# On Optimally Partitioning Variable-Byte Index Data

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#### Rossano Venturini

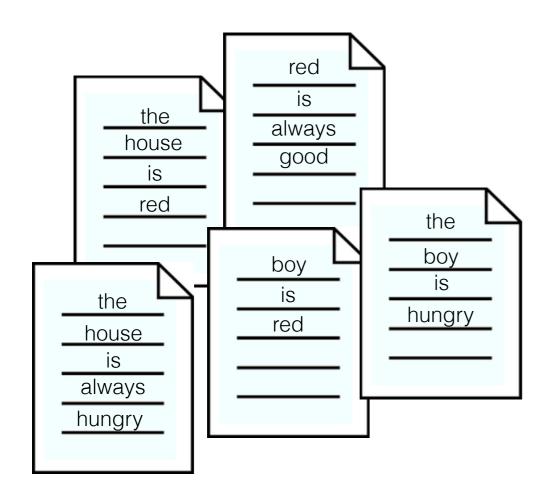
University of Pisa and ISTI-CNR Pisa, Italy rossano.venturini@unipi.it



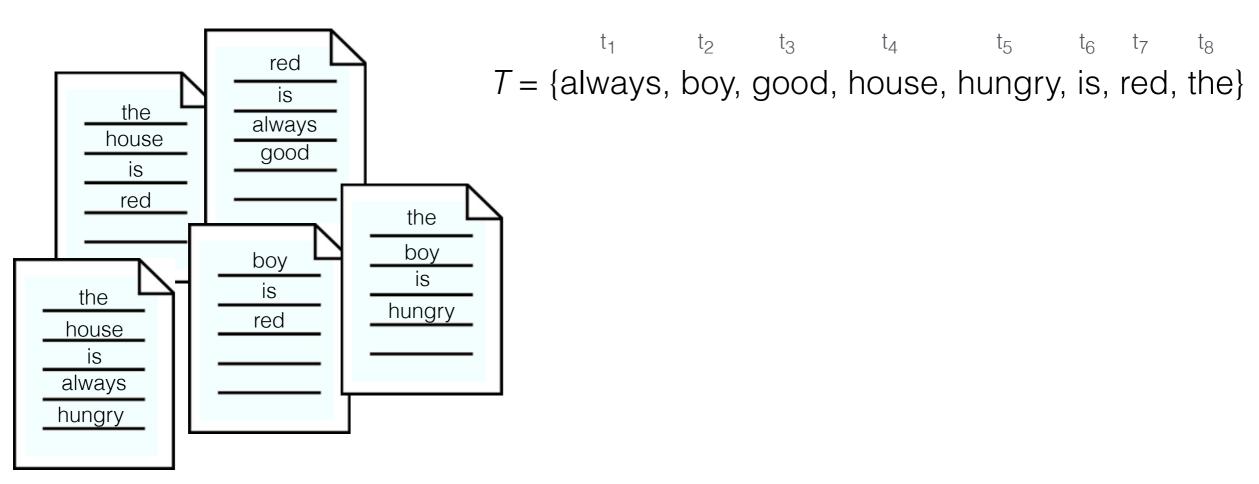
Melbourne, 17/05/2018

We focus on compression effectiveness and retrieval speed in **inverted indexes**.

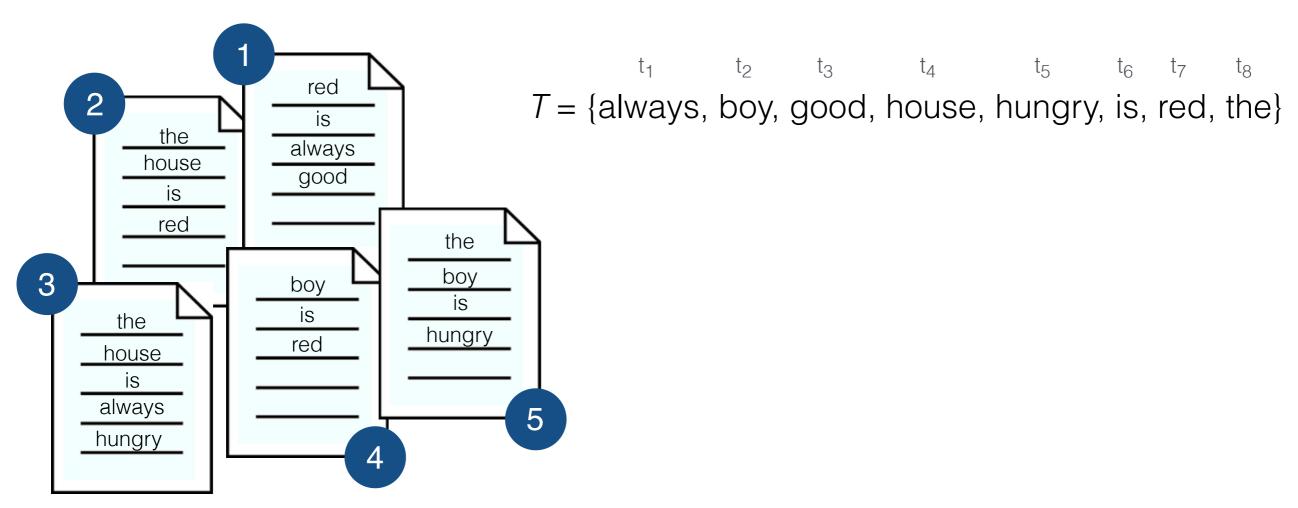
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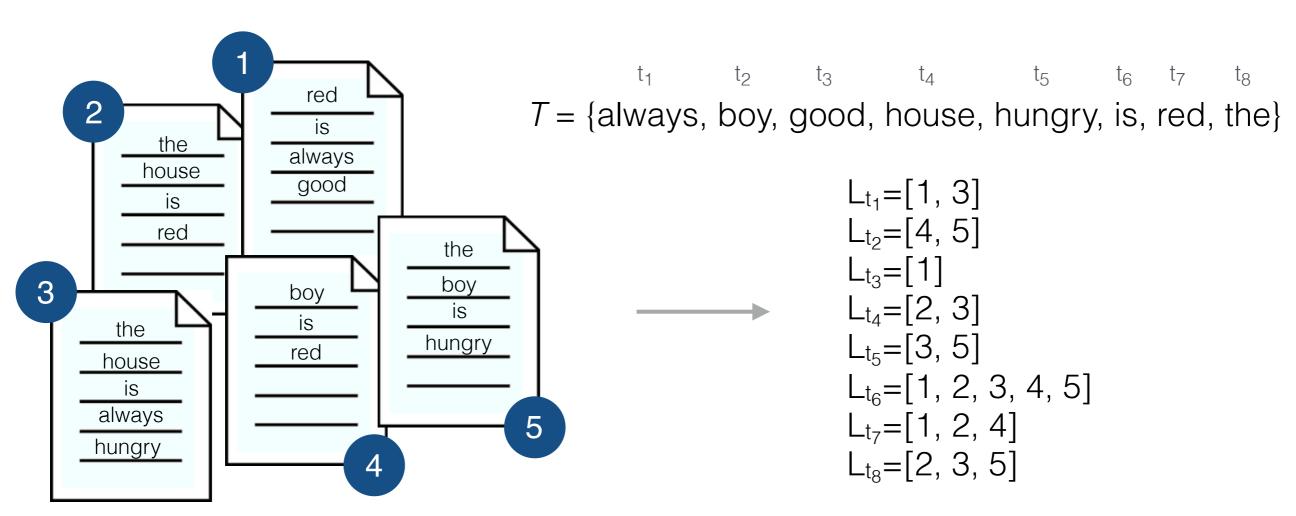
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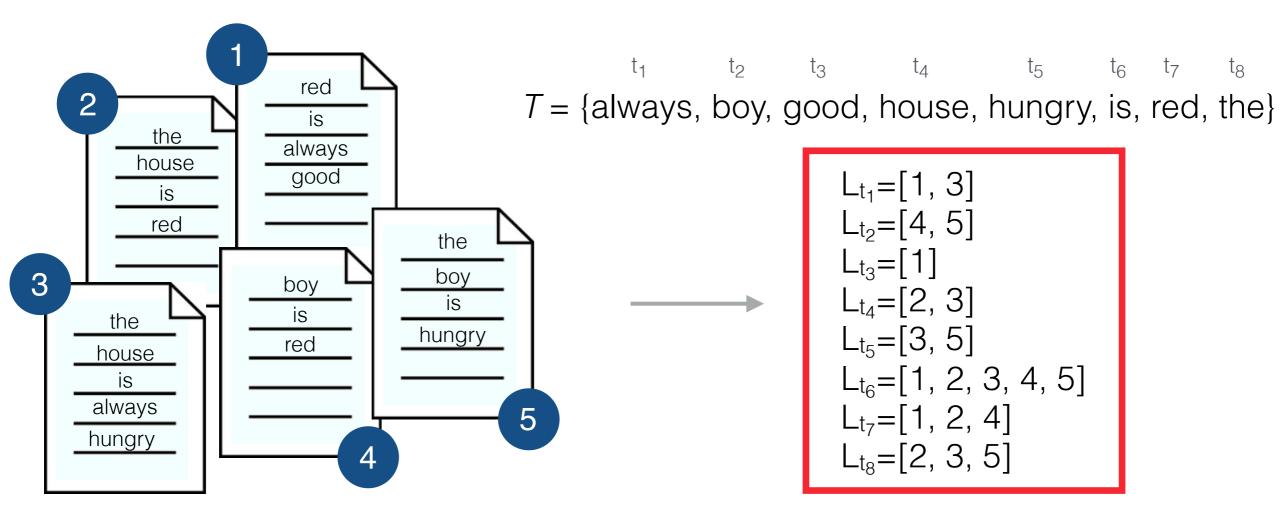
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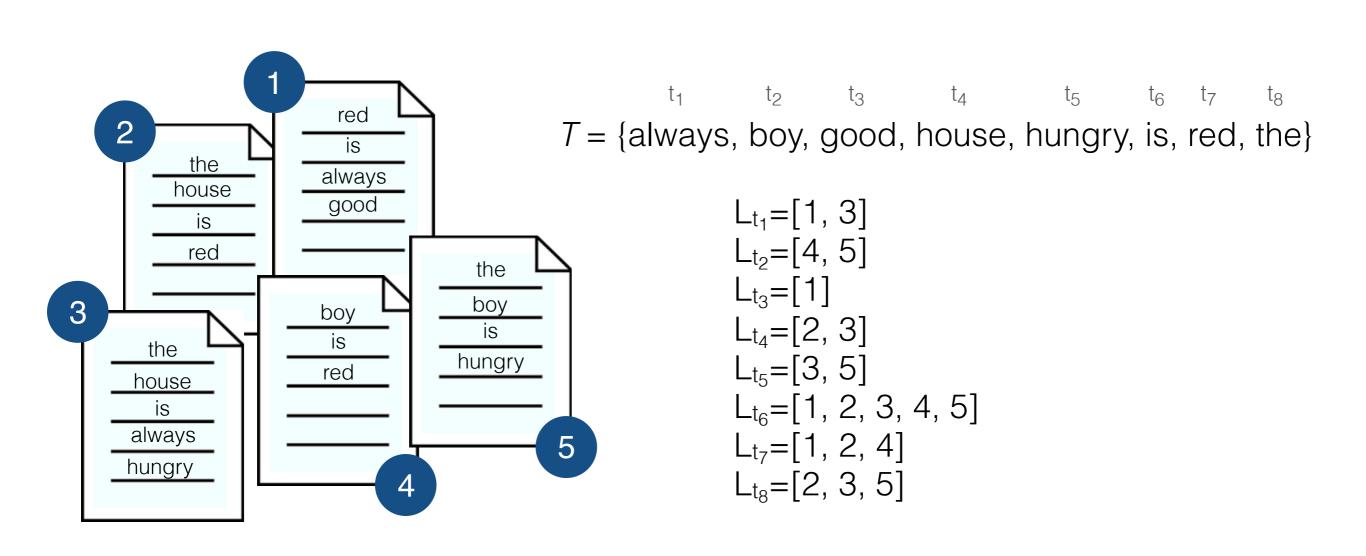


