

# Context-sensitive Analysis or Semantic Elaboration

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# Beyond Syntax

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There is a level of correctness that is deeper than grammar

```
fie(int a, int b,int c,int d) {  
    ...  
}  
fee() {  
    int f[3],g[0], h, i, j, k;  
    char *p;  
    fie(h,i,"ab",j, k);  
    k = f * i + j;  
    h = g[17];  
    printf("<%s,%s>.\n",p,q);  
    p = 10;  
}
```

What is wrong with this program?  
(let me count the ways ...)

- number of args to fie()
- declared g[0], used g[17]
- "ab" is not an int
- wrong dimension on use of f
- undeclared variable q
- 10 is not a character string

All of these are  
"deeper than syntax"

To generate code, we need to understand its meaning !

## Beyond Syntax

These are beyond the expressive power of a CFG

To generate code, the compiler needs to answer many questions

- Is "x" a scalar, an array, or a function? Is "x" declared?
- Are there names that are not declared? Declared but not used?
- Which declaration of "x" does a given use reference?
- Is the expression "x \* y + z" type-consistent?
- In "a[i,j,k]", does a have three dimensions?
- Where can "z" be stored? (register, local, global, heap, static)
- In "f ← 15", how should 15 be represented?
- How many arguments does "fie()" take? What about "printf ()" ?
- Does "\*p" reference the result of a "malloc()" ?
- Do "p" & "q" refer to the same memory location?
- Is "x" defined before it is used?

# Beyond Syntax

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These questions are part of context-sensitive analysis

- Answers depend on values, not parts of speech
- Questions & answers involve non-local information
- Answers may involve computation

How can we answer these questions?

- Use formal methods
  - Context-sensitive grammars?
  - Attribute grammars
- Use ad-hoc techniques
  - Symbol tables
  - Ad-hoc code (action routines)

In context-sensitive analysis, ad-hoc techniques dominate in practice.

# Beyond Syntax

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## Telling the story

- We will study the formalism — an attribute grammar
  - Clarify many issues in a succinct and immediate way
  - Separate analysis problems from their implementations
- We will see that the problems with attribute grammars motivate actual, ad-hoc practice
  - Non-local computation
  - Need for centralised information

We will cover attribute grammars, then move on to ad-hoc ideas

# When?

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- These kind of analyses are either performed together with parsing or in a post-pass that traverses the IR produced by the parser

# Attribute Grammars

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What is an attribute grammar?

- A context-free grammar augmented with a set of rules computing values
- Each symbol in the derivation (or parse tree) has a set of named values, or attributes
- The rules specify how to compute a value for each attribute
  - Attribution rules are functional; they uniquely define the value
  - Each attribute is defined by rules that can refer to the values of all the other attributes in the production (**local information**)

## Example

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1	<i>Number</i>	→	<i>Sign List</i>
2	<i>Sign</i>	→	+
3			-
4	<i>List</i>	→	<i>List Bit</i>
5			<i>Bit</i>
6	<i>Bit</i>	→	0
7			1

This grammar defines  
**signed binary** numbers  
e.g., -10010 or +00101



# Examples

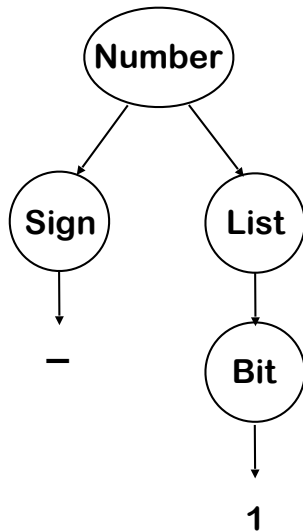
For “-1”

Number → Sign List

→ Sign Bit

→ Sign 1

→ - 1



For “-101”

Number → Sign List

→ Sign List Bit

→ Sign List 1

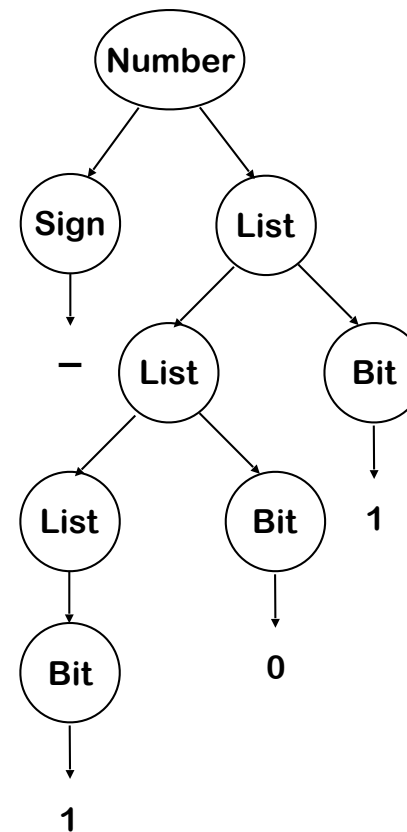
→ Sign List Bit 1

→ Sign List 0 1

→ Sign Bit 0 1

→ Sign 1 0 1

→ - 101



We will use these two examples throughout the lecture

# Attribute Grammars

1	<i>Number</i>	→	<i>Sign List</i>
2	<i>Sign</i>	→	+
3			-
4	<i>List</i>	→	<i>List Bit</i>
5			<i>Bit</i>
6	<i>Bit</i>	→	0
7			1

- We would like to augment it with rules that defines an attribute containing the decimal value of each valid input string:
- e.g. -10010 → -18    +00101 → +5

