# Lightweight LCP Construction for Next-Generation Sequencing Datasets 

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Ljubljana, 10-12 September 2012
WABI 2012

## Whole human genome sequencing

- Modern DNA sequencing machines produce a lot of data! e.g. Illumina HiSeq 2000: > 40Gbases of sequence per day (paired 100-mers).
- Datasets of 100 Gbases or more are common.
- Many bioinformatics applications, e.g. the rapid search for maximal exact matches, shortest unique substrings and shortest absent words, use the SA (Suffix Array) and/or BWT (Burrows-Wheeler Transform) together with an additional table: the LCP (Longest Common Prefix) array.
- Together, SA/BWT and LCP can replace the larger suffix tree.

Goal: Lightweight LCP Construction for Next-Generation Sequencing Datasets, i.e. for a large collection of short sequences.

Let $v$ a sequence on an alphabet of $\sigma$ letters of length $k$.

- BWT[i]: The symbol that (circularly) precedes the first symbol of the suffix.
- LCP[i]: The length of longest common prefix with preceding suffix in the list of sorted

Example

$$
v=\begin{array}{llllllllllllll} 
& 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 \\
\mathrm{G} & \mathrm{C} & \mathrm{~A} & \mathrm{C} & \mathrm{~T} & \mathrm{G} & \mathrm{~T} & \mathrm{~A} & \mathrm{C} & \mathrm{C} & \mathrm{~A} & \mathrm{~A} & \mathrm{C} & \$
\end{array}
$$



Let $v$ a sequence on an alphabet of $\sigma$ letters of length $k$.

- SA[i]: The starting position of the $i$ th smallest suffix of $v$.
- BWT[i]: The symbol that (circularly) precedes the first symbol of the suffix.
- LCP[i]: The length of longest common prefix with preceding suffix in the list of sorted suffix.
Example

$v=$| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| G | C | A | C | T | G | T | A | C | C | A | A | C | $\$$ |


|  | $S A$ | $L C P$ | $B W T$ | Sorted Suffixes of $v$ |
| :---: | :---: | :---: | :---: | :--- |
| 0 | 13 | 0 | $C$ | $\$$ |
| 1 | 10 | 0 | $C$ | $A A C \$$ |
| 2 | 11 | 1 | $A$ | $A C \$$ |
| 3 | 7 | 2 | $T$ | $A C C A A C \$$ |
| 4 | 2 | 2 | $C$ | $A C T G T A C C A A C \$$ |
| 5 | 12 | 0 | $A$ | $C \$$ |
| 6 | 9 | 1 | $C$ | $C A A C \$$ |
| 7 | 1 | 2 | $G$ | $C A C T G T A C C A A C \$$ |
| 8 | 8 | 1 | $A$ | $C C A A C \$$ |
| 9 | 3 | 1 | $A$ | $C T G T A C C A A C \$$ |
| 10 | 0 | 0 | $\$$ | $G C A C T G T A C C A A C \$$ |
| 11 | 5 | 1 | $T$ | $G T A C C A A C \$$ |
| 12 | 6 | 0 | $G$ | $T A C C A A C \$$ |
| 13 | 4 | 1 | $C$ | $T G T A C C A A C \$$ |

Let $v$ a sequence on an alphabet of $\sigma$ letters of length $k$.

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- BWT[i]: The symbol that (circularly) precedes the first symbol of the suffix.
- LCP[i]: The length of longest common prefix with preceding suffix in the list of sorted suffix.
Example

| $v=$ | O | $\begin{aligned} & 1 \\ & \mathrm{C} \end{aligned}$ | $\begin{array}{ll} 2 & 3 \\ \mathrm{~A} & \mathrm{C} \end{array}$ |  | ${ }_{\text {¢ }}$ | $7$ | $8$ | $9$ | 10 $A$ | 11 $A$ | $\stackrel{12}{C}$ | 13 $\$$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | SA | $L C P$ | $B W T$ | Sort | Suffix | es of |  |  |  |  |  |
|  | 0 | 13 | 0 | C | \$ |  |  |  |  |  |  |  |
|  | 1 | 10 | 0 | C | AA |  |  |  |  |  |  |  |
|  | 2 | 11 | 1 | A | $A C$ |  |  |  |  |  |  |  |
|  | 3 | 7 | 2 | T | AC | A A |  |  |  |  |  |  |
|  | 4 | 2 | 2 | C | $A C$ | GT | C | $A C \$$ |  |  |  |  |
|  | 5 | 12 | 0 | A | C\$ |  |  |  |  |  |  |  |
|  | 6 | 9 | 1 | C | $C A$ | $C$ \$ |  |  |  |  |  |  |
|  | 7 | 1 | 2 | G | $C A$ | TGT | AC | A |  |  |  |  |
|  | 8 | 8 | 1 | A | CC | $A C$ |  |  |  |  |  |  |
|  | 9 | 3 | 1 | A | CT | T A | C $A$ |  |  |  |  |  |
|  | 10 | 0 | 0 | \$ | GC | CT | T A | CA |  |  |  |  |
|  | 11 | 5 | 1 | T | GT | CC | $A C$ |  |  |  |  |  |
|  | 12 | 6 | 0 | G | TA | CA |  |  |  |  |  |  |
|  | 13 | 4 | 1 | C | TG | $A C$ | A |  |  |  |  |  |

For instance, the suffix $A C C A A C \$$ is the 6 -suffix of $v$ and the symbol $T$ in the BWT precedes such suffix.

## Definition

$j$-suffix of $v$ is the last $j$ non- $\$$ symbols of that string and 0 -suffix of $v$ is $\$$.

Let $v$ a sequence on an alphabet of $\sigma$ letters of length $k$.

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Example

$v=$| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :--- | :---: | :---: | :---: | :---: | :---: | :--- | :--- | :--- | :--- | :--- |
| G | C | A | C | T | G | T | A | C | C | A |
| A | 12 | 13 |  |  |  |  |  |  |  |  |
|  | $S A$ | $L C P$ | $B W T$ | Sorted Suffixes of $v$ |  |  |  |  |  |  |
| 0 | 13 | 0 | $C$ | $\$$ |  |  |  |  |  |  |
| 1 | 10 | 0 | $C$ | $A A C \$$ |  |  |  |  |  |  |
| 2 | 11 | 1 | $A$ | $A C \$ \$$ |  |  |  |  |  |  |
| 3 | 7 | 2 | $T$ | $A C C A A C \$$ |  | A-segment |  |  |  |  |
| 4 | 2 | 2 | $C$ | $A C T G T A C C A A C \$$ |  |  |  |  |  |  |
| 5 | 12 | 0 | $A$ | $C \$$ |  |  |  |  |  |  |
| 6 | 9 | 1 | $C$ | $C A A C \$$ |  |  |  |  |  |  |
| 7 | 1 | 2 | $G$ | $C A C T G T A C C A A C \$$ | C-segment |  |  |  |  |  |
| 8 | 8 | 1 | $A$ | $C C A A C \$$ |  |  |  |  |  |  |
| 9 | 3 | 1 | $A$ | $C T G T A C C A A C \$$ |  |  |  |  |  |  |
| 10 | 0 | 0 | $\$$ | $G C A C T G T A C C A A C \$$ | G-segment |  |  |  |  |  |
| 11 | 5 | 1 | $T$ | $G T A C C A A C \$$ |  |  |  |  |  |  |
| 12 | 6 | 0 | $G$ | $T A C C A A C \$$ |  |  |  |  |  |  |
| 13 | 4 | 1 | $C$ | $T G T A C C A A C \$$ | T-segment |  |  |  |  |  |

For instance, the suffix $A C C A A C \$$ is the 6 -suffix of $v$ and the symbol $T$ in the BWT precedes such suffix.