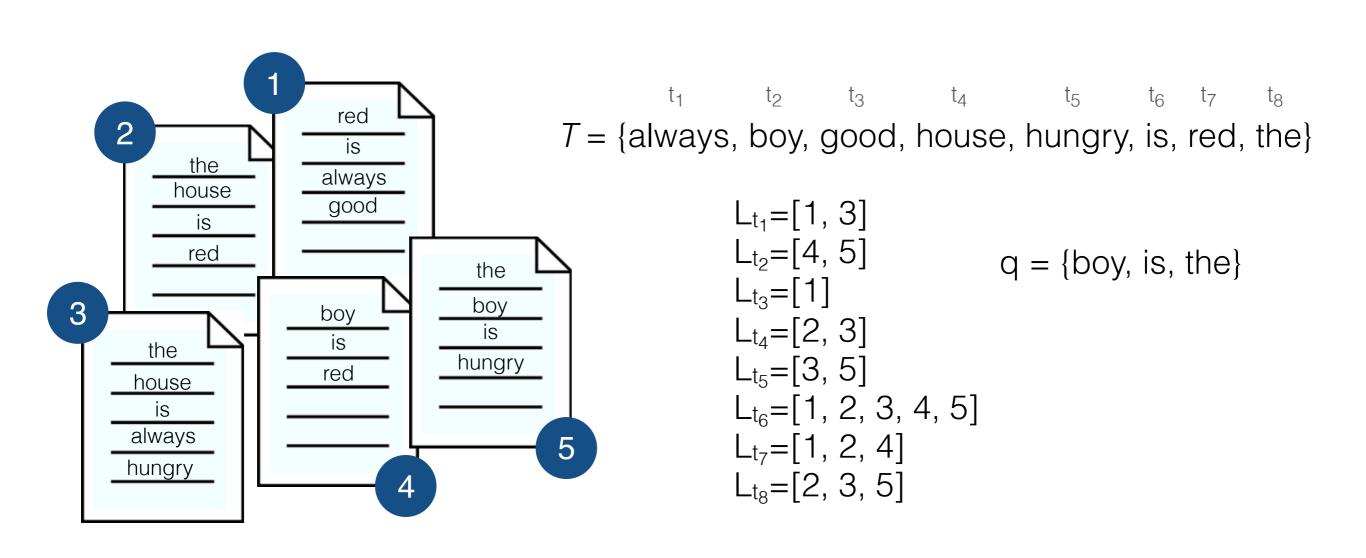
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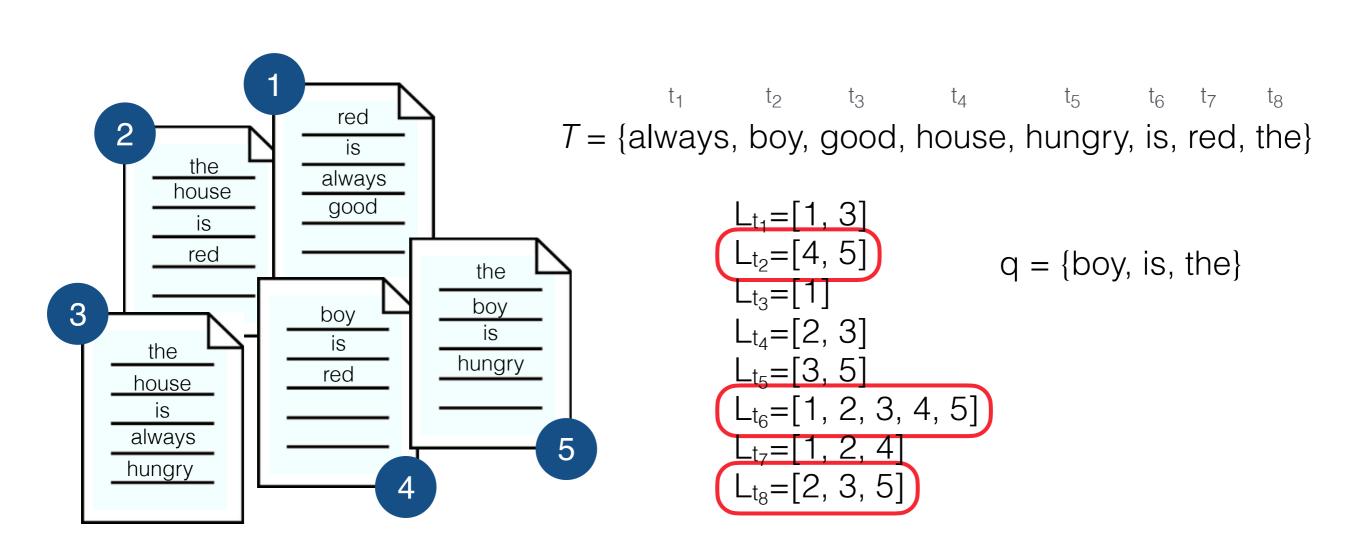


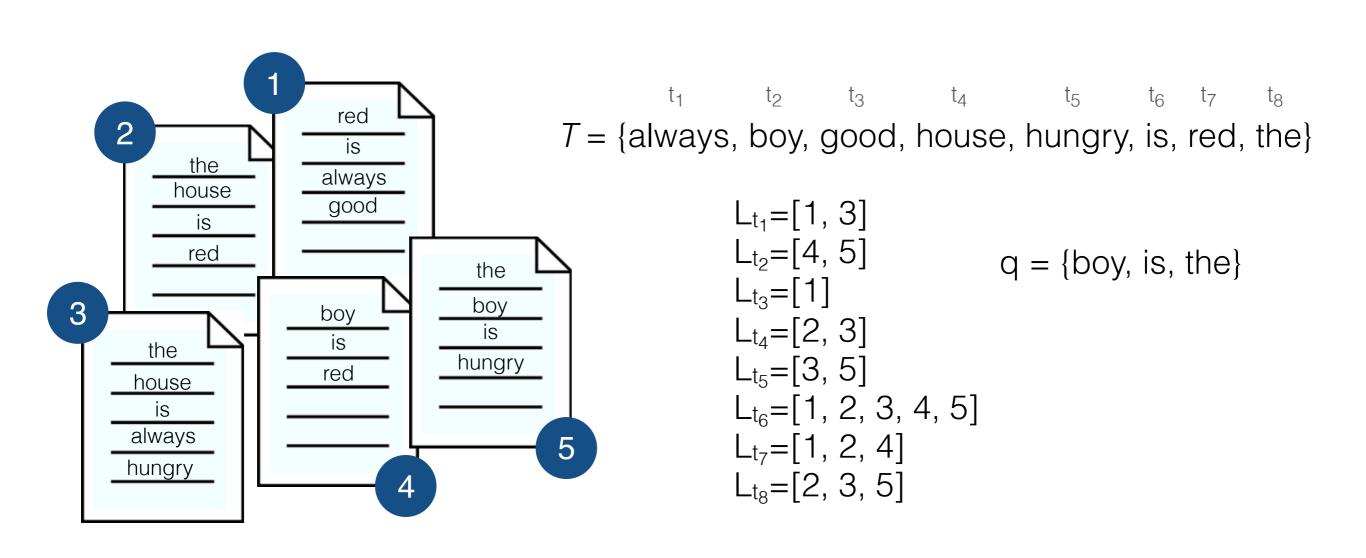
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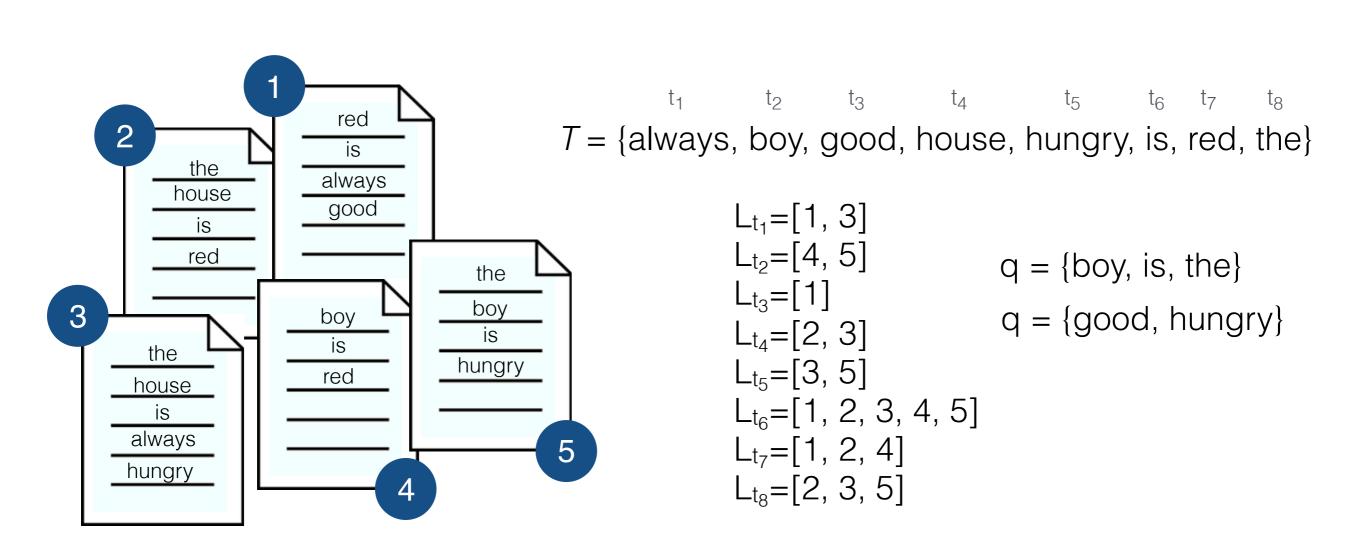