- For this we consider the following attributes

Symbol	Attributes
<i>Number</i>	val
<i>Sign</i>	neg
<i>List</i>	pos, val
<i>Bit</i>	pos, val

# Attribute Grammars

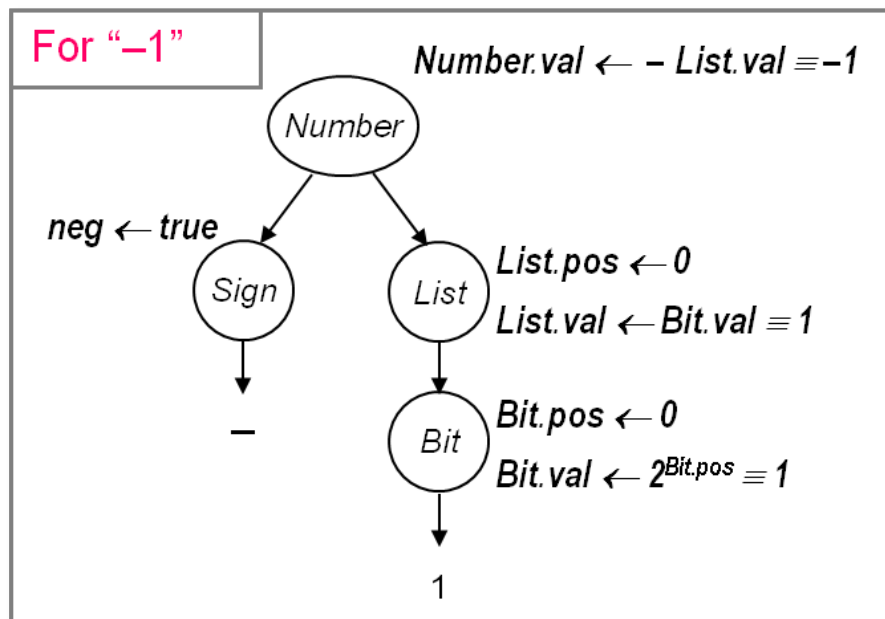
Add rules to compute the decimal value of a signed binary number

Symbol	Attributes
<i>Number</i>	val
<i>Sign</i>	neg
<i>List</i>	pos, val
<i>Bit</i>	pos, val

Productions	Attribution Rules
<i>Number</i> → <i>Sign List</i>	$List.pos \leftarrow 0$ if <i>Sign.neg</i> then $Number.val \leftarrow - List.val$ else $Number.val \leftarrow List.val$
<i>Sign</i> → +	$Sign.neg \leftarrow false$
-	$Sign.neg \leftarrow true$
<i>List<sub>0</sub></i> → <i>List<sub>1</sub> Bit</i>	$List_1.pos \leftarrow List_0.pos + 1$ <del><math>Bit.pos \leftarrow List_0.pos</math></del> $List_0.val \leftarrow List_1.val + Bit.val$
<i>Bit</i>	$Bit.pos \leftarrow List.pos$ $List.val \leftarrow Bit.val$
<i>Bit</i> → 0	$Bit.val \leftarrow 0$
1	$Bit.val \leftarrow 2^{Bit.pos}$

Note: for some rules the information flows from left to right  
 for some rules the information flows from right to left

# Back to the Examples



Symbol	Attributes
<i>Number</i>	val
<i>Sign</i>	neg
<i>List</i>	pos, val
<i>Bit</i>	pos, val

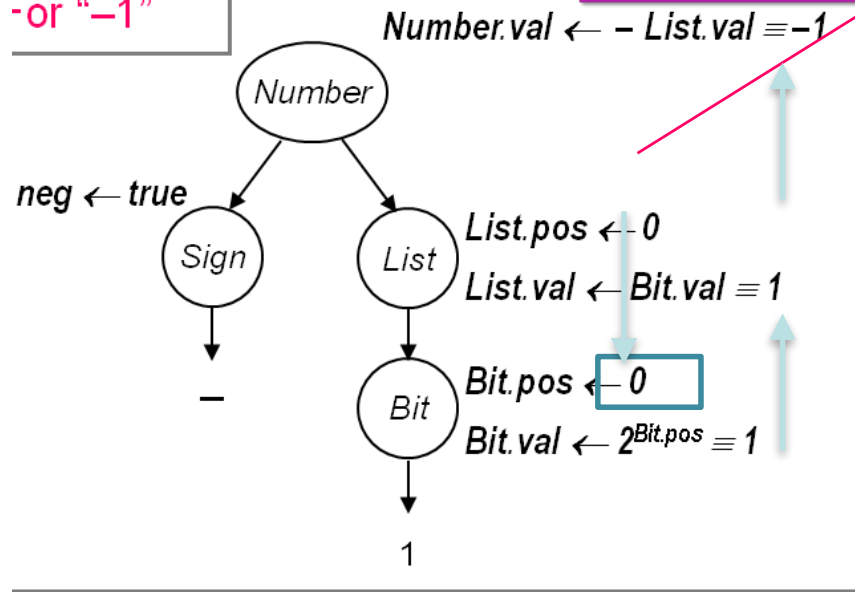
Productions	Attribution Rules
$Number \rightarrow Sign List$	$List.pos \leftarrow 0$ if $Sign.neg$ then $Number.val \leftarrow - List$ else $Number.val \leftarrow List$ .
$Sign \rightarrow +$	$Sign.neg \leftarrow false$
$Sign \rightarrow -$	$Sign.neg \leftarrow true$
$List_0 \rightarrow List_1 Bit$	$List_1.pos \leftarrow List_0.pos + 1$ $Bit.pos \leftarrow List_0.pos$ $List_0.val \leftarrow List_1.val + Bit.val$
$  \mid Bit$	$Bit.pos \leftarrow List.pos$ $List.val \leftarrow Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$  \mid 1$	$Bit.val \leftarrow 2^{Bit.pos}$