## Definition

$j$-suffix of $v$ is the last $j$ non- $\$$ symbols of that string and 0 -suffix of $v$ is $\$$.
Helpful to think of BWT and LCP as being in $\sigma+1$ "segments" labelled according to first symbol of "associated" suffix.

Let $\mathrm{S}=\left\{S_{1}, S_{2}, \ldots, S_{m}\right\}$ be a collection of strings on an alphabet of $\sigma$ letters. The sum of lengths of $S_{i}$ is $N$.

- GSA[i]: The $i$-th smallest suffix of the strings in S . If GSA $[\mathrm{i}]=(\mathrm{t}, \mathrm{h})$, then it corresponds to the suffix starting at the position $t$ of the string $S_{h}$.
- BWT[i]: The symbol that (circularly) precedes the first symbol of the suffix of $S_{h}$.
- LCP[i]: The length of longest common prefix with preceding suffix in the sorted list of the suffixes of $S$.
Example

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $S_{1}$ | $G$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ | $G$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |
| $S_{3}$ | $T$ | $C$ | $G$ | $C$ | $T$ | $T$ | $\$_{3}$ |


| $G S A$ | $L C P$ | $B W T$ | Sorted Suffixes of S |  |
| :--- | :---: | :---: | :--- | :--- |
| $(6,1)$ | 0 | $C$ | $\$_{1}$ | \$-segment |
| $(6,2)$ | 0 | $C$ | $\$_{2}$ |  |
| $(6,3)$ | 0 | $T$ | $\$_{3}$ |  |
| $(3,1)$ | 0 | $C$ | $A A C \$_{1}$ | A-segment |
| $(4,1)$ | 1 | $A$ | $A C \$_{1}$ |  |
| $(1,2)$ | 1 | $G$ | $A G C T C \$_{2}$ |  |
| $(5,1)$ | 0 | $A$ | $C \$_{1}$ |  |
| $(5,2)$ | 1 | $T$ | $C \$_{2}$ |  |
| $(2,1)$ | 1 | $C$ | $C A A C \$_{1}$ | C-segment |
| $(1,1)$ | 1 | $G$ | $C C A A C \$_{1}$ |  |
| $(1,3)$ | 1 | $T$ | $C G C T T \$_{3}$ |  |
| $(3,2)$ | 1 | $G$ | $C T C \$_{2}$ |  |
| $(3,3)$ | 2 | $G$ | $C T T \$_{3}$ | G-segment |
| $(0,2)$ | 0 | $\$_{2}$ | $G A G C T C \$_{2}$ |  |
| $(0,1)$ | 1 | $\$_{1}$ | $G C C A A C \$_{1}$ |  |
| $(2,2)$ | 2 | $A$ | $G C T C \$_{2}$ |  |
| $(2,3)$ | 3 | $C$ | $G C T T \$_{3}$ | T-segment |
| $(5,3)$ | 0 | $T$ | $T \$_{3}$ |  |
| $(4,2)$ | 1 | $C$ | $T C \$_{2}$ |  |
| $(0,3)$ | 2 | $\$_{3}$ | $T C G C T T \$_{3}$ |  |
| $(4,3)$ | 1 | $C$ | $T T \$_{3}$ |  |

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- LCP[i]: The length of longest common prefix with preceding suffix in the sorted list of the suffixes of $S$.
Example

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $S_{1}$ | $G$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ | $G$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |
| $S_{3}$ | $T$ | $C$ | $G$ | $C$ | $T$ | $T$ | $\$_{3}$ |


| $G S A$ | $L C P$ | $B W T$ | Sorted Suffixes of S |  |
| :--- | :---: | :---: | :--- | :--- |
| $(6,1)$ | 0 | $C$ | $\$_{1}$ | \$-segment |
| $(6,2)$ | 0 | $C$ | $\$_{2}$ |  |
| $(6,3)$ | 0 | $T$ | $\$_{3}$ |  |
| $(3,1)$ | 0 | $C$ | $A A C \$_{1}$ | A-segment |
| $(4,1)$ | 1 | $A$ | $A C \$_{1}$ |  |
| $(1,2)$ | 1 | $G$ | $A G C T C \$_{2}$ |  |
| $(5,1)$ | 0 | $A$ | $C \$_{1}$ |  |
| $(5,2)$ | 1 | $T$ | $C \$_{2}$ |  |
| $(2,1)$ | 1 | $C$ | $C A A C \$_{1}$ | C-segment |
| $(1,1)$ | 1 | $G$ | $C C A A C \$_{1}$ |  |
| $(1,3)$ | 1 | $T$ | $C G C T T \$_{3}$ |  |
| $(3,2)$ | 1 | $G$ | $C T C \$_{2}$ |  |
| $(3,3)$ | 2 | $G$ | $C T T \$_{3}$ | G-segment |
| $(0,2)$ | 0 | $\$_{2}$ | $G A G C T C \$_{2}$ |  |
| $(0,1)$ | 1 | $\$_{1}$ | $G C C A A C \$_{1}$ |  |
| $(2,2)$ | 2 | $A$ | $G C T C \$_{2}$ |  |
| $(2,3)$ | 3 | $C$ | $G C T T \$_{3}$ | T-segment |
| $(5,3)$ | 0 | $T$ | $T \$_{3}$ |  |
| $(4,2)$ | 1 | $C$ | $T C \$_{2}$ |  |
| $(0,3)$ | 2 | $\$_{3}$ | $T C G C T T \$_{3}$ |  |
| $(4,3)$ | 1 | $C$ | $T T \$_{3}$ |  |

For instance, the suffixes $C A A C \$_{1}, G C T C \$_{2}, G C T T \$_{3}$ are the 4 -suffixes of S .
In general, $j$-suffix of $S_{i} \in \mathrm{~S}$ is the last $j$ non- $\$$ symbols of that string and 0 -suffix of $S_{i}$ is $\$_{i}$.のल

## A massive collection of sequences

Input:
A massive collection $S$ of $m$ strings on an alphabet of $\sigma$ letters.
Output:
The LCP array of S (mainly) working in external memory.

- Usual algorithms do not fit to handle collections of sequences. So they concatenate sequences of $S$ in order to obtain a single sequence.
- These algorithms
- compute the LCP from Suffix Array (Kasai et. al. 2001, Kärkkäinen et. al. 2009, and so on).
But: (often) need to hold SA in RAM (Simpson and Durbin estimate 700Gbytes RAM for SA of 60 Gbases of data).
- compute the LCP acting directly on the BWT of the string and does not need its suffix array (Beller et. al. 2011).
But: they mainly work in internal memory.


## Our idea

Our algorithm computes the LCP of the collection $S$ of sequences mostly in external memory, storing some tables in internal memory:

- without concatenating the strings belonging to S.
- without pre-computing either the BWT or the (G)SA. It computes the LCP and the BWT at the same time.

Building upon the method (called BCR ) of BWT computation (in external memory) introduced in Bauer et al., our algorithm adds some lightweight data structures and allows the LCP and BWT of a collection of strings to be computed simultaneously.

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Building upon the method (called BCR ) of BWT computation (in external memory) introduced in Bauer et al., our algorithm adds some lightweight data structures and allows the LCP and BWT of a collection of strings to be computed simultaneously.

For further details on building of the BWT in external memory:
M. J. Bauer, A. J. Cox, G. R., Lightweight algorithms for constructing and inverting the BWT of string collections, Theoretical Computer Science, Available online 10 February 2012.

## Our algorithm extLCP

Let $\mathrm{S}=\left\{S_{1}, S_{2}, \ldots, S_{m}\right\}$ be a collection of strings.