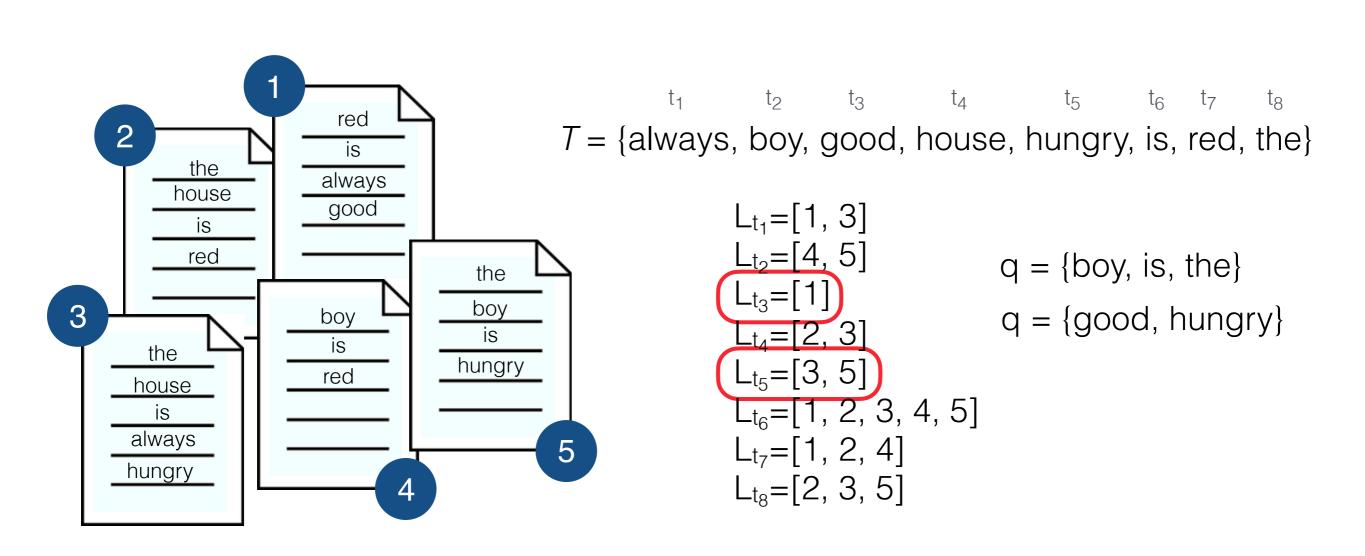


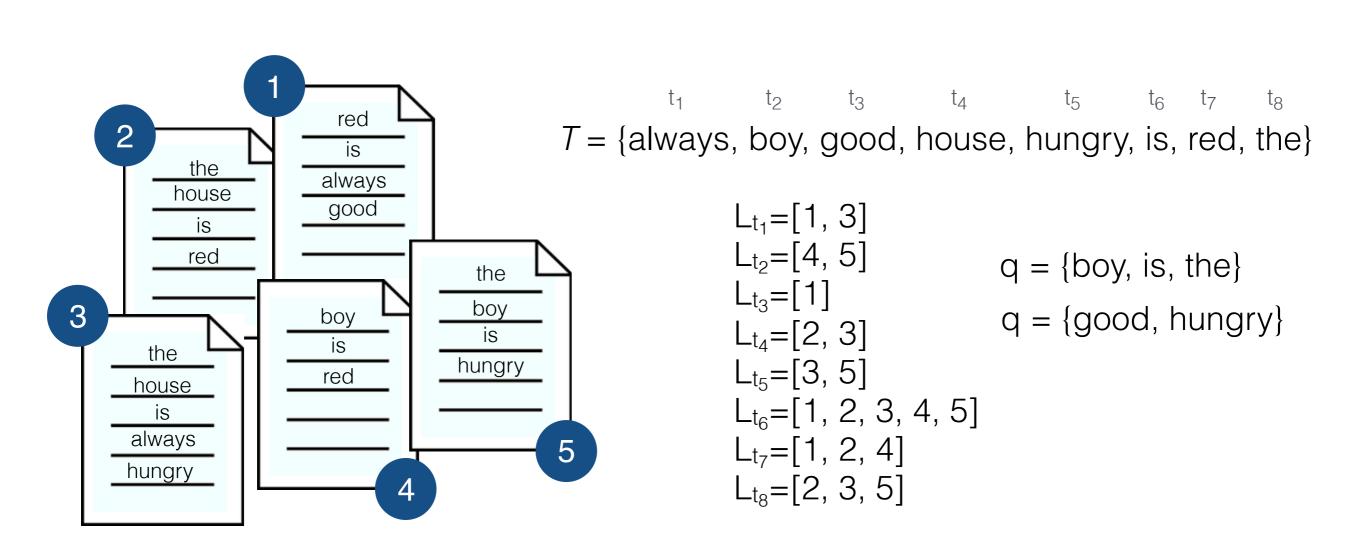












## Many solutions

Huge research corpora describing different space/time trade-offs.

- Elias gamma/delta
- Variable-Byte
- Binary Interpolative Coding
- Simple-9/16
- PForDelta

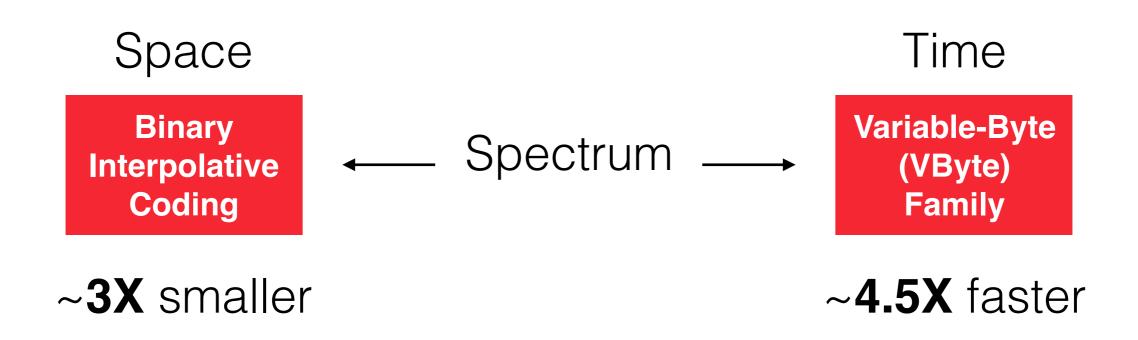
- Optimized PForDelta
- Elias-Fano
- Partitioned Elias-Fano
- Clustered Elias-Fano
- Asymmetric Numeral Systems

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#### Our research question

Can we improve the space of a VByte-encoded sequence and

preserve its query processing speed?

## Variable-Byte Encoding

Simple idea: encode each number using as few bytes as possible.

```
6 — 10000110

127 — 11111111

128 — 100000100000000

65790 — 100001001000000101111110
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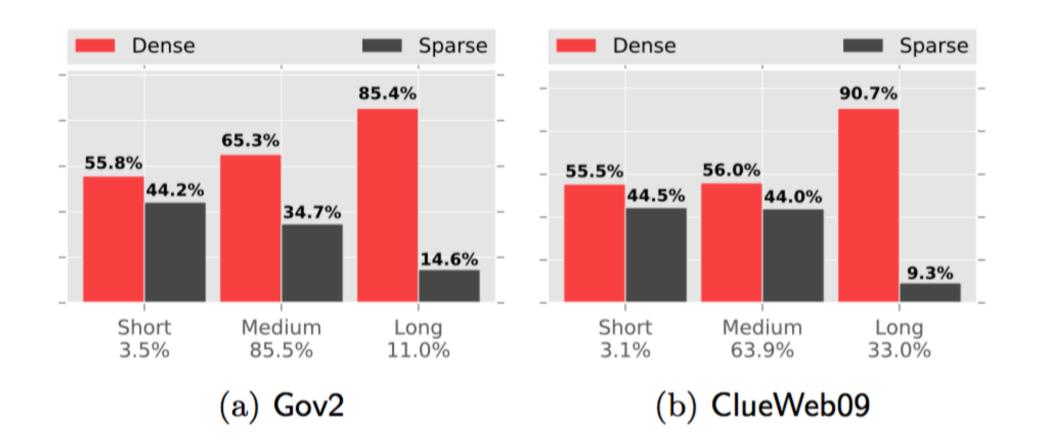
65790 — 100001001000000101111110
```

#### Decoding is **fast**:

keep reading bytes until you hit a value smaller than 128.