# Evaluation order

Productions	Attribution Rules
$Number \rightarrow Sign\ List$	$List.pos \leftarrow 0$ if $Sign.neg$ then $Number.val \leftarrow - List.val$ else $Number.val \leftarrow List.val$
$Sign \rightarrow +$   $-$	$Sign.neg \leftarrow false$ $Sign.neg \leftarrow true$
$List_0 \rightarrow List_1\ Bit$	$List_1.pos \leftarrow List_0.pos + 1$ $Bit.pos \leftarrow List_0.pos$ $List_0.val \leftarrow List_1.val + Bit.val$
$Bit$	$Bit.pos \leftarrow List.pos$ $List.val \leftarrow Bit.val$
$Bit \rightarrow 0$   $1$	$Bit.val \leftarrow 0$ $Bit.val \leftarrow 2^{Bit.pos}$

Rules + parse tree imply an attribute dependence graph

For "-1"



One possible evaluation order:

- 1 List.pos
- 2 Sign.neg
- 3 Bit.pos
- 4 Bit.val
- 5 List.val
- 6 Number.val

Other orders are possible

Knuth suggested a data-flow model for evaluation

- Independent attributes first
- Others in order as input values become available

Evaluation order must be consistent with the attribute dependence graph




# The Rules of the Game

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- Attributes associated with nodes in parse tree
- Rules are value assignments associated with productions
- Attribute is defined once, using **local information**
- Rules & parse tree define an attribute dependence graph
  - Graph must be non-circular

This produces a high-level, functional specification

**We need a attributed grammar evaluator**



N.B.: AG is a specification  
for the computation, not an  
algorithm

# Using Attribute Grammars

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Attribute grammars can specify context-sensitive actions

- Take values from syntax
- Perform computations with values
- Insert tests, logic, ...

## Synthesized Attributes

- Use values from children & from constants
- S-attributed grammars
- Evaluate in a single bottom-up pass

Good match to LR parsing

## Inherited Attributes

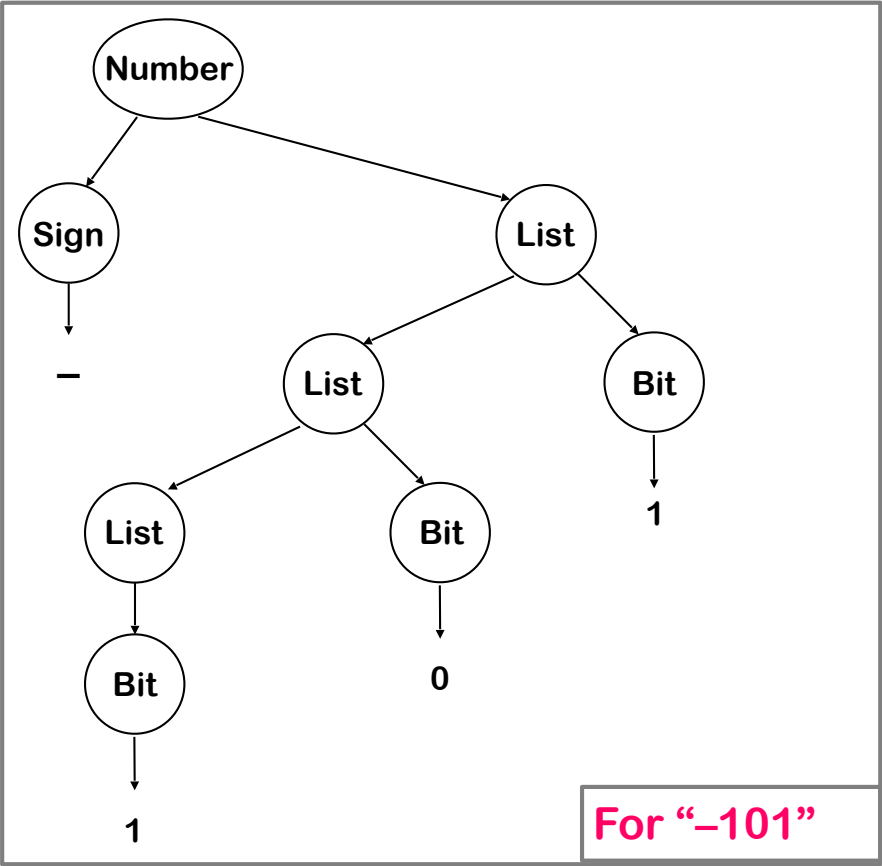
- Use values from parent, constants, & siblings
- Thought to be more natural