## Definition

$j$-suffix of $S_{i} \in \mathrm{~S}$ is the last $j$ non- $\$$ symbols of that string and 0 -suffix of $S_{i} \in \mathrm{~S}$ is $\$_{i}$.

Our algorithm

$$
\text { algorithm considers all the } j \text {-suffixes of } \mathrm{S} \text { and computes }
$$

Each iteration $j$ simulates the insertion of the $j$-suffixes in the suffix array.

- This insertion does not affect the relative ordering of symbols inserted during previous iterations.


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Our algorithm

- works incrementally via $K$ iterations, where $K$ is the maximal length of the strings in S . At each of the iterations $j=1,2, \ldots, K-1$, the algorithm considers all the $j$-suffixes of S and computes
- a partial BWT string bwt ${ }_{j}(\mathrm{~S})$ by inserting the symbols preceding the - a partial LCP array $\operatorname{lcp_{j}}(S)$ by inserting the LCP-values of $j$-suffixes of

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- a partial BWT string $\mathrm{bwt}_{j}(\mathrm{~S})$ by inserting the symbols preceding the $j$-suffixes of S at their correct positions into bwt ${ }_{j-1}(\mathrm{~S})$

Each iteration $j$ simulates the insertion of the $j$-suffixes in the suffix array.
during previous iterations.

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- a partial BWT string $\mathrm{bwt}_{j}(\mathrm{~S})$ by inserting the symbols preceding the $j$-suffixes of $S$ at their correct positions into bwt ${ }_{j-1}(\mathrm{~S})$
- a partial LCP array $\operatorname{Icp}_{j}(\mathrm{~S})$ by inserting the LCP-values of $j$-suffixes of S and updating the LCP-values of suffixes already inserted.
Each iteration $j$ simulates the insertion of the $j$-suffixes in the suffix array.
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- a partial LCP array $\operatorname{Icp}_{j}(\mathrm{~S})$ by inserting the LCP-values of $j$-suffixes of S and updating the LCP-values of suffixes already inserted.
Each iteration $j$ simulates the insertion of the $j$-suffixes in the suffix array.
- This insertion does not affect the relative ordering of symbols inserted during previous iterations.


## Example

Let $\mathrm{S}=\left\{S_{1}, S_{2}\right\}=\{A C A C T G T A C C A A C, G A A C A G A A A G C T C\}$ be a collection of $m=2$ strings of length $k=13$ on an alphabet of $\sigma=4$ letters.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  |  | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  |  | $\$_{2}$ |

At step $j$, we insert the symbols circularly preceding the $j$-suffixes into the partial BWT and insert/update the LCP-values in the partial LCP. We do not need to keep the entire collection in internal memory. It is enough to keep the symbols that we have to insert at the iteration $j$ (red symbols)
common prefix between two end-markers is 0 .

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|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |

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|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  | $T$ | $C$ | $\$_{2}$ |

At step $j$, we insert the symbols circularly preceding the $j$-suffixes into the partial BWT and insert/update the LCP-values in the partial LCP. We do not need to keep the entire collection in internal memory. It is enough to keep the symbols that we have to insert at the iteration $j$ (red symbols)
$\qquad$ common prefix between two end-markers is 0 .

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|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  | $A$ | $A$ | $C$ | $\$_{1}$ |
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| $S_{1}$ |  |  |  |  |  |  |  |  |  | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
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| $S_{1}$ |  |  |  |  |  |  |  |  | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
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| $S_{1}$ |  |  |  |  |  |  |  | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
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| $S_{1}$ |  |  |  |  |  |  | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  | $A$ | $A$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |

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| $S_{1}$ |  |  |  |  | $T$ | $G$ | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
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| $S_{1}$ |  |  |  | $C$ | $T$ | $G$ | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  | $C$ | $A$ | $G$ | $A$ | $A$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |

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| $S_{1}$ |  |  | $A$ | $C$ | $T$ | $G$ | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
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| $S_{1}$ |  | $C$ | $A$ | $C$ | $T$ | $G$ | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ |  | $A$ | $A$ | $C$ | $A$ | $G$ | $A$ | $A$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |

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| $S_{1}$ | $A$ | $C$ | $A$ | $C$ | $T$ | $G$ | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ | $G$ | $A$ | $A$ | $C$ | $A$ | $G$ | $A$ | $A$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |

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| $S_{1}$ | $A$ | $C$ | $A$ | $C$ | $T$ | $G$ | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ | $G$ | $A$ | $A$ | $C$ | $A$ | $G$ | $A$ | $A$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |

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| $S_{1}$ | $A$ | $C$ | $A$ | $C$ | $T$ | $G$ | $T$ | $A$ | $C$ | $C$ | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ | $G$ | $A$ | $A$ | $C$ | $A$ | $G$ | $A$ | $A$ | $A$ | $G$ | $C$ | $T$ | $C$ | $\$_{2}$ |

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We assume that $\$_{1}=\$_{2}=\$$ and $\$<A<C<G<T$ and the longest common prefix between two end-markers is 0 .
$S_{i}\left[\left|S_{i}\right|\right]=S_{j}\left[\left|S_{j}\right|\right]=\$$, and we define $S_{i}\left[\left|S_{i}\right|\right]<S_{j}\left[\left|S_{j}\right|\right]$, if $i<j$.

## Example: Iteration 0

Let $\mathrm{S}=\left\{S_{1}, S_{2}\right\}=\{A C A C T G T A C C A A C, G A A C A G A A A G C T C\}$ be a collection of $m=2$ strings of length $k=13$ on an alphabet of $\sigma=4$ letters.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  |  | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  |  | $\$_{2}$ |

We assume that the first element of LCP array is 0 . Recall that


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Let $\mathrm{S}=\left\{S_{1}, S_{2}\right\}=\{A C A C T G T A C C A A C, G A A C A G A A A G C T C\}$ be a collection of $m=2$ strings of length $k=13$ on an alphabet of $\sigma=4$ letters.

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |

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| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |

We assume that the first element of LCP array is 0 . Recall that

| $L_{0}(\$)$ | $B_{0}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


|  |
| :---: |
| $--\frac{\$_{1}}{\$_{2}^{-}}---$ |

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| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |

We assume that the first element of LCP array is 0 . Recall that $l c p\left(\$_{1}, \$_{2}\right)=0$.

| $L_{0}(\$)$ | $B_{0}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


| -- ${ }_{\text {- }}$ |
| :---: |
| $\$_{2}^{1}$ |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |

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| $L_{0}(\$)$ | $B_{0}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


| -- ${ }_{\text {- }}$ |
| :---: |
| $\$_{2}^{1}$ |

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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |

We assume that the first element of LCP array is 0 . Recall that $l c p\left(\$_{1}, \$_{2}\right)=0$. We obtain:

| $L_{0}(\$)$ | $B_{0}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |



Helpful to think of BWT and LCP as being in $\sigma+1$ "segments" labelled according to the first symbol of associated suffix: $\mathrm{bwt}_{0}(\mathrm{~S})=B_{0}(\$)$ and $\operatorname{Icp}_{0}(\mathrm{~S})=L_{0}(\$)$.