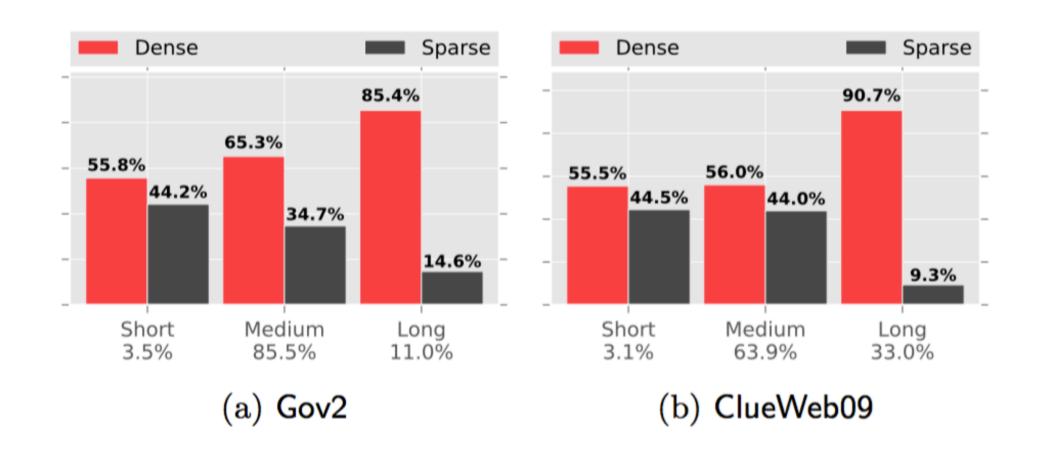
SIMD (Single Instruction Multiple Data)

## So...what's "wrong" with VByte?



The majority of values are **small** (*very* small indeed). VByte needs **at least 8 bits** per integer (bpi).

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Sensibly far away from bit-level effectiveness.

BIC: 3.8 bpi on Gov2

PEF: 4.1 bpi on Gov2

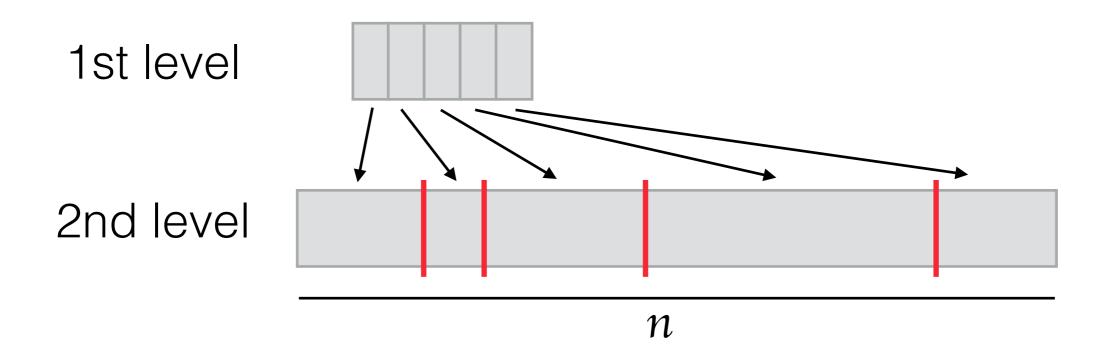
## High-level idea

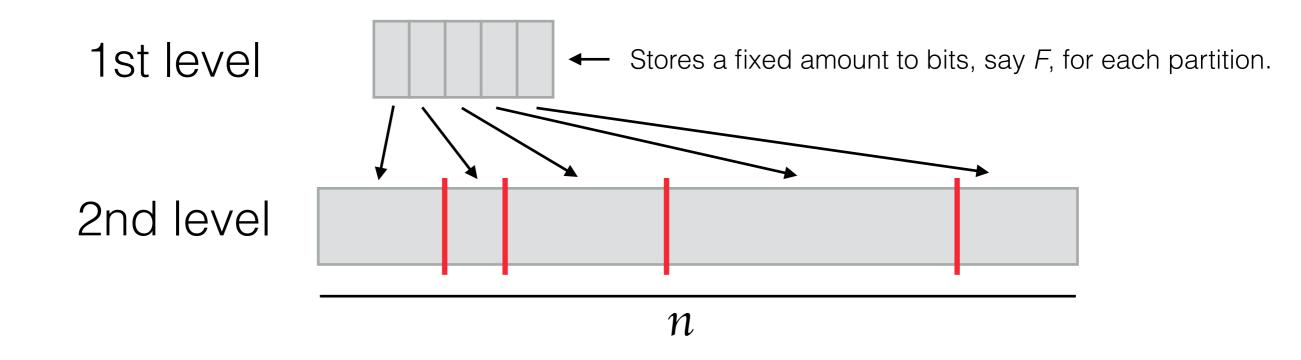
- 1. Partition each inverted list into variable-length partitions.
- 2. Encode *dense* partitions with their **characteristic bitvector**.
- 3. Encode *sparse* partitions with **VByte**.

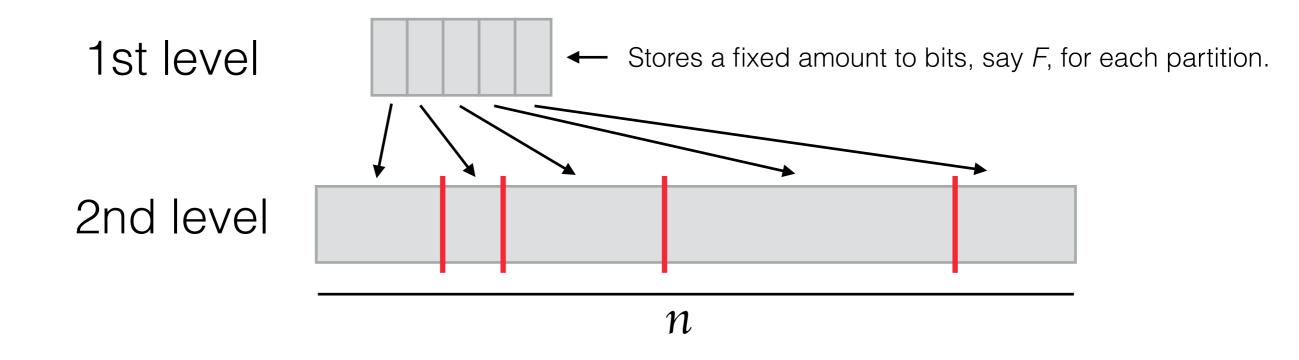
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$$24 - 13 - 1 = 12 \text{ bits VS } 64 \text{ bits } (5.33X)$$



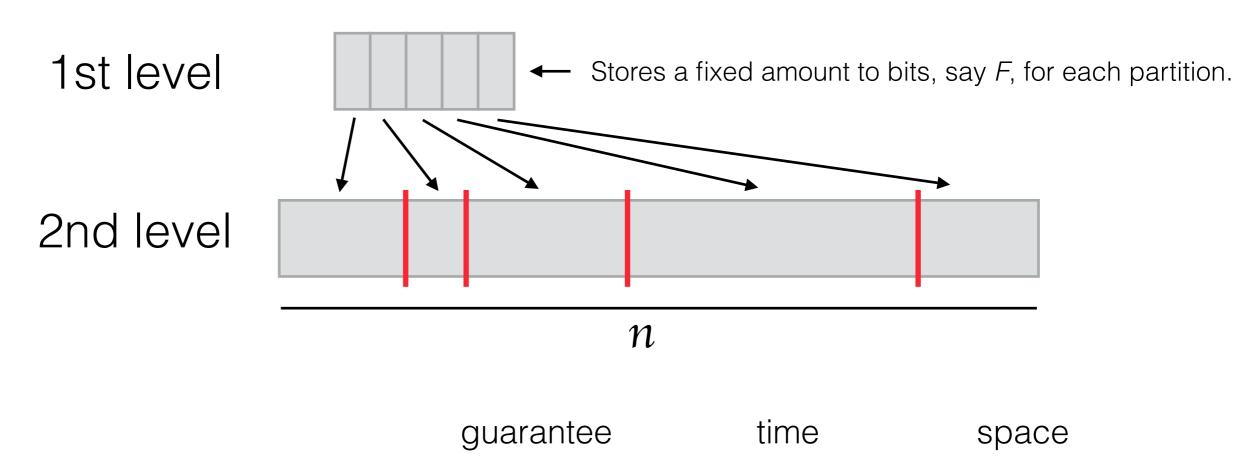




guarantee

**Dynamic Programming (DP)** 

Optimal



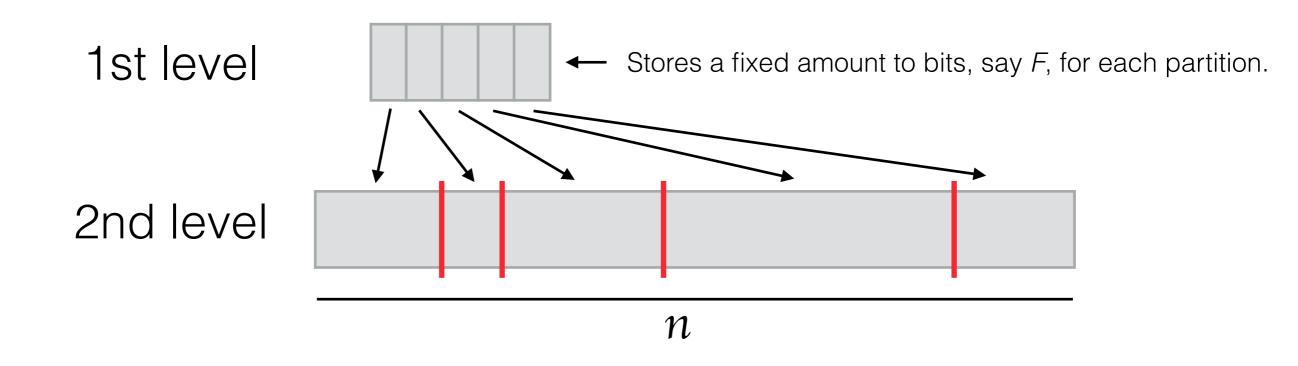
**Dynamic Programming (DP)** 

Optimal

 $\Theta(n^2)$ 

O(n)





**Dynamic Programming (DP)** 

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time

O(n)

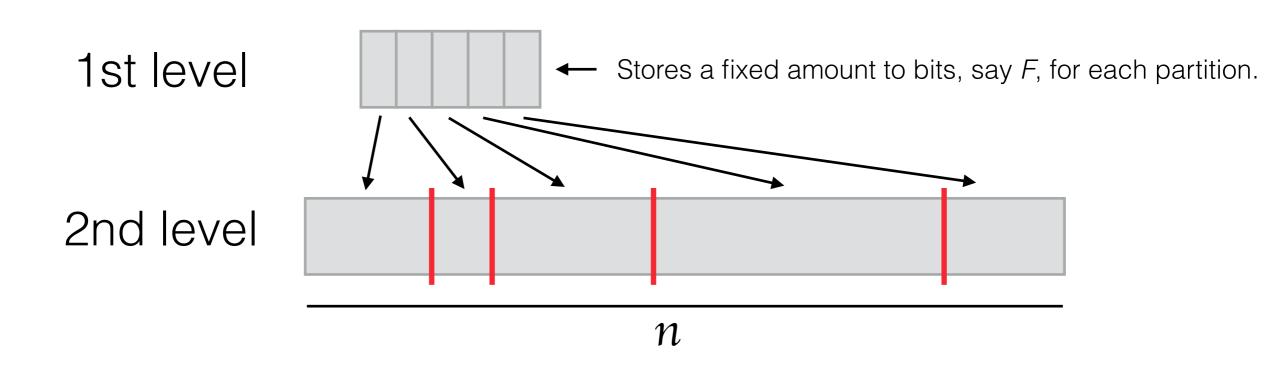
space



**DP Approximation** 

 $\epsilon$ -Optimal  $O(n \log_{1+\epsilon} 1/\epsilon)$  O(n)





**Dynamic Programming (DP)** 

guarantee

time

space

$$\Theta(n^2)$$

O(n)



**DP Approximation** 

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**Our solution** 

Optimal

 $\Theta(n)$ 



Why is it so difficult?

