsilon$

Properties of the CF languages

The CF languages are closed with respect to the union, concatenation and Kleene closure.

The complement of CF language is not always CF.

The CF language are not closed under intersection

Decision Properties:

Approximately all the properties are decidable in case of CF

- (i) Emptiness
- (ii) Non-emptiness
- (iii) Finiteness
- (iv) Infiniteness
- (v) Membership

Context Sensitive Grammar

Productions of the form $U \rightarrow V$ such that $|U| \leftarrow |V|$

$$S \rightarrow aSBC \mid aBC$$
 $bC \rightarrow bc$ $CB \rightarrow BC$ $cC \rightarrow cc$ $bB \rightarrow bb$ $aB \rightarrow ab$ $\{a^ib^ic^i:i\geq 1\}.$

Complexity of Languages Problems

	Regular Grammar Type 3	Context Free Grammar Type 2	Context Sensitive Grammar Type 1	Unrestricted Grammar Type 0
Is W L(G)?	Р	Р	PSPACE	U
Is L(G) empty?	Р	Р	U	U
Is L(G1) L(G2)?	PSPACE	U	U	U

Examples of Language Hierarchy

The expressing expressive power:

regular ⊂ context-free ⊂context-sensitive ⊂ phrase-structure

 $L1 = strings over \{0, 1\}$ with an even number of 1's is regular

L2= $\{a^nb^n | n \in \mathbb{N}\}$ is context-free, but not regular

L3 = $\{a^nb^nc^n | n \in \mathbb{N}\}$ is context-sensitive, but not context-free

Relationships between Languages and Automata

A language is:

regular context-free context-sensitive phrase-structure iff accepted by

finite-state automata pushdown automata linear-bounded automata Turing machine

Chomsky's Hierarchy