## Example: Iteration 1

Let $\mathrm{S}=\left\{S_{1}, S_{2}\right\}=\{A C A C T G T A C C A A C, G A A C A G A A A G C T C\}$ be a collection of $m=2$ strings of length $k=13$ on an alphabet of $\sigma=4$ letters.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |


| $L_{0}(\$)$ | $B_{0}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


| Sorted Suffixes |
| :---: |
| \$1 |
| $\$_{2}^{-}$ |



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| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  |  | $C$ | $\$_{2}$ |


| $L_{0}(\$)$ | $B_{0}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


|  |
| :---: |
| $\$_{2}^{1}$ |


| $L_{1}(C)$ | $B_{1}(C)$ |
| :---: | :---: |
| 0 | $A$ |
| 1 | $T$ |


| Sorted Suffixes |
| :---: |
| $\bar{C} \$_{1}$ |
| $\bar{C} \overline{\$}_{2}$ |

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| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  | $T$ | $C$ | $\$_{2}$ |


| $L_{0}(\$)$ | $B_{0}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


|  |
| :---: |
| $\$_{2}^{1}$ |


| $L_{1}(C)$ | $B_{1}(C)$ |
| :---: | :---: |
| 0 | $A$ |
| 1 | $T$ |


| Sorted Suffixes |
| :---: |
| $\bar{C} \$_{1}$ |
| $\bar{C} \overline{\$}_{2}$ |

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|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  |  | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  |  | $T$ | $C$ | $\$_{2}$ |


| $L_{1}(\$)$ | $B_{1}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


| $\overline{\$}_{1}^{-}$ |
| :---: |
| $\$_{2}^{-}-\cdots$ |


| $L_{1}(C)$ | $B_{1}(C)$ |
| :---: | :---: |
| 0 | $A$ |
| 1 | $T$ |



We recall that $\mathrm{bwt}_{1}(\mathrm{~S})=B_{1}(\$) B_{1}(A) \cdots B_{1}(T)$ and $\mathrm{Icp}_{1}(\mathrm{~S})=L_{1}(\$) L_{1}(A) \cdots L_{1}(T)$.

## Example: Iteration 1

Let $\mathrm{S}=\left\{S_{1}, S_{2}\right\}=\{A C A C T G T A C C A A C, G A A C A G A A A G C T C\}$ be a collection of $m=2$ strings of length $k=13$ on an alphabet of $\sigma=4$ letters.

|  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $S_{1}$ |  |  |  |  |  |  |  |  |  |  | $A$ | $A$ | $C$ | $\$_{1}$ |
| $S_{2}$ |  |  |  |  |  |  |  |  |  |  | $C$ | $T$ | $C$ | $\$_{2}$ |


| $L_{1}(\$)$ | $B_{1}(\$)$ |
| :---: | :---: |
| 0 | $C$ |
| 0 | $C$ |


| $\overline{\$}_{1}^{-}$ |
| :---: |
| $\$_{2}^{-}-\cdots$ |


| $L_{1}(C)$ | $B_{1}(C)$ |
| :---: | :---: |
| 0 | $A$ |
| 1 | $T$ |



We recall that $\mathrm{bwt}_{1}(\mathrm{~S})=B_{1}(\$) B_{1}(A) \cdots B_{1}(T)$ and $\mathrm{Icp}_{1}(\mathrm{~S})=L_{1}(\$) L_{1}(A) \cdots L_{1}(T)$.

## Example: Looking in detail at iteration 13

$L_{12}(\$) \quad B_{1}$

$L_{12}(A)$
0

| 0 |
| :--- |
| 2 |
| $\mathbf{3}$ |
| 2 |
| 1 |
| 2 |
| 2 |
| 2 |
| 1 |
| 2 |

$B_{12}(A)$ ।
Sorted Suffixes
$A A A G C T C \$_{2}$
$A A C \$_{1}$
AACAGAAAGCTC $\$_{2}$
$A A G C T C \$_{2}$
$A C \$_{1}$
ACAGAAAGCTC $\$_{2}$
$A C C A A C \$_{1}$
$A C T G T A C C A A C \$_{1}$
$A G A A A G C T C \$_{2}$
$A G C T C \$_{2}$

| $L_{12}(C)$ |
| :---: |
| 0 |
| 1 |
| 1 |
| $\mathbf{2}$ |
| $\mathbf{2}$ |
| 1 |
| 1 |
| 2 |

$\begin{array}{cll}B_{12}(C) & \text { I Sorted Suffixes } \\ A & \text { I } C \$_{1}\end{array}$

$\rightarrow$|  | 0 |
| :---: | :---: |
| $\rightarrow$ | 1 |
| 1 |  |
|  | $\mathbf{2}$ |
|  | $\mathbf{2}$ |
| 1 |  |
|  | 1 |
|  | 2 |

$C \$_{2}$ $C A A C \$_{1}$
CACTGTACCAAC $\$_{1}$
$C A G A A A G C T C \$_{2}$
$C C A A C \$_{1}$
$C T C \$_{2}$
$C T G T$ ACCAAC $\$_{1}$
Sorted Suffixes
GAAAGCTC $\$_{2}$
$G C T C \$_{2}$
$G T A C C A A C \$_{1}$
$\begin{array}{ccll}L_{12}(T) & B_{12}(T) & \text { Sorted Suffixes } \\ 0 & G & T A C C A A C \$_{1} \\ 1 & C & T C \$_{2} \\ 1 & C & T G T A C C A A C \$_{1}\end{array}$
1.

Sorted Suffixes



## Example: Looking in detail at iteration 13

|  | $L_{12}$ (\$) | $B_{12}(\$)$ |  | Sorted Suffixes | $L_{13}(\$)$ | $B_{13}(\$)$ |  | Sorted Suffixes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | C | 1 | \$1 | 0 | C | 1 | \$1 |
|  | 0 | $C$ | 1 | \$2 | 0 | $C$ |  | \$2 |
|  | $L_{12}(A)$ | $B_{12}(A)$ | 1 | Sorted Suffixes | $L_{13}(A)$ | $B_{13}(A)$ |  | Sorted Suffixes |
|  | 0 | G |  | $A A A G C T C \$_{2}$ | 0 | G |  | $A A A G C T C \$_{2}$ |
|  | 2 | $C$ |  | $A A C \$_{1}$ | 2 | C |  | $A A C \$_{1}$ |
| $\rightarrow$ | 3 | G |  | AACAGAAAGCTC $\$_{2}$ | 3 | $G$ |  | $A A C A G A A A G C T C \$_{2}$ |
|  | 2 | $A$ |  | $A A G C T C \$_{2}$ | 2 | A |  | $A A G C T C \$_{2}$ |
|  | 1 | $A$ | 1 | $A C \$_{1}$ | 1 | A |  | $A C \$_{1}$ |
|  | 2 | $A$ | 1 | $A C A G A A A G C T C \$_{2}$ |  |  |  |  |
|  | 2 | $T$ | I | $A C C A A C \$_{1}$ | $\underline{2}$ | A |  | $A C A G A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A C T G T A C C A A C \$_{1}$ | 2 | $T$ |  | $A C C A A C \$_{1}$ |
|  | 1 | C |  | $A G A A A G C T C \$_{2}$ | 2 | C |  | $A C T G T A C C A A C \$_{1}$ |
|  | 2 | A |  | $A G C T C \$_{2}$ | 1 | C |  | $A G A A A G C T C \$_{2}$ |
|  |  |  |  |  | 2 | $A$ |  | $A G C T C \$_{2}$ |
|  | $L_{12}(C)$ | $B_{12}(C)$ | 1 | Sorted Suffixes | $L_{13}(C)$ | $B_{13}(C)$ |  | Sorted Suffixes |
|  | 0 | A | 1 | $C \$_{1}$ | 0 | A |  | $C \$_{1}$ |
|  | 1 | $T$ | I | $C \$_{2}$ | 1 | $T$ |  | $C \$_{2}$ |
|  | 1 | $C$ |  | $C A A C \$_{1}$ | 1 | C |  | $C A A C \$_{1}$ |
| $\rightarrow$ | 2 | A |  | CACTGTACCAAC $\$_{1}$ | 2 | $G$ |  | $C A C T G T A C C A A C \$_{1}$ |
|  | 2 | A |  | $C A G A A A G C T C \$_{2}$ | 2 | $A$ |  | $C A G A A A G C T C \$_{2}$ |
|  | 1 | A | 1 | $C C A A C \$_{1}$ | 1 | A |  | $C C A A C \$_{1}$ |
|  | 1 | $G$ | 1 | $C T C \$_{2}$ | 1 | G |  | $C T C \$_{2}$ |
|  | 2 | A | 1 | $C T G T A C C A A C \$_{1}$ | 2 | A |  | $C T G T A C C A A C \$_{1}$ |
|  | $L_{12}(G)$ | $B_{12}(G)$ |  | Sorted Suffixes | $L_{13}(G)$ | $B_{13}(G)$ |  | Sorted Suffixes |
|  | 0 | A |  | $G A A A G C T C \$_{2}$ | 0 | $A$ |  | $G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $G C T C \$_{2}$ |  |  |  |  |
|  | 1 | $T$ | 1 | $G T A C C A A C \$_{1}$ | $\underline{1}$ | A |  | $G C T C \$_{2}$ |
|  |  |  | 1 |  | 1 | $T$ |  | $G T A C C A A C \$_{1}$ |
|  | $L_{12}(T)$ | $B_{12}(T)$ | 1 | Sorted Suffixes | $L_{13}(T)$ | $B_{13}(T)$ |  | Sorted Suffixes |
|  | 0 | $G$ | 1 | $T A C C A A C \$_{1}$ | 0 | $G$ |  | $T A C C A A C \$_{1}$ |
|  | 1 | C |  | $T C \$_{2}$ | 1 | C |  | $T C \$_{2}$ |
|  | 1 | C |  | $T G T A C C A A C \$_{1}$ | 1 | C |  | $T G T A C C A A C \$_{1}$ |