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[8, 9, 10, 11, 12, 36, 37, 38, 39, 40]

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[8, 9, 10, 11, 12, 36, 37, 38, 39, 40]

splitting	Elias-Fano	VByte
[0,10)	4 bpi	
[0,5)[5,10)	[4][5] bpi	
[0,6)[6,10)	[5][2] bpi	

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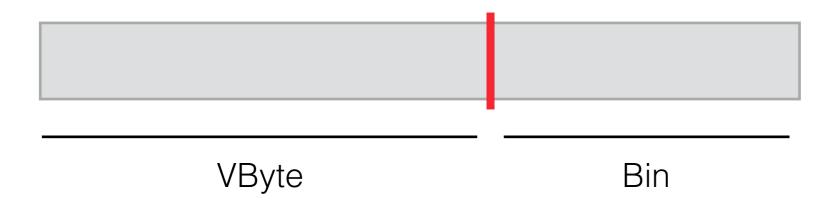
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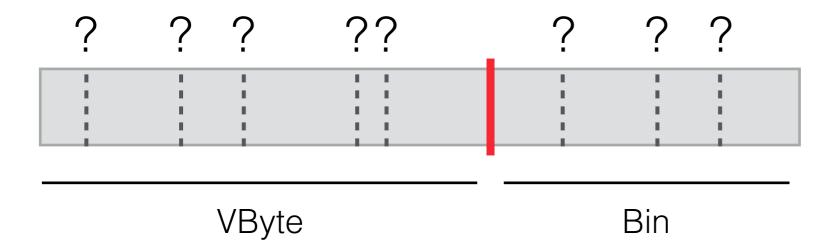
splitting	Elias-Fano	VByte
[0,10)	4 bpi	8 bpi
[0,5)[5,10)	[4][5] bpi	[8][8] bpi
[0,6)[6,10)	[5][2] bpi	[8][8] bpi

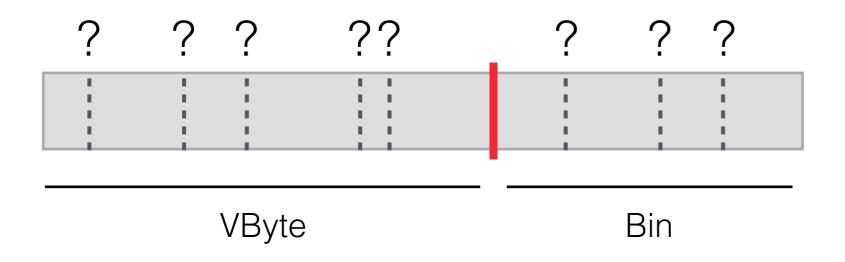
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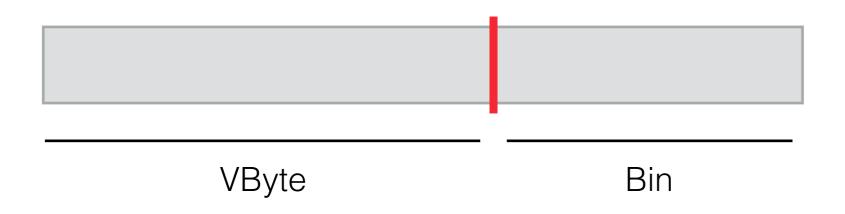
Costs do NOT change if we consider different splittings.

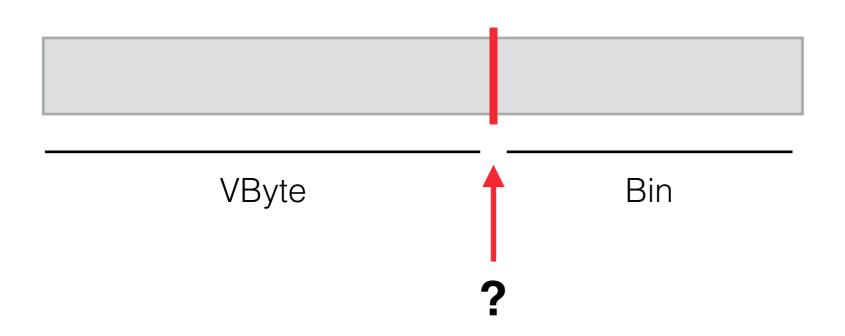
## **Our solution - The intuition**

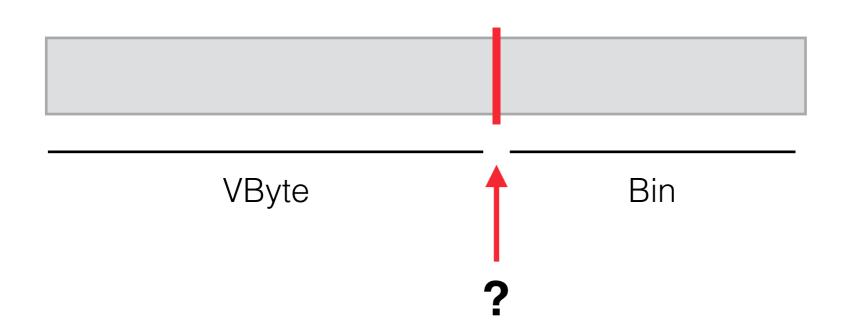






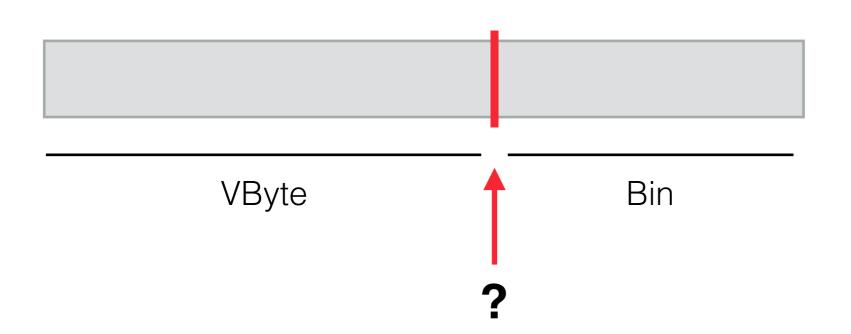




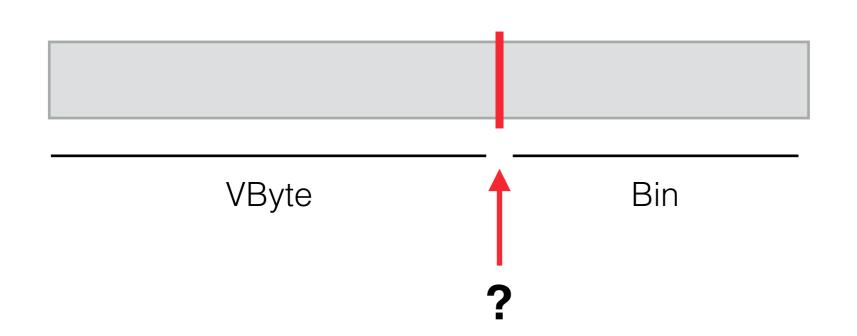


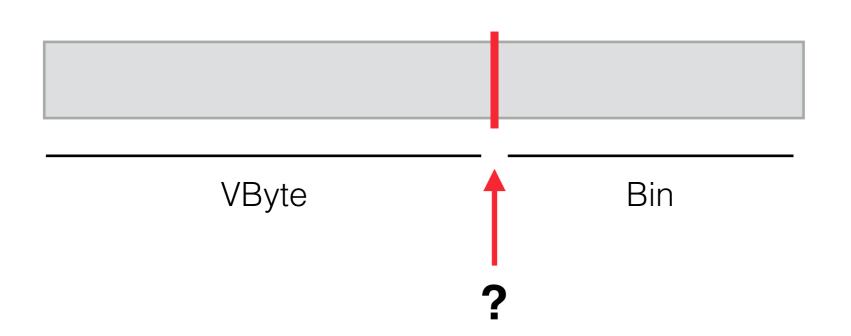
If VByte is winning over Bin, we do NOT have to try any split.