Not easily done at parse time

We want to use both kinds of attributes

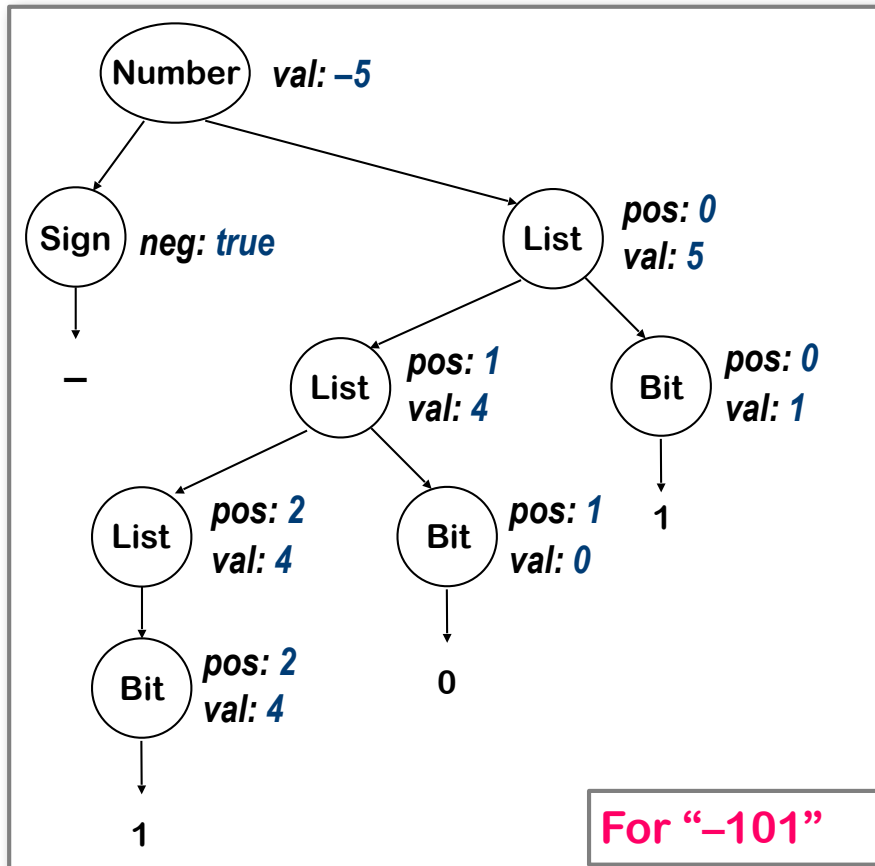


# Back to the Example



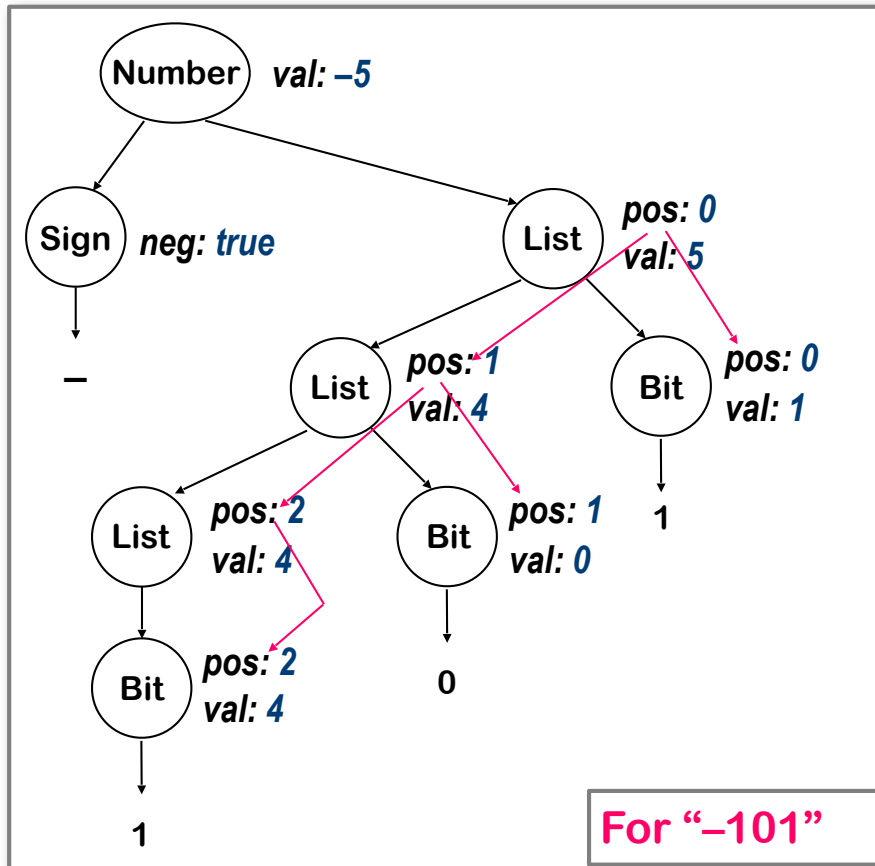
Syntax Tree

# Back to the Example



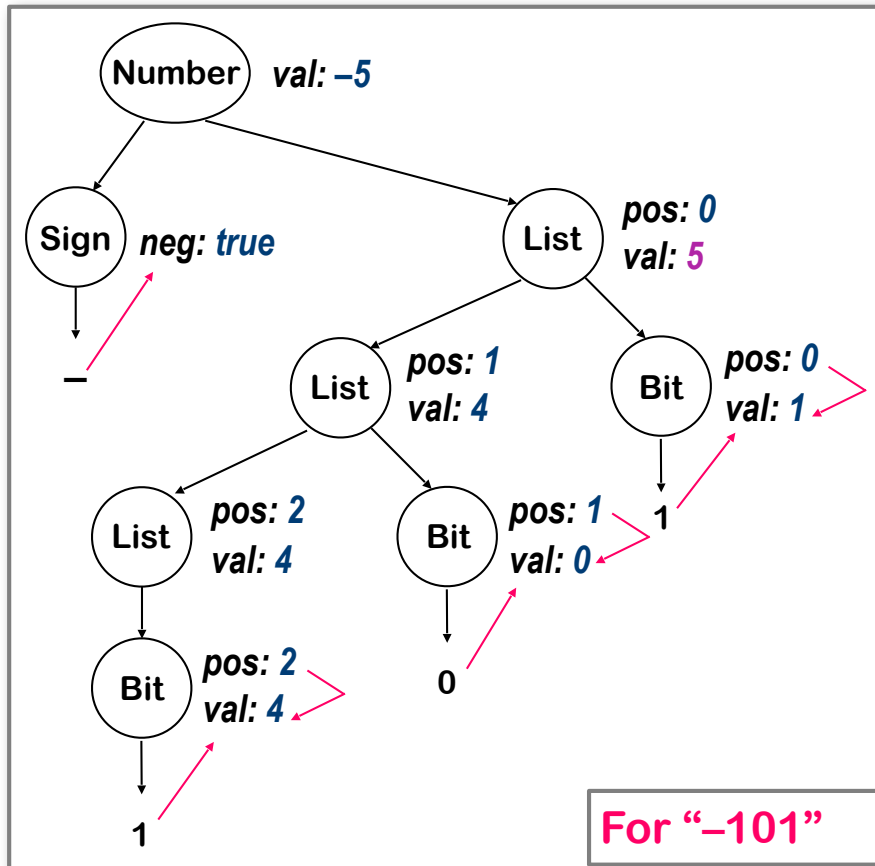