## Example: Looking in detail at iteration 13

|  | $L_{12}(\$)$ | $B_{12}(\$)$ |  | Sorted Suffixes | $L_{13}$ (\$) | $B_{13}(\$)$ |  | Sorted Suffixes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | C | 1 | \$1 | 0 | $C$ |  | \$1 |
|  | 0 | C | 1 | \$2 | 0 | C |  | \$2 |
|  | $L_{12}(A)$ | $B_{12}(A)$ |  | Sorted Suffixes | $L_{13}(A)$ | $B_{13}(A)$ |  | Sorted Suffixes |
|  | 0 | $G$ |  | $A A A G C T C \$_{2}$ | 0 | $G$ |  | $A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A A C \$_{1}$ | 2 | C |  | $A A C \$_{1}$ |
| $\rightarrow$ | 3 | G |  | AACAGAAAGCTC $\$_{2}$ | 3 | G |  | $A A C A G A A A G C T C \$_{2}$ |
|  | 2 | $A$ |  | $A A G C T C \$_{2}$ | 2 | $A$ |  | $A A G C T C \$_{2}$ |
|  | 1 | A | I | $A C \$_{1}$ | 1 | A |  | $A C \$_{1}$ |
|  | 2 | A | I | $A C A G A A A G C T C \$_{2} \rightarrow$ | $1+1=2$ | \$1 |  | ACACTGTACCAAC $\$_{1}$ |
|  | 2 | $T$ | I | $A C C A A C \$_{1}$ | $\underline{2}$ | $A$ |  | $A C A G A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A C T G T A C C A A C \$_{1}$ | 2 | $T$ |  | $A C C A A C \$_{1}$ |
|  | 1 | C |  | $A G A A A G C T C \$_{2}$ | 2 | C |  | $A C T G T A C C A A C \$_{1}$ |
|  | 2 | $A$ |  | $A G C T C \$_{2}$ | 1 | C |  | $A G A A A G C T C \$_{2}$ |
|  |  |  |  |  | 2 | $A$ |  | $A G C T C \$_{2}$ |
|  | $L_{12}(C)$ | $B_{12}(C)$ | 1 | Sorted Suffixes | $L_{13}(C)$ | $B_{13}(C)$ |  | Sorted Suffixes |
|  | 0 | A |  | $C \$_{1}$ | 0 | A |  | $C \$_{1}$ |
| $\min =1$ | 1 | $T$ |  | $C \$_{2}$ | 1 | $T$ |  | $C \$_{2}$ |
|  | 1 | C |  | $C A A C \$_{1}$ | 1 | C |  | $C A A C \$_{1}$ |
| $\rightarrow$ | 2 | A |  | CACTGTACCAAC $\$_{1}$ | 2 | $G$ |  | $C A C T G T A C C A A C \$_{1}$ |
|  | 2 | A |  | $C A G A A A G C T C \$_{2}$ | 2 | $A$ |  | $C A G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $C C A A C \$_{1}$ | 1 | A |  | $C C A A C \$_{1}$ |
|  | 1 | $G$ | I | $C T C \$_{2}$ | 1 | $G$ |  | $C T C \$_{2}$ |
|  | 2 | A | 1 | $C T G T A C C A A C \$_{1}$ | 2 | A |  | $C T G T A C C A A C \$_{1}$ |
|  | $L_{12}(G)$ | $B_{12}(G)$ | 1 | Sorted Suffixes | $L_{13}(G)$ | $B_{13}(G)$ |  | Sorted Suffixes |
|  | 0 | A |  | $G A A A G C T C \$_{2}$ | 0 | A |  | $G A A A G C T C \$_{2}$ |
|  | 1 | $A$ $T$ | I | $G C T C \$_{2}$ $G T A C C A A C \$_{1}$ | 1 | A |  |  |
|  |  |  |  |  | $\frac{1}{1}$ | $T$ |  | $G T A C C A A C \$_{1}$ |
|  | $L_{12}(T)$ | $B_{12}(T)$ | 1 | Sorted Suffixes | $L_{13}(T)$ | $B_{13}(T)$ |  | Sorted Suffixes |
|  | 0 | $G$ |  | $T A C C A A C \$_{1}$ | 0 | $G$ |  | $T A C C A A C \$_{1}$ |
|  | 1 | C |  | $T C \$_{2}$ | 1 | C |  | $T C \$_{2}$ |
|  | 1 | C |  | $T G T A C C A A C \$ 1$ | 1 | C |  | $T G T A C C A A C \$_{1}$ |