gain = VByte - Bin





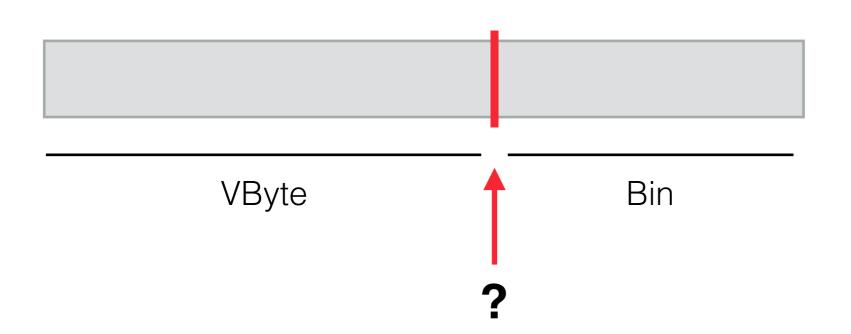




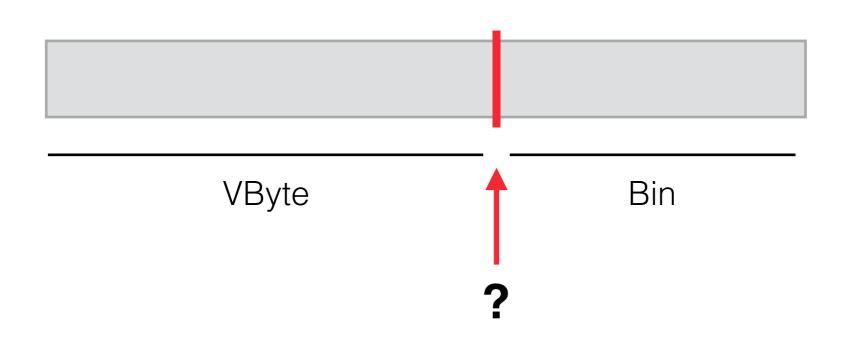
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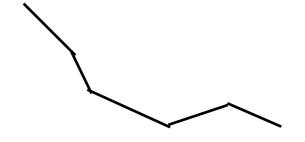
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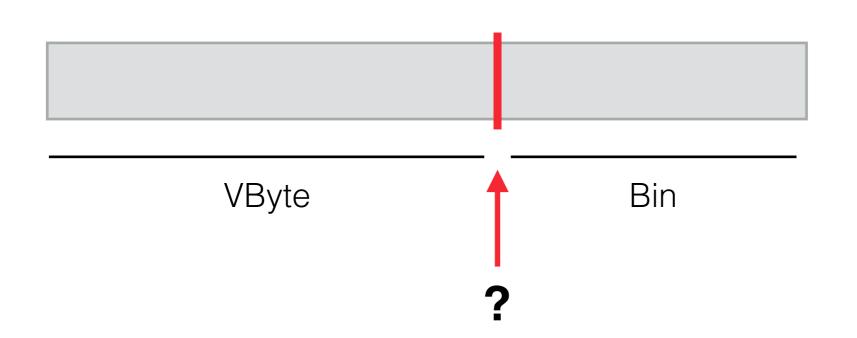






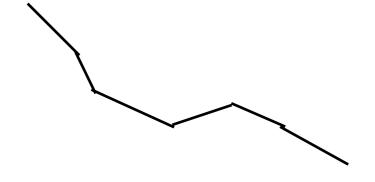


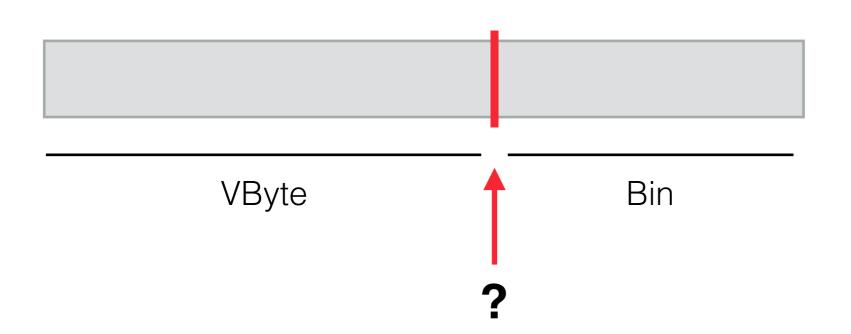


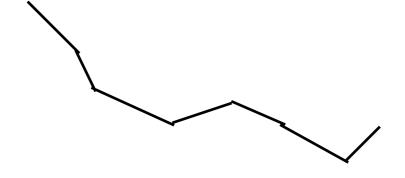


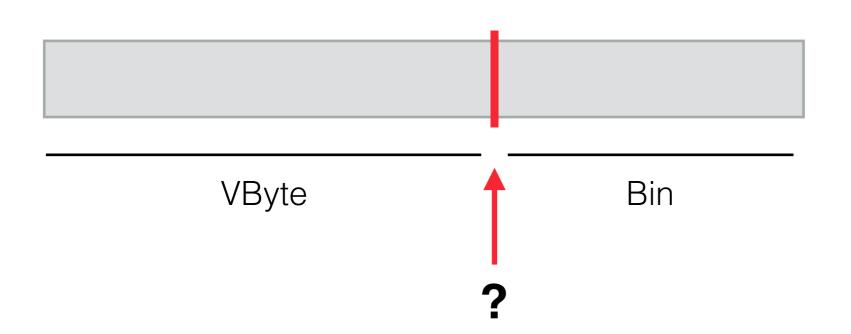
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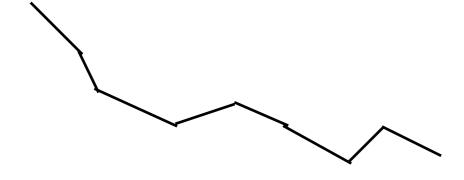
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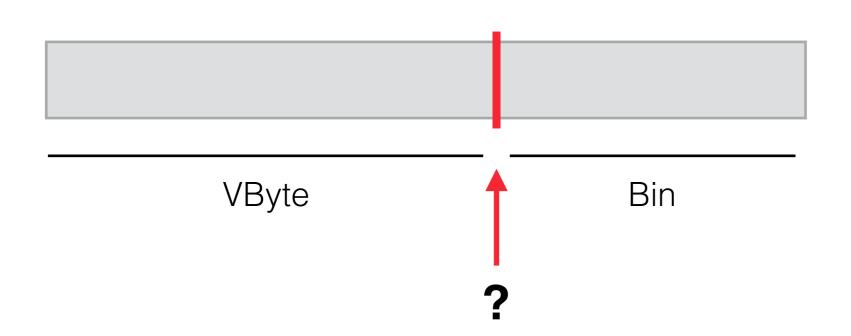