Attributed Syntax Tree

# Back to the Example



Inherited Attributes

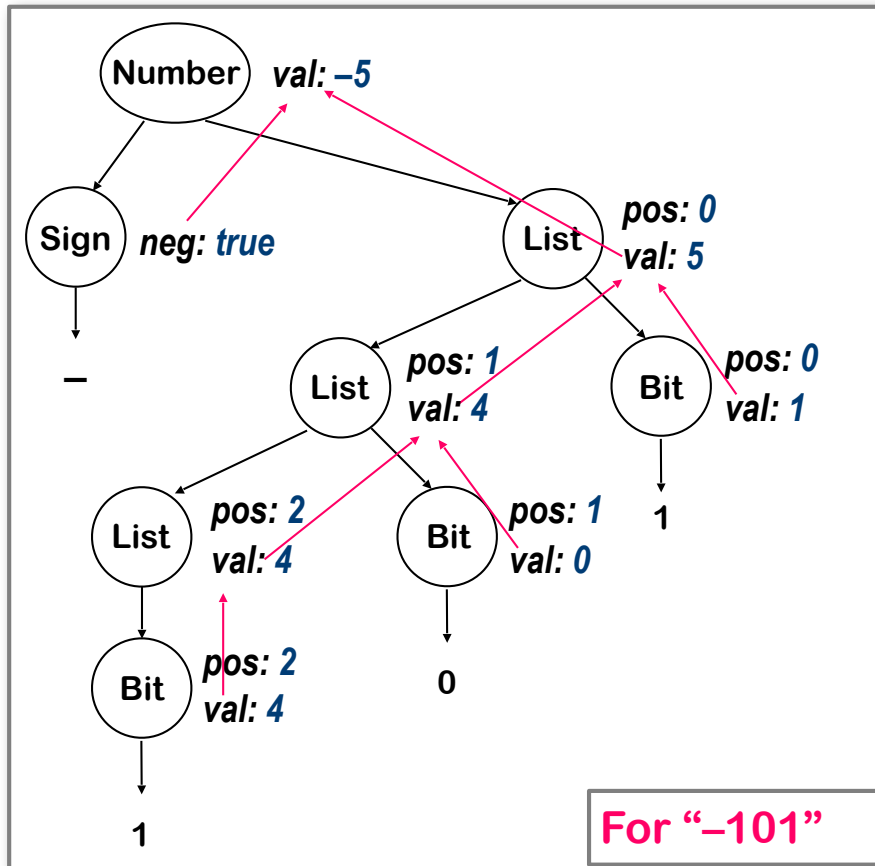
# Back to the Example



Synthesized attributes

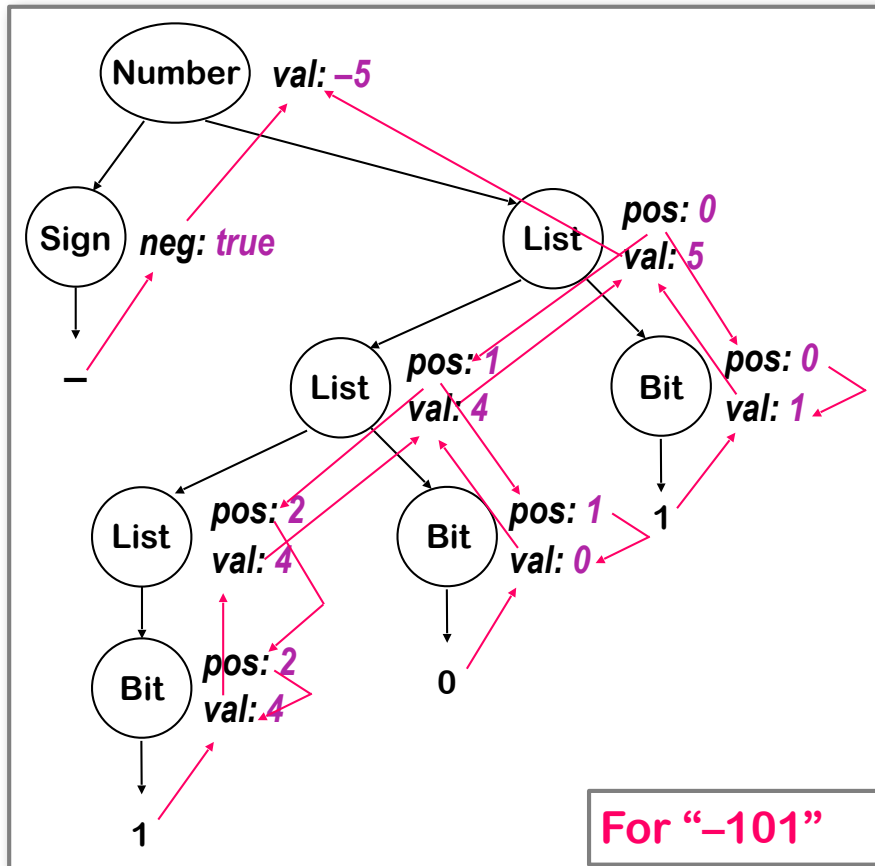
Val draws from children & the same node.