## Example: Looking in detail at iteration 13

|  | $L_{12}(\$)$ | $B_{12}(\$)$ |  | Sorted Suffixes | $L_{13}$ (\$) | $B_{13}(\$)$ | Sorted Suffixes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | C | 1 | \$1 | 0 | $C$ | \$1 |
|  | 0 | C | 1 | \$2 | 0 | C | \$2 |
|  | $L_{12}(A)$ | $B_{12}(A)$ | 1 | Sorted Suffixes | $L_{13}(A)$ | $B_{13}(A)$ | Sorted Suffixes |
|  | 0 | $G$ |  | $A A A G C T C \$_{2}$ | 0 | $G$ | $A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A A C \$_{1}$ | 2 | C | $A A C \$_{1}$ |
| $\rightarrow$ | 3 | G |  | AACAGAAAGCTC $\$_{2}$ | 3 | G | $A A C A G A A A G C T C \$_{2}$ |
|  | 2 | $A$ |  | $A A G C T C \$_{2}$ | 2 | $A$ | $A A G C T C \$_{2}$ |
|  | 1 | A | 1 | $A C \$_{1}$ | 1 | A | $A C \$_{1}$ |
|  | 2 | A | I | $A C A G A A A G C T C \$_{2} \rightarrow$ | $1+1=2$ | \$1 | ACACTGTACCAAC $\$_{1}$ |
|  | 2 | $T$ | I | $A C C A A C \$_{1}$ | $2+1=\underline{3}$ | A | $A C A G A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A C T G T A C C A A C \$_{1}$ | 2 | $T$ | $A C C A A C \$_{1}$ |
|  | 1 | C |  | $A G A A A G C T C \$_{2}$ | 2 | C | $A C T G T A C C A A C \$_{1}$ |
|  | 2 | $A$ |  | $A G C T C \$_{2}$ | 1 | C | $A G A A A G C T C \$_{2}$ |
|  |  |  |  |  | 2 | A | $A G C T C \$_{2}$ |
|  | $L_{12}(C)$ | $B_{12}(C)$ | 1 | Sorted Suffixes | $L_{13}(C)$ | $B_{13}(C)$ | Sorted Suffixes |
|  | 0 | $A$ |  | $C \$_{1}$ | 0 | $A$ | $C \$_{1}$ |
| $\min =1$ | 1 | $T$ | I | $C \$_{2}$ | 1 | $T$ | $C \$_{2}$ |
|  | 1 | C |  | $C A A C \$_{1}$ | 1 | C | $C A A C \$_{1}$ |
| $\rightarrow$ | 2 | A |  | CACTGTACCAAC $\$_{1}$ | 2 | G | $C A C T G T A C C A A C \$_{1}$ |
| $\min =2$ | 2 | A |  | $C A G A A A G C T C \$_{2}$ | 2 | $A$ | $C A G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $C C A A C \$_{1}$ | 1 | A | $C C A A C \$_{1}$ |
|  | 1 | $G$ | 1 | $C T C \$_{2}$ | 1 | $G$ | $C T C \$_{2}$ |
|  | 2 | $A$ | 1 | $C T G T A C C A A C \$_{1}$ | 2 | $A$ | $C T G T A C C A A C \$_{1}$ |
|  | $L_{12}(G)$ | $B_{12}(G)$ |  | Sorted Suffixes | $L_{13}(G)$ | $B_{13}(G)$ | Sorted Suffixes |
|  | 0 | A |  | $G A A A G C T C \$_{2}$ | 0 | A | $G A A A G C T C \$_{2}$ |
|  | 1 | A $T$ | 1 | $G C T C \$_{2}$ $G T A C C A A C \$ 1$ | 1 | A |  |
|  |  |  |  |  | $\frac{1}{1}$ | $T$ | $G T A C C A A C \$_{1}$ |
|  | $L_{12}(T)$ | $B_{12}(T)$ | , | Sorted Suffixes | $L_{13}(T)$ | $B_{13}(T)$ | Sorted Suffixes |
|  | 0 | G |  | $T A C C A A C \$_{1}$ | 0 | $G$ | $T A C C A A C \$_{1}$ |
|  | 1 | C |  | $T C \$_{2}$ | 1 | C | $T C \$_{2}$ |
|  | 1 | C |  | $T G T A C C A A C \$_{1}$ | 1 | C | $T G T A C C A A C \$_{1}$ |

## Example: Looking in detail at iteration 13

|  | $L_{12}(\$)$ | $B_{12}(\$)$ |  | Sorted Suffixes | $L_{13}$ (\$) | $B_{13}(\$)$ |  | Sorted Suffixes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | C | I | \$1 | 0 | $C$ |  | \$1 |
|  | 0 | $C$ | 1 | \$2 | 0 | C |  | \$2 |
|  | $L_{12}(A)$ | $B_{12}(A)$ | 1 | Sorted Suffixes | $L_{13}(A)$ | $B_{13}(A)$ |  | Sorted Suffixes |
|  | 0 | $G$ |  | $A A A G C T C \$_{2}$ | 0 | $G$ |  | $A A A G C T C \$_{2}$ |
| $\min =2$ | 2 | C |  | $A A C \$_{1}$ | 2 | C |  | $A A C \$_{1}$ |
| $\rightarrow$ | 3 | G |  | AACAGAAAGCTC $\$_{2}$ | 3 | $G$ |  | $A A C A G A A A G C T C \$_{2}$ |
|  | 2 | $A$ |  | $A A G C T C \$_{2}$ | 2 | $A$ |  | $A A G C T C \$_{2}$ |
|  | 1 | A | 1 | $A C \$_{1}$ | 1 | A |  | $A C \$_{1}$ |
|  | 2 | A | 1 | $A C A G A A A G C T C \$_{2} \rightarrow$ | $1+1=2$ | \$1 |  | ACACTGTACCAAC $\$_{1}$ |
|  | 2 | $T$ | I | $A C C A A C \$_{1}$ | $2+1=\underline{3}$ | A |  | $A C A G A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A C T G T A C C A A C \$_{1}$ | 2 | $T$ |  | $A C C A A C \$_{1}$ |
|  | 1 | C |  | $A G A A A G C T C \$_{2}$ | 2 | C |  | $A C T G T A C C A A C \$_{1}$ |
|  | 2 | $A$ |  | $A G C T C \$_{2}$ | 1 | C |  | $A G A A A G C T C \$_{2}$ |
|  |  |  |  |  | 2 | A |  | $A G C T C \$_{2}$ |
|  | $L_{12}(C)$ | $B_{12}(C)$ | 1 | Sorted Suffixes | $L_{13}(C)$ | $B_{13}(C)$ |  | Sorted Suffixes |
|  | 0 | A | , | $C \$_{1}$ | 0 | A |  | $C \$_{1}$ |
| $\min =1$ | 1 | $T$ | I | $C \$_{2}$ | 1 | $T$ |  | $C \$_{2}$ |
|  | 1 | C |  | $C A A C \$_{1}$ | 1 | C |  | $C A A C \$_{1}$ |
| $\rightarrow$ | 2 | A |  | CACTGTACCAAC $\$_{1}$ | 2 | G |  | $C A C T G T A C C A A C \$_{1}$ |
| $\min =2$ | 2 | A |  | $C A G A A A G C T C \$_{2}$ | 2 | $A$ |  | $C A G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $C C A A C \$_{1}$ | 1 | A |  | $C C A A C \$_{1}$ |
|  | 1 | $G$ | 1 | $C T C \$_{2}$ | 1 | $G$ |  | $C T C \$_{2}$ |
|  | 2 | $A$ |  | $C T G T A C C A A C \$_{1}$ | 2 | $A$ |  | $C T G T A C C A A C \$_{1}$ |
|  | $L_{12}(G)$ | $B_{12}(G)$ |  | Sorted Suffixes | $L_{13}(G)$ | $B_{13}(G)$ |  | Sorted Suffixes |
|  | 0 | A |  | $G A A A G C T C \$_{2}$ | $0$ | A |  | $G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $G C T C \$_{2} \quad \rightarrow$ | $2+1=3$ | \$2 |  | GAACAGAAAGCTC $\$_{2}$ |
|  | 1 | $T$ | 1 | $G T A C C A A C \$_{1}$ | $\underline{1}$ | A |  | $G C T C \$_{2}$ |
|  |  |  |  |  | 1 | $T$ |  | $G T A C C A A C \$_{1}$ |
|  | $L_{12}(T)$ | $B_{12}(T)$ |  | Sorted Suffixes | $L_{13}(T)$ | $B_{13}(T)$ |  | Sorted Suffixes |
|  | 0 | G |  | $T A C C A A C \$_{1}$ | 0 | $G$ |  | $T A C C A A C \$_{1}$ |
|  | 1 | C |  | $T C \$_{2}$ | 1 | C |  | $T C \$_{2}$ |
|  | 1 | C |  | $T G T A C C A A C \$_{1}$ | 1 | C |  | $T G T A C C A A C \$_{1}$ |