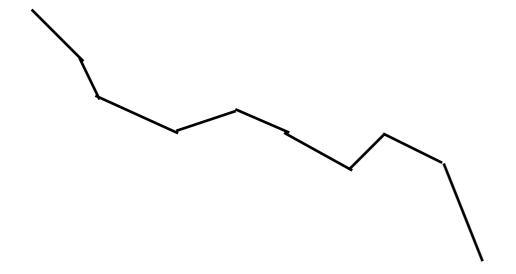


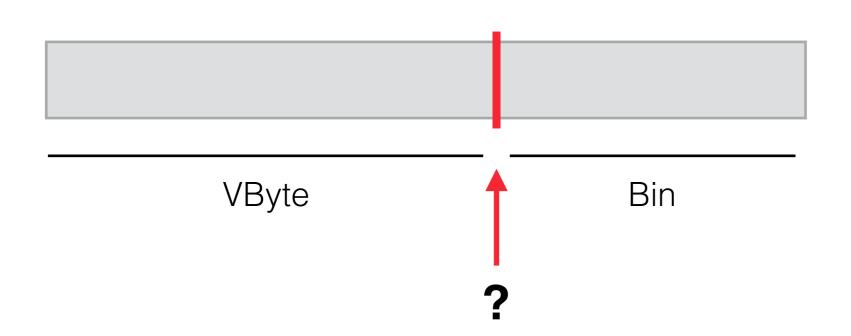




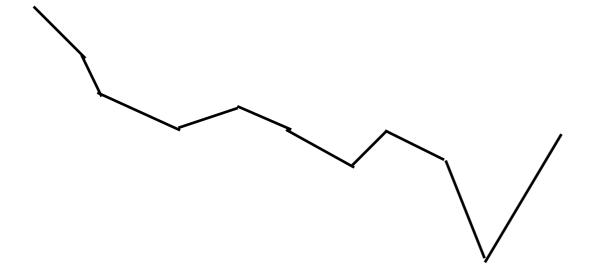


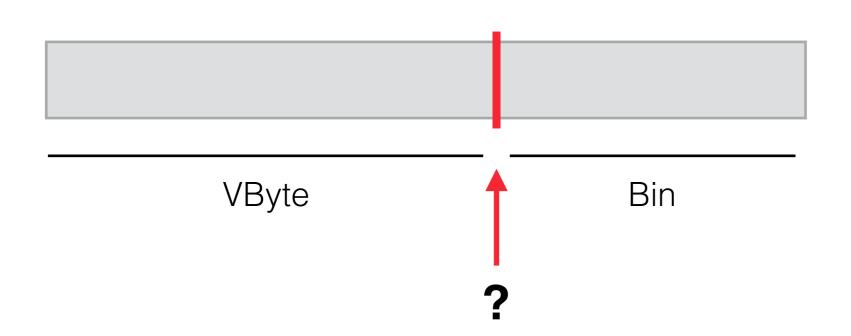




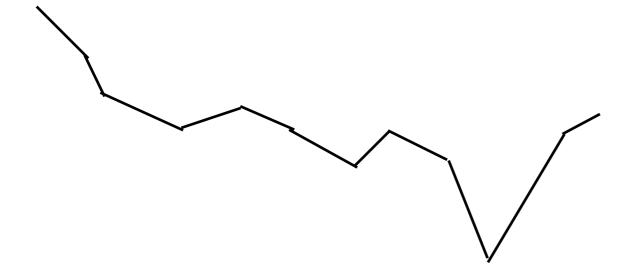


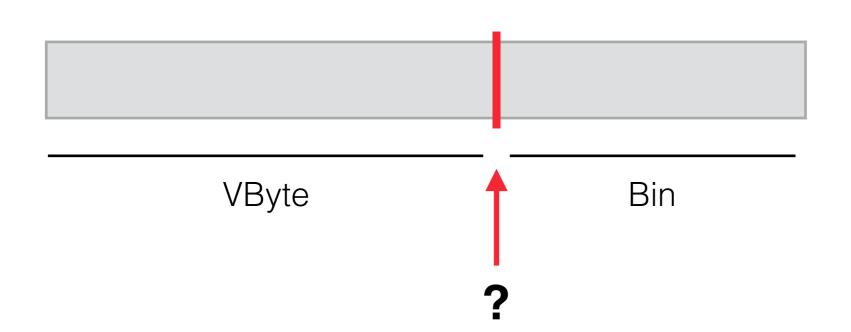






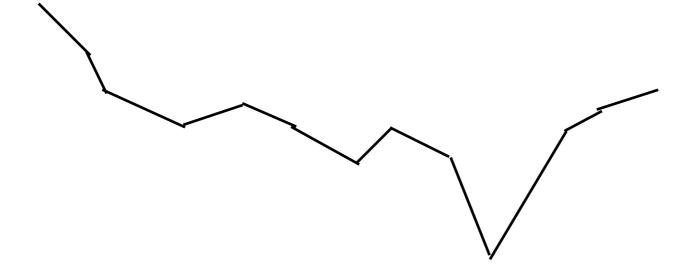


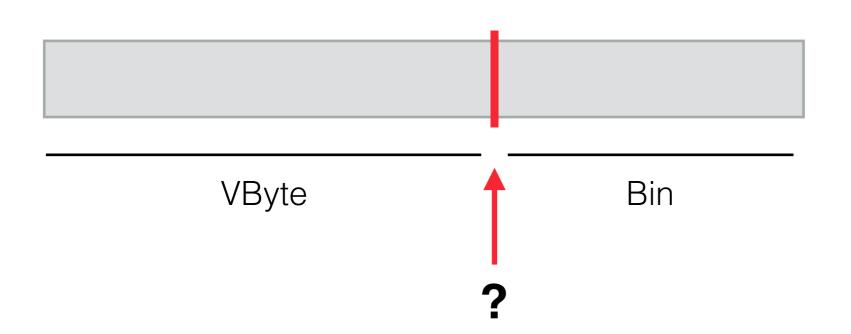




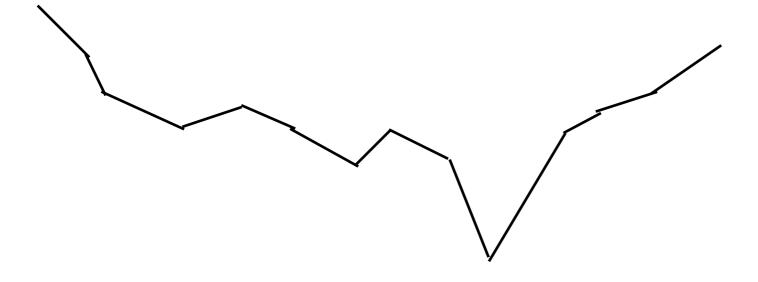
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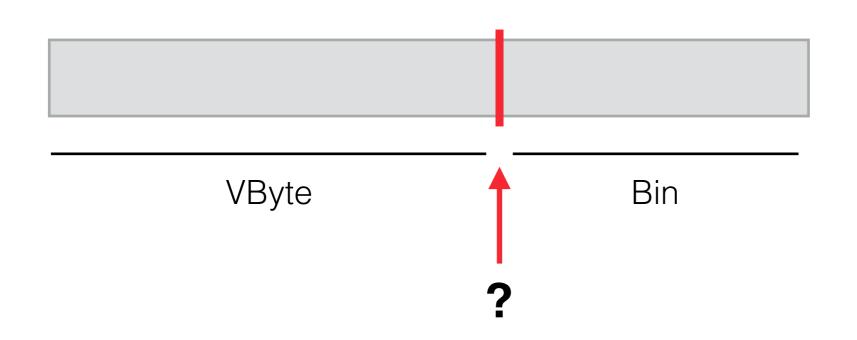
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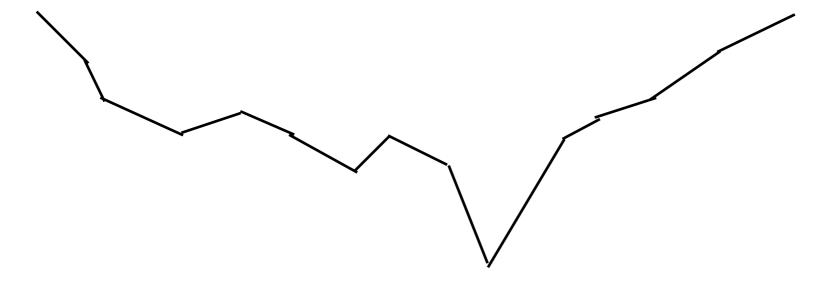


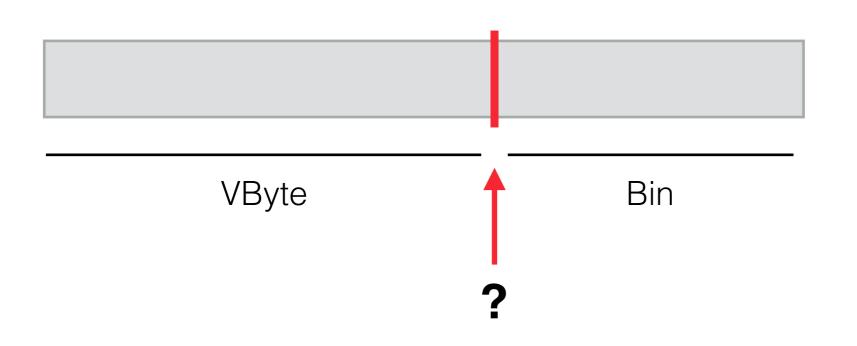






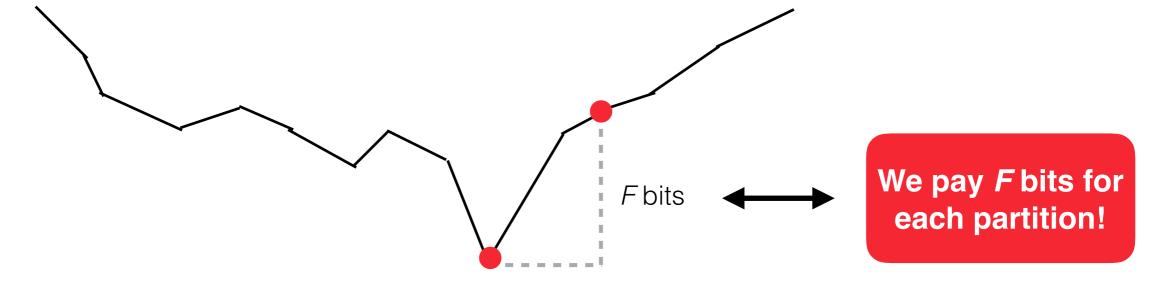






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gain = VByte - Bin



**C** := current encoder

L := last encoder

- 1. Encode first partition.
- 2. If  $|\mathbf{C} \mathbf{L}|$  and g are > 2F, then encode the current partition with  $\mathbf{C}$  and set  $\mathbf{C} = \mathbf{L}$ ,  $\mathbf{L} = \mathbf{C}$ .
- 3. Repeat step (2) until the end of the sequence.
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All details (including proof of optimality), here:

https://arxiv.org/abs/1804.10949



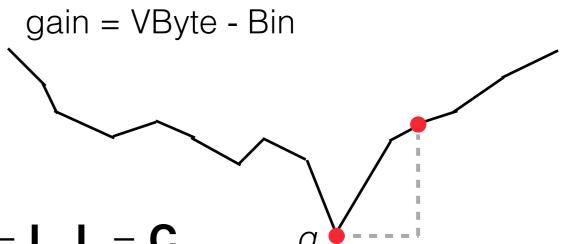
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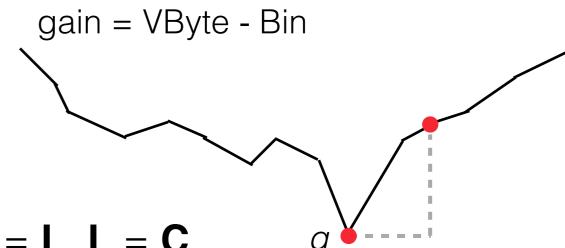
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Optimal.

Valid for ANY point-wise encoder.

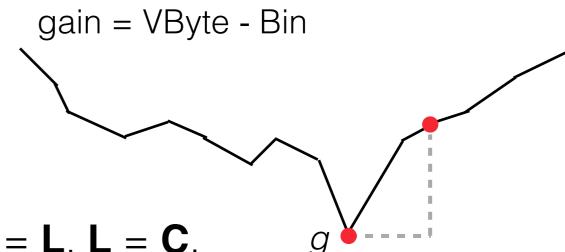
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Very low constant factors.

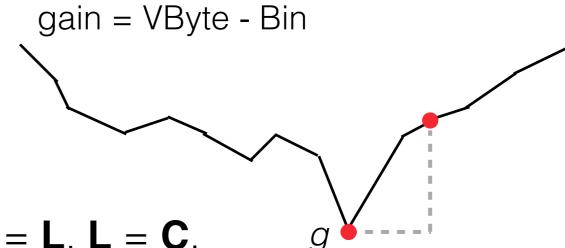
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Linear time and constant space.

#### **Experimental Results on Gov2 and ClueWeb09**

C++14 implementation compiled with gcc 7.2.0 with highest optimization setting.

	Gov2	ClueWeb09
Documents	24622347	50131015
Terms	35636425	92094694
Postings	5742630292	15857983641

Basic statistics for the tested collections.

Code will be available upon acceptance of the paper.

	Gov2		ClueWeb09			
	space GB	doc bpi	freq bpi	space GB	doc bpi	freq bpi
VByte	12.64 (+122.74%)	9.53 (+95.75%)	8.02 (+163.92%)	35.63 (+99.26%)	9.90 (+51.52%)	8.01 (+222.39%)
VByte uniform	6.26 (+10.22%)	5.41 (+11.05%)	3.31 (+8.92%)	19.95 (+11.58%)	7.37 (+12.73%)	2.69 (+8.54%)
VByte $\epsilon$ -optimal	5.73 (+0.93%)	4.93 (+1.21%)	3.05 (+0.49%)	18.15 (+1.53%)	6.66 (+1.84%)	2.50 (+0.68%)
VByte optimal	5.68	4.87	3.04	17.88	6.54	2.48

Space in giga bytes (GB) and average number of bits (bpi) per document (doc) and frequency (freq).

		Gov2			ClueWeb09	
	space GB	2X doc bpi	freq bpi		X doc bpi	freq bpi
VByte	12.64 (+122.74%)	9.53 (+95.75%)	8.02 (+163.92%)	<b>35.63</b> (+99.26%)	9.90 (+51.52%)	8.01 (+222.39%)
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Space in giga bytes (GB) and average number of bits (bpi) per document (doc) and frequency (freq).