# Back to the Example



More Synthesized attributes

# Back to the Example



If we show the computation ...

& then peel away the parse tree ...



# Circularity

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We can only evaluate acyclic instances

- **General circularity testing** problem is inherently exponential!
- We can prove that some grammars can only generate instances with acyclic dependence graphs
  - Largest such class is “strongly non-circular” grammars (SNC )
  - SNC grammars can be tested in polynomial time
  - Failing the SNC test is not conclusive (sufficient conditions)
  - Many evaluation methods discover circularity dynamically

⇒ Bad property for a compiler to have



# A Circular Attribute Grammar

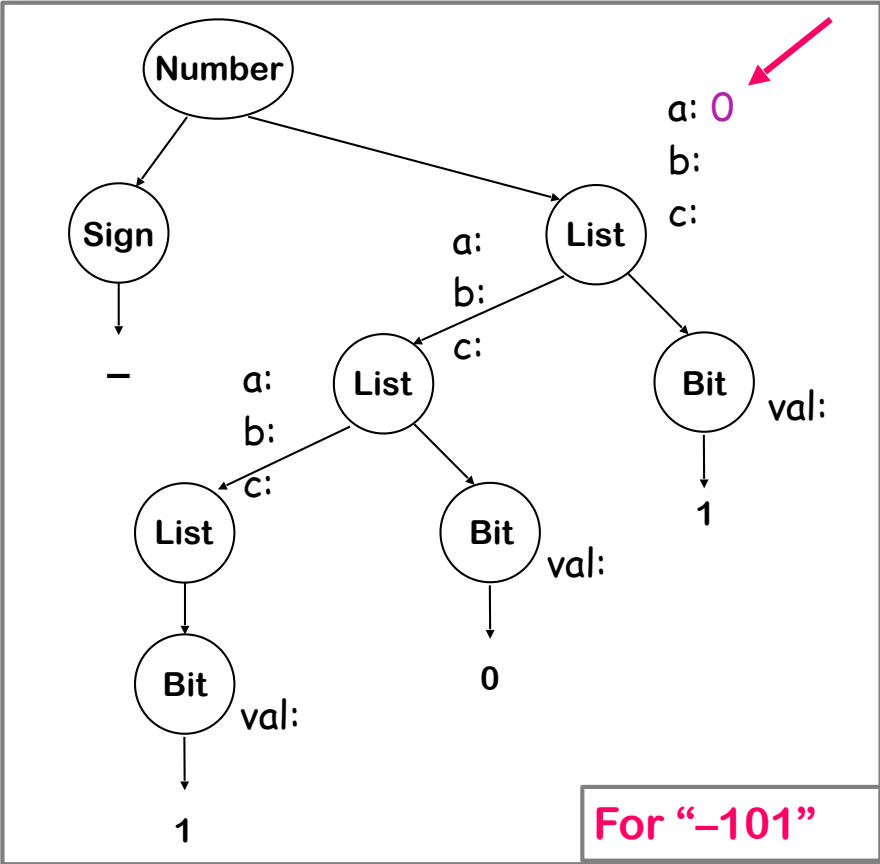
---

Productions		Attribution Rules
Number	→ List	List.a ← 0
List <sub>0</sub>	→ List <sub>1</sub> Bit	List <sub>1</sub> .a ← List <sub>0</sub> .a + 1 List <sub>0</sub> .b ← List <sub>1</sub> .b List <sub>1</sub> .c ← List <sub>1</sub> .b + Bit.val
	Bit	List <sub>0</sub> .b ← List <sub>0</sub> .a + List <sub>0</sub> .c + Bit.val
Bit	→ 0	Bit.val ← 0
	1	Bit.val ← 1