## Example: Looking in detail at iteration 13

|  | $L_{12}(\$)$ | $B_{12}(\$)$ |  | Sorted Suffixes | $L_{13}$ (\$) | $B_{13}(\$)$ |  | Sorted Suffixes |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | C | 1 | \$1 | 0 | $C$ |  | \$1 |
|  | 0 | $C$ | 1 | \$2 | 0 | C |  | \$2 |
|  | $L_{12}(A)$ | $B_{12}(A)$ | 1 | Sorted Suffixes | $L_{13}(A)$ | $B_{13}(A)$ |  | Sorted Suffixes |
|  | 0 | $G$ |  | $A A A G C T C \$_{2}$ | 0 | $G$ |  | $A A A G C T C \$_{2}$ |
| $\min =2$ | 2 | C |  | $A A C \$_{1}$ | 2 | C |  | $A A C \$_{1}$ |
| $\rightarrow$ | 3 | G |  | AACAGAAAGCTC $\$_{2}$ | 3 | $G$ |  | $A A C A G A A A G C T C \$_{2}$ |
|  | 2 | $A$ |  | $A A G C T C \$_{2}$ | 2 | $A$ |  | $A A G C T C \$_{2}$ |
|  | 1 | A | 1 | $A C \$_{1}$ | 1 | A |  | $A C \$_{1}$ |
|  | 2 | A | I | $A C A G A A A G C T C \$_{2} \rightarrow$ | $1+1=2$ | \$1 |  | ACACTGTACCAAC ${ }_{1}$ |
| $\min =0$ | 2 | $T$ | I | $A C C A A C \$_{1}$ | $2+1=\underline{3}$ | A |  | $A C A G A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A C T G T A C C A A C \$_{1}$ | 2 | $T$ |  | $A C C A A C \$_{1}$ |
|  | 1 | C |  | $A G A A A G C T C \$_{2}$ | 2 | C |  | $A C T G T A C C A A C \$_{1}$ |
|  | 2 | $A$ |  | $A G C T C \$_{2}$ | 1 | C |  | $A G A A A G C T C \$_{2}$ |
|  |  |  |  |  | 2 | A |  | $A G C T C \$_{2}$ |
|  | $L_{12}(C)$ | $B_{12}(C)$ | I | Sorted Suffixes | $L_{13}(C)$ | $B_{13}(C)$ |  | Sorted Suffixes |
|  | 0 | A | I | $C \$_{1}$ | 0 | A |  | $C \$_{1}$ |
| $\min =1$ | 1 | $T$ | I | $C \$_{2}$ | 1 | $T$ |  | $C \$_{2}$ |
|  | 1 | C |  | $C A A C \$_{1}$ | 1 | C |  | $C A A C \$_{1}$ |
| $\rightarrow$ | 2 | A |  | CACTGTACCAAC $\$_{1}$ | 2 | G |  | $C A C T G T A C C A A C \$_{1}$ |
| $\min =2$ | 2 | A |  | $C A G A A A G C T C \$_{2}$ | 2 | $A$ |  | $C A G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $C C A A C \$_{1}$ | 1 | A |  | $C C A A C \$_{1}$ |
|  | 1 | $G$ | I | $C T C \$_{2}$ | 1 | $G$ |  | $C T C \$_{2}$ |
|  | 2 | $A$ | 1 | $C T G T A C C A A C \$_{1}$ | 2 | $A$ |  | $C T G T A C C A A C \$_{1}$ |
|  | $L_{12}(G)$ | $B_{12}(G)$ | I | Sorted Suffixes | $L_{13}(G)$ | $B_{13}(G)$ |  | Sorted Suffixes |
|  | 0 | A |  | $G A A A G C T C \$_{2}$ | 0 | $A$ |  | $G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $G C T C \$_{2} \quad \rightarrow$ | $2+1=3$ | \$2 |  | GAACAGAAAGCTC $\$_{2}$ |
|  | 1 | $T$ | 1 | $G T A C C A A C \$_{1}$ | $0+1=1$ | A |  | $G C T C \$_{2}$ |
|  |  |  |  |  | 1 | $T$ |  | $G T A C C A A C \$_{1}$ |
|  | $L_{12}(T)$ | $B_{12}(T)$ | 1 | Sorted Suffixes | $L_{13}(T)$ | $B_{13}(T)$ |  | Sorted Suffixes |
|  | 0 | G |  | $T A C C A A C \$_{1}$ | 0 | G |  | $T A C C A A C \$_{1}$ |
|  | 1 | C |  | $T C \$_{2}$ | 1 | C |  | $T C \$_{2}$ |
|  | 1 | C |  | $T G T A C C A A C \$_{1}$ | 1 | C |  | $T G T A C C A A C \$_{1}$ |

## When are the minimum values computed?

We can compute the minimum values useful for the iteration $j$ while we are inserting the new elements in the partial BWT and in the partial LCP in a sequential way during the iteration $j-1$.

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In the example, while we build $B_{(12)}$ and $L_{(12)}$ segments, we can compute the minimum values useful for the computation of LCP-values corresponding to 13 -suffixes.

|  | $L_{12}(\$)$ | $B_{12}(\$)$ |  | Sorted Suffixes |
| :---: | :---: | :---: | :---: | :---: |
|  | 0 | C | I | \$1 |
|  | 0 | C | 1 | \$2 |
|  | $L_{12}(A)$ | $B_{12}(A)$ | 1 | Sorted Suffixes |
|  | 0 | G | 1 | $A A A G C T C \$_{2}$ |
| $\underset{\rightarrow}{\min }=2$ | 2 | C | , | $A A C \$_{1}$ |
|  | 3 | G |  | AACAGAAAGCTC $\$_{2}$ |
|  | 2 | A |  | $A A G C T C \$_{2}$ |
|  | 1 | A | 1 | $A C \$_{1}$ |
|  | 2 | A | 1 | $A C A G A A A G C T C \$_{2}$ |
| $\min =0$ | 2 | $T$ | 1 | $A C C A A C \$_{1}$ |
|  | 2 | C | , | $A C T G T A C C A A C \$_{1}$ |
|  | 1 | C | , | $A G A A A G C T C \$_{2}$ |
|  | 2 | A | I | $A G C T C \$_{2}$ |
|  | $L_{12}(C)$ | $B_{12}(C)$ | 1 | Sorted Suffixes |
|  | 0 | A | 1 | $C \$_{1}$ |
| $\min =1$ | 1 | $T$ | 1 | $C \$_{2}$ |
|  | 1 | C | 1 | $C A A C \$_{1}$ |
| $\overrightarrow{\min }=2$ | 2 | A |  | CACTGTACCAAC ${ }_{1}$ |
|  | 2 | A |  | $C A G A A A G C T C \$_{2}$ |
|  | 1 | A | 1 | $C C A A C \$_{1}$ |
|  | 1 | G | 1 | $C T C \$_{2}$ |
|  | 2 | $A$ | 1 | $C T G T A C C A A C \$_{1}$ |
|  | $L_{12}(G)$ | $B_{12}(G)$ |  | Sorted Suffixes |
|  | 0 | A |  | $G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $G C T C \$_{2}$ |
|  | 1 | $T$ | I | $G T A C C A A C \$_{1}$ |
|  | $L_{12}(T)$ | $B_{12}(T)$ | 1 | Sorted Suffixes |
|  | 0 | G |  | TACCAAC $\$_{1}$ |
|  | 1 | C |  | $T C \$_{2}$ |
|  | 1 | - $C$, |  | $T G T A E C A A E \$_{1}$ ๑ด¢ |

## When are the minimum values computed?

We can compute the minimum values useful for the iteration $j$ while we are inserting the new elements in the partial BWT and in the partial LCP in a sequential way during the iteration $j-1$.