	Gov2	ClueWeb09
VByte	<b>10.10</b> (-3.81%)	<b>43.30</b> (+51.93%)
VByte uniform	11.30 $(+7.62\%)$	<b>29.30</b> (+2.81%)
VByte $\epsilon$ -optimal	26.70 (+154.29%)	$72.30 \ (+153.68\%)$
VByte optimal	10.50	28.50

Index building timings in minutes.

		Gov2			ClueWeb09	
	space 2	X doc bpi	freq bpi		X doc bpi	freq bpi
VByte	12.64 (+122.74%)	9.53(+95.75%)	8.02 (+163.92%)	<b>35.63</b> (+99.26%)	9.90 (+51.52%)	8.01 (+222.39%)
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VByte $\epsilon$ -optimal	<b>26.70</b> (+154.29%)	72.30 (+153.68%)	
VByte optimal	10.50	28.50	

Index building timings in minutes.

	Gov2	ClueWeb09
S VByte	0.90 (+1.37%)	5.56 (-2.54%)
O VByte uniform	0.94~(+5.07%)	5.90 (+3.45%)
$\begin{tabular}{ll} $W$ VByte $\epsilon$-optimal \\ \end{tabular}$	0.92 (+2.70%)	5.89 (+3.34%)
→ VByte optimal	0.89	5.70
တ္ VByte	2.12 (+0.02%)	8.35 (-6.90%)
VByte uniform	2.22 (+4.98%)	9.02 (+0.60%)
$\bigvee_{\epsilon}^{\infty} VByte \epsilon$ -optimal	<b>2.24</b> (+5.77%)	9.17 (+2.31%)
⊢ VByte optimal	2.12	8.96

(a) AND queries (ms/query)

	Gov2	ClueWeb09
VByte	$2.35 \ (-4.08\%)$	2.55 (-8.93%)
VByte uniform	2.75 (+12.24%)	2.90 (+3.57%)
VByte $\epsilon$ -optimal	2.60 (+6.12%)	2.80 (+0.00%)
VByte optimal	2.45	2.80

(b) decoding time (ns/int)

Timings for AND queries in milliseconds (ms/query) and sequential decoding time in nanosecond per integer (ns/int).

	Gov2	ClueWeb09
S VByte	0.90 (+1.37%)	5.56 (-2.54%)
O VByte uniform	0.94~(+5.07%)	5.90 (+3.45%)
$\begin{tabular}{ll} $W$ VByte $\epsilon$-optimal \\ \end{tabular}$	0.92 (+2.70%)	5.89 (+3.34%)
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(b) decoding time (ns/int)

Timings for AND queries in milliseconds (ms/query) and sequential decoding time in nanosecond per integer (ns/int).

Speed NOT affected by partitioning.

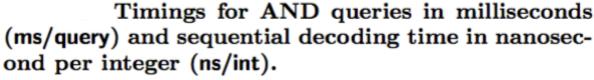
	Gov2	ClueWeb09
y VByte VByte uniform	0.90 (+1.37%) 0.94 (+5.07%)	5.56 (-2.54%) 5.90 (+3.45%)
$\begin{tabular}{ll} \begin{tabular}{ll} \beg$	0.92 (+2.70%)	5.89 (+3.34%)
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<sup>⊢</sup> VByte optimal	2.12	8.96

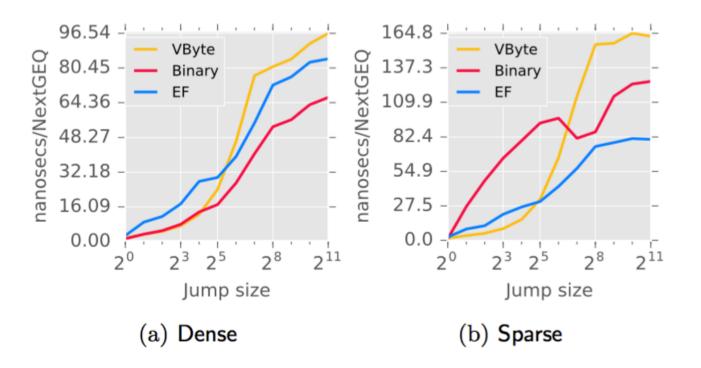
	Gov2	ClueWeb09
VByte	$2.35 \ (-4.08\%)$	2.55 (-8.93%)
VByte uniform	2.75 (+12.24%)	2.90 (+3.57%)
VByte $\epsilon$ -optimal	<b>2.60</b> (+6.12%)	2.80 (+0.00%)
VByte optimal	2.45	2.80

(a) AND queries (ms/query)

(b) decoding time (ns/int)

Timings for AND queries in milliseconds





# **Speed NOT affected by partitioning.**

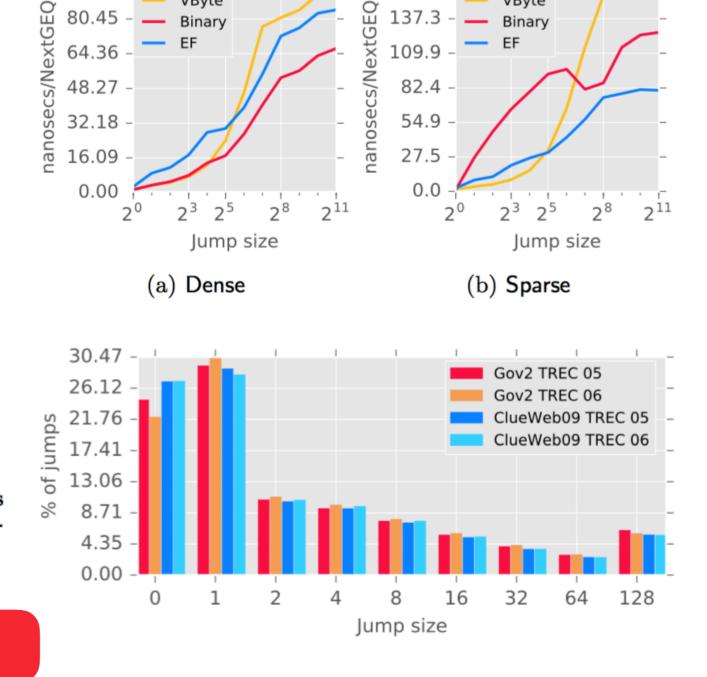
	Gov2	ClueWeb09
S VByte	0.90 (+1.37%)	5.56 (-2.54%)
O VByte uniform	0.94~(+5.07%)	5.90 (+3.45%)
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(a) AND queries (ms/query)

(b) decoding time (ns/int)

Timings for AND queries in milliseconds (ms/query) and sequential decoding time in nanosecond per integer (ns/int).



**Speed NOT affected by partitioning.** 

# **Overall Comparison**

	Gov2		ClueWeb09			
	space GB	doc bpi	freq bpi	space GB	doc bpi	freq bpi
PEF $\epsilon$ -optimal	4.65(-18.06%)	4.10(-15.69%)	2.38(-21.82%)	<b>15.94</b> (-10.84%)	5.85(-10.57%)	2.20(-11.56%)
OptPFD		4.48 (-7.97%)		17.15 (-4.11%)	6.18~(-5.43%)	2.41~(-2.86%)
BIC	4.30(-24.17%)	3.80(-22.00%)	2.14(-29.49%)	14.01 (-21.63%)	5.15(-21.28%)	1.87(-24.81%)
ANS	4.17(-26.53%)	3.96(-18.73%)	1.85(-39.01%)	14.47(-19.09%)	5.36(-18.02%)	1.94(-21.91%)
QMX	6.77 (+19.20%)	6.00 (+23.27%)	3.37(+10.76%)	<b>23.44</b> (+31.12%)	8.01 (+22.59%)	<b>3.75</b> (+51.19%)
VByte optimal	5.68	4.87	3.04	17.88	6.54	2.48

Space in giga bytes (GB) and average number of bits (bpi) per document (doc) and frequency (freq).

	Gov2	ClueWeb09
PEF $\epsilon$ -optimal	0.98 (+9.51%)	5.87 (+3.04%)
ഗ്ര OptPFD	<b>1.28</b> (+43.35%)	8.04 (+40.99%)
O BIC	4.14 (+364.16%)	<b>25.42</b> (+345.90%)
₩ ANS	4.21 (+372.16%)	25.98 (+355.74%)
<b>⊢</b> QMX	0.88  (-0.96%)	5.30  (-7.01%)
VByte optimal	0.89	5.70
PEF $\epsilon$ -optimal	2.19 (+3.60%)	9.59 (+6.95%)
တ္ OptPFD	3.00 (+41.58%)	11.95 (+33.33%)
O BIC	9.93 (+369.29%)	37.87 (+322.48%)
₩ ANS	9.48 (+347.86%)	38.07 (+324.68%)
<b>⊢</b> QMX	2.11  (-0.52%)	8.07 (-9.99%)
VByte optimal	2.12	8.96

<sup>(</sup>a) AND queries (ms/query)

	Gov2	ClueWeb09
PEF $\epsilon$ -optimal	2.60 (+6.12%)	3.18 (+13.57%)
OptPFD	$2.88 \ (+17.55\%)$	<b>3.50</b> (+25.00%)
BIC	7.50 (+206.12%)	9.80 (+250.00%)
ANS	5.89 (+140.41%)	9.34 (+233.57%)
QMX	2.25  (-8.16%)	<b>2.40</b> (-14.29%)
VByte optimal	2.45	2.80

(b) decoding time (ns/int)

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BIC ANS	4.30(-24.17%) 4.17(-26.53%)	\	2.14 (-29.49%) 1.85 (-39.01%)	14.01 (-21.63%) 14.47 (-19.09%)	\	\ /
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VByte optimal	2.45	2.80

(b) decoding time (ns/int)

## Take-home messages

Just **do not waste space** with VByte: partition the sequences!

Compression ratio is likely to improve a lot, without affecting speed.

The partitioning algorithm is **fast**, **optimal**, and makes indexing even more efficient.

# Thanks for your attention, time, patience!

Any questions?

**Scale** with the quantity of indexed data. (Some people would say: "Big Data".)

Dataset	Uncompressed	Compressed
Gov2	46GB	4GB (~11X)
ClueWeb09	128GB	14GB (~9X)

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Can we put everything on disk?

**Memory hierarchy!** 

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**Memory hierarchy!** 

See, for example:

https://blogs.dropbox.com/tech/2016/09/improving-the-performance-of-full-text-search/

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