---

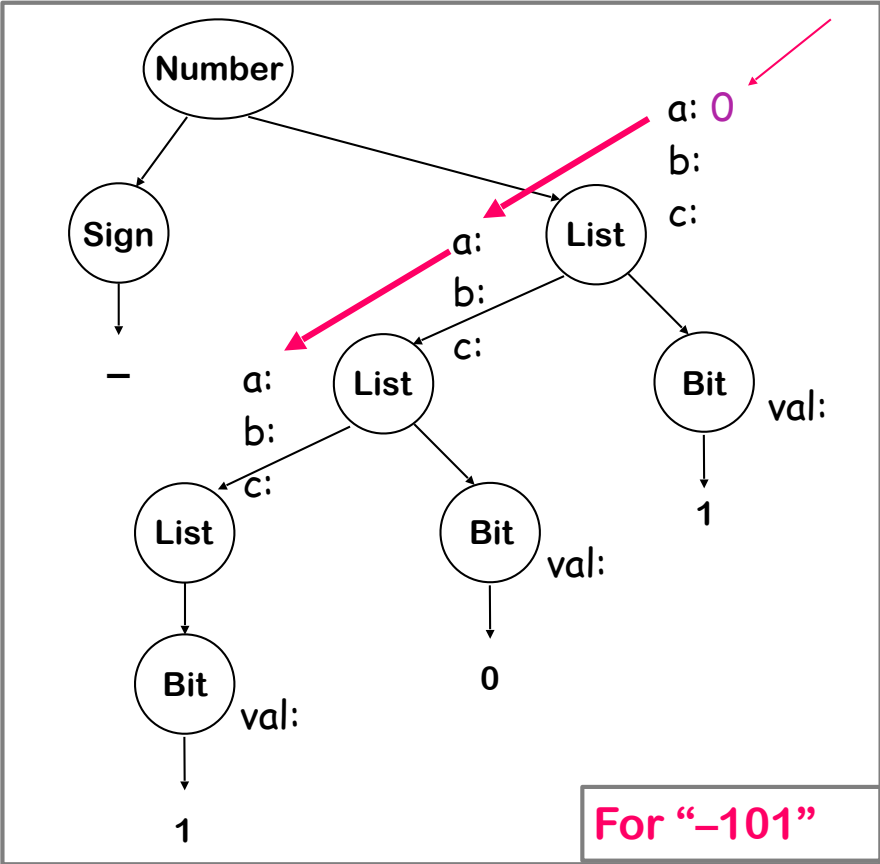
Remember, the circularity is in the attribution rules, not the underlying CFG

# Circular Grammar Example



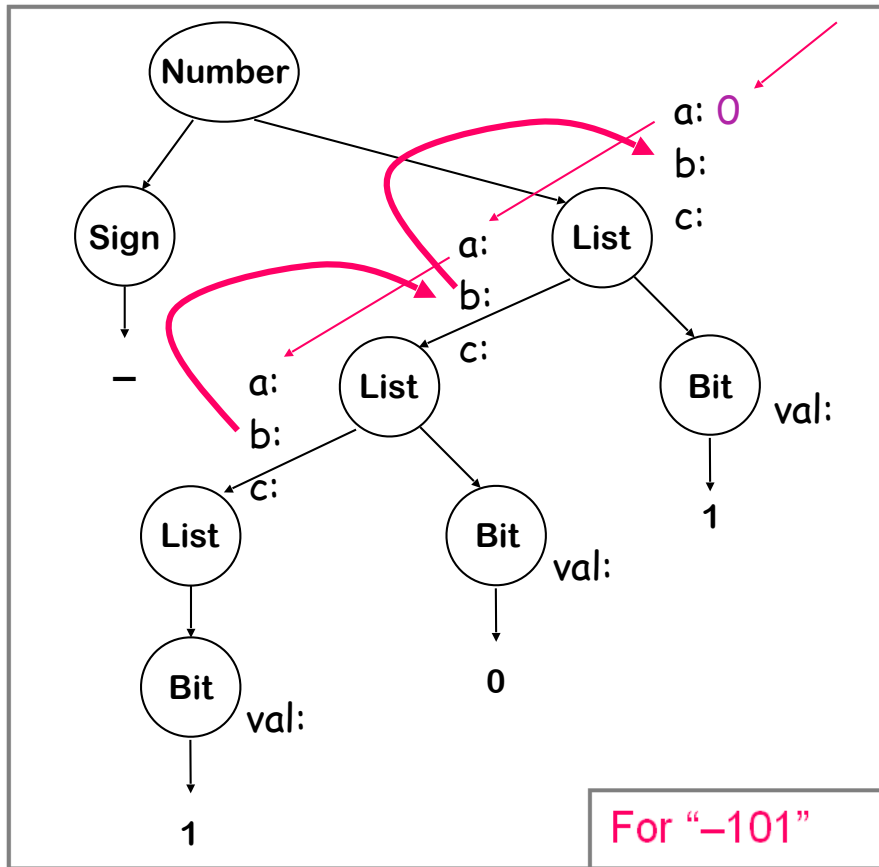
Productions	Attribution Rules
$Number \rightarrow List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1$	$List_1.a \leftarrow List_0.a + 1$
$List_0 \rightarrow Bit$	$List_0.b \leftarrow List_1.b$
	$List_1.c \leftarrow List_1.b + Bit.val$
	$Bit.val$
	$List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$Bit \rightarrow 1$	$Bit.val \leftarrow 1$