In the example, while we build $B_{(12)}$ and $L_{(12)}$ segments, we can compute the minimum values useful for the computation of LCP-values corresponding to 13 -suffixes.

We can compute minimum values at the same time for all $j$-suffixes.

|  | $L_{12}$ (\$) | $B_{12}(\$)$ |  | Sorted Suffixes |
| :---: | :---: | :---: | :---: | :---: |
|  | 0 | C | , | \$1 |
|  | 0 | C | 1 | \$2 |
|  | $L_{12}(A)$ | $B_{12}(A)$ | 1 | Sorted Suffixes |
| $\begin{gathered} \min =2 \\ \rightarrow \end{gathered}$ | 0 | G |  | $A A A G C T C \$_{2}$ |
|  | 2 | C |  | $A A C \$_{1}$ |
|  | 3 | G |  | AACAGAAAGCTC $\$_{2}$ |
|  | 2 | A |  | $A A G C T C \$_{2}$ |
|  | 1 | A |  | $A C \$_{1}$ |
|  | 2 | A |  | $A C A G A A A G C T C \$_{2}$ |
| $\min =0$ | 2 | $T$ |  | $A C C A A C \$_{1}$ |
|  | 2 | C |  | $A C T G T A C C A A C \$_{1}$ |
|  | 1 | C |  | $A G A A A G C T C \$_{2}$ |
|  | 2 | A | I | $A G C T C \$_{2}$ |
|  | $L_{12}(C)$ | $B_{12}(C)$ | 1 | Sorted Suffixes |
|  | 0 | A | 1 | $C \$_{1}$ |
| $\min =1$ | 1 | $T$ | 1 | $C \$_{2}$ |
|  | 1 | C |  | $C A A C \$_{1}$ |
| $\overrightarrow{\min }=2$ | 2 | A |  | CACTGTACCAAC $\$_{1}$ |
|  | 2 | A |  | $C A G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $C C A A C \$_{1}$ |
|  | 1 | G | 1 | $C T C \$_{2}$ |
|  | 2 | $A$ | 1 | $C T G T A C C A A C \$_{1}$ |
|  | $L_{12}(G)$ | $B_{12}(G)$ |  | Sorted Suffixes |
|  | , | A |  | $G A A A G C T C \$_{2}$ |
|  | 1 | A |  | $G C T C \$_{2}$ |
|  | 1 | $T$ |  | $G T A C C A A C \$_{1}$ |
|  | $L_{12}(T)$ | $B_{12}(T)$ | 1 | Sorted Suffixes |
|  | 0 | $G$ |  | $T A C C A A C \$_{1}$ |
|  | 1 | C |  | $T C \$_{2}$ |
|  | 1 | $C$ |  | TGTAECAAE ${ }_{1}$ ๑のく |

## Advantages

- The BWT and the LCP are split and kept in $\sigma$ files.
- Sequentially reading.

Each iteration $j>0$ can be divided into two consecutive phases:
First phase: we read only the segments $B_{j-1}$ in order to find the positions where we must insert the elements associated with the $j$-suffixes.
Second phase: we read the segments $B_{j-1}$ and $L_{j-1}$ in sequential way for the construction of new segments $B_{j}$ and $L_{j}$ and compute the minimum LCP-values for the next iteration.

- Inserting/updating simultaneously of $m$ symbols in the partial BWT and $2 m$ values in the partial LCP and computing simultaneously the $2 m$ minimum LCP-values for the next iteration.

Moreover, at any iteration $j$, we can stop the running and by adding the elements corresponding to the end-markers we can obtain the BWT and LCP of the collection of the $j$-suffixes of $S$.

## Experiments

Notice that an entirely like-for-like comparison between our implementation and the existing implementation requires the concatenation of the strings of the collection, but

- The use of many millions of different end markers could be not practicable.
- The use of the same end marker could lead to values in the LCP array that may exceed the lengths of the strings.


## Experiments

Notice that an entirely like-for-like comparison between our implementation and the existing implementation requires the concatenation of the strings of the collection, but

- The use of many millions of different end markers could be not practicable.
- The use of the same end marker could lead to values in the LCP array that may exceed the lengths of the strings.

However, preliminary comparisons have shown that our algorithm uses less internal memory than these algorithms.

## In particular

- bwt_based_laca2 ${ }^{1}$ computes the LCP of a single string and needs the pre-computed BWT of the string.
- In order to adapt this algorithm for a collection, we have computed the BWT of a collection by using BCR. Such output needs slight modifications because, in general, the BWT of a collection does not coincide with the BWT of a single string.
- Result
- BCR requires about 5 hours of wallclock time taking only 4 Gb of RAM + LCP (computed by bwt_based_laca2) requires 18Gb of RAM to create the LCP in about 2 hours.
- Our new method extLCP needs 4.7 Gb of RAM to create both BWT and LCP in just under 18 hours.
- Attempting to use bwt_based_laca2 to compute the LCP of 800 million of sequences 100 bases long exceeds our available RAM on the 64Gb RAM machine.

[^1]
## Experiments

| instance | size in Gb | program | wall clock | efficiency | memory |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 0043 M | 4.00 | BCR | 0.99 | 0.84 | 0.57 |
|  | 4.00 | extLCP | 3.29 | 0.98 | 1.00 |
| 0085 M | 8.00 | BCR | 1.01 | 0.83 | 1.10 |
|  | 8.00 | extLCP | 3.81 | 0.87 | 2.00 |
| 0100 M | 9.31 | BCR | 1.05 | 0.81 | 1.35 |
|  | 9.31 | extLCP | 4.03 | 0.83 | 2.30 |
| 0200 M | 18.62 | BCR | 1.63 | 0.58 | 4.00 |
|  | 18.62 | extLCP | 4.28 | 0.79 | 4.70 |
| 0800 M | 74.51 | BCR | 3.23 | 0.43 | 10.40 |
|  | 74.51 | extLCP | 6.68 | 0.67 | 18.00 |

- All reads are 100 bases long.
- wall clock time (the amount of time that elapsed from the start to the completion of the instance) is given as microseconds per input base.
O memory denotes the maximal amount of memory (in gigabytes) used during execution.
- The efficiency column states the CPU efficiency values, i.e. the proportion of time for which the CPU was occupied and not waiting for I/O operations to finish, as taken from the output of the /usr/bin/time command.

The extLCP algorithm:

- uses $O\left(m k^{2} \log \sigma\right)$ disk I/O and $O\left(\left(m+\sigma^{2}\right) \log (m k)\right)$ bits of memory.
- takes $O(k(m+\operatorname{sort}(m)) \mathrm{CPU}$ time, where $\operatorname{sort}(m)$ is the time taken to sort $m$ integers.


## Ongoing works

- Ongoing work:
- Further optimizations for the construction, for instance by using the parallelization or by using different strategies for I/O operations.
- Integrate the construction of LCP in the BEETL software library. BEETL for construction/querying of BWT of large string collections can be downloaded from


## http://beetl.github.com/BEETL

- Bioinformatics applications based on BWT and LCP and by using extLCP.
Many thanks for your attention!


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## Many thanks for your attention!


[^0]:    For instance, the suffixes

[^1]:    ${ }^{1}$ T. Beller, S. Gog, E. Ohlebusch, and T. Schnattinger. Computing the longest common prefix array based on the Burrows-Wheeler transform. Journal of Discrete Algorithms. To appear.