# Circular Grammar Example



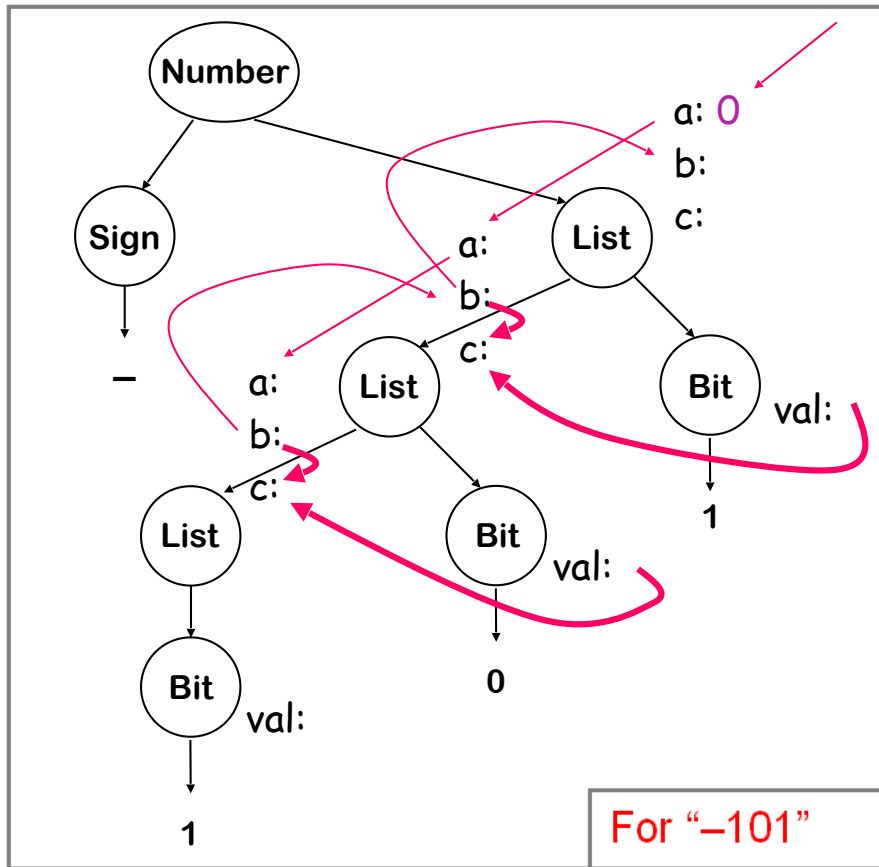
Productions	Attribution Rules
$Number \rightarrow List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1$	$List_1.a \leftarrow List_0.a + 1$
$List_0 \rightarrow Bit$	$List_0.b \leftarrow List_1.b$ $List_1.c \leftarrow List_1.b + Bit.val$
$List_0 \rightarrow Bit$	$List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$Bit \rightarrow 1$	$Bit.val \leftarrow 1$

# Circular Grammar Example



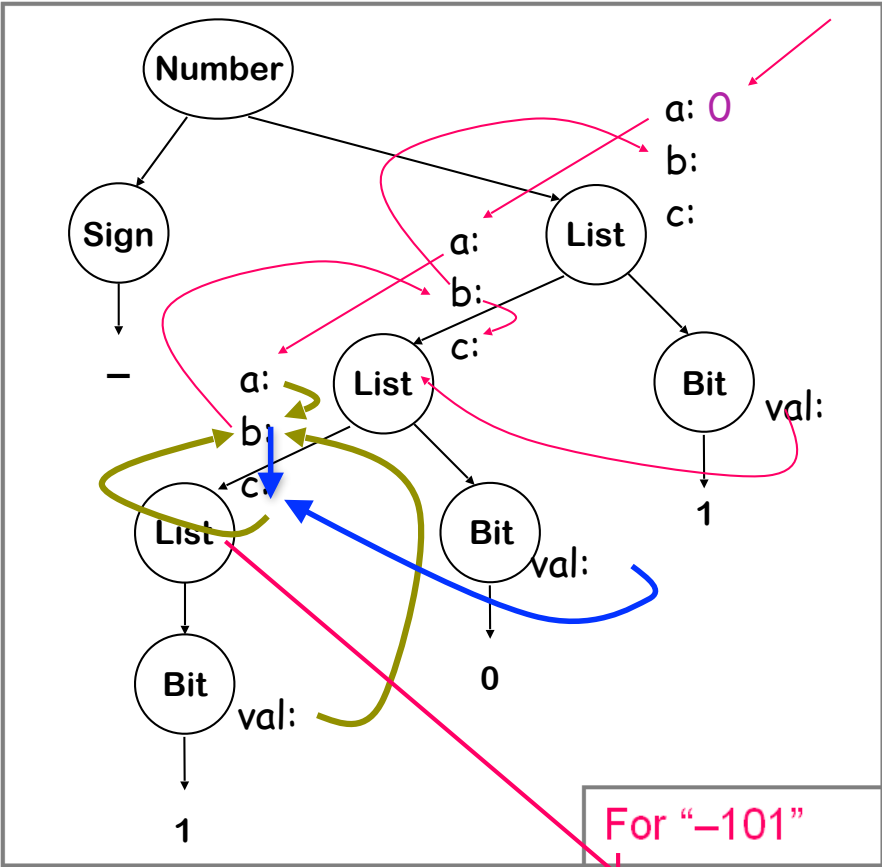
Productions	Attribution Rules
$Number \rightarrow List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1$	$List_1.a \leftarrow List_0.a + 1$
$List_0 \rightarrow Bit$	$List_0.b \leftarrow List_1.b$
	$List_1.c \leftarrow List_1.b + Bit.val$
$  Bit$	$List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$  1$	$Bit.val \leftarrow 1$

# Circular Grammar Example



Productions	Attribution Rules
$Number \rightarrow List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1$	$List_1.a \leftarrow List_0.a + 1$
$List_0 \rightarrow Bit$	$List_0.b \leftarrow List_1.b$
	$List_1.c \leftarrow List_1.b + Bit.val$
$List_0 \rightarrow Bit$	$List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$Bit \rightarrow 1$	$Bit.val \leftarrow 1$

# Circular Grammar Example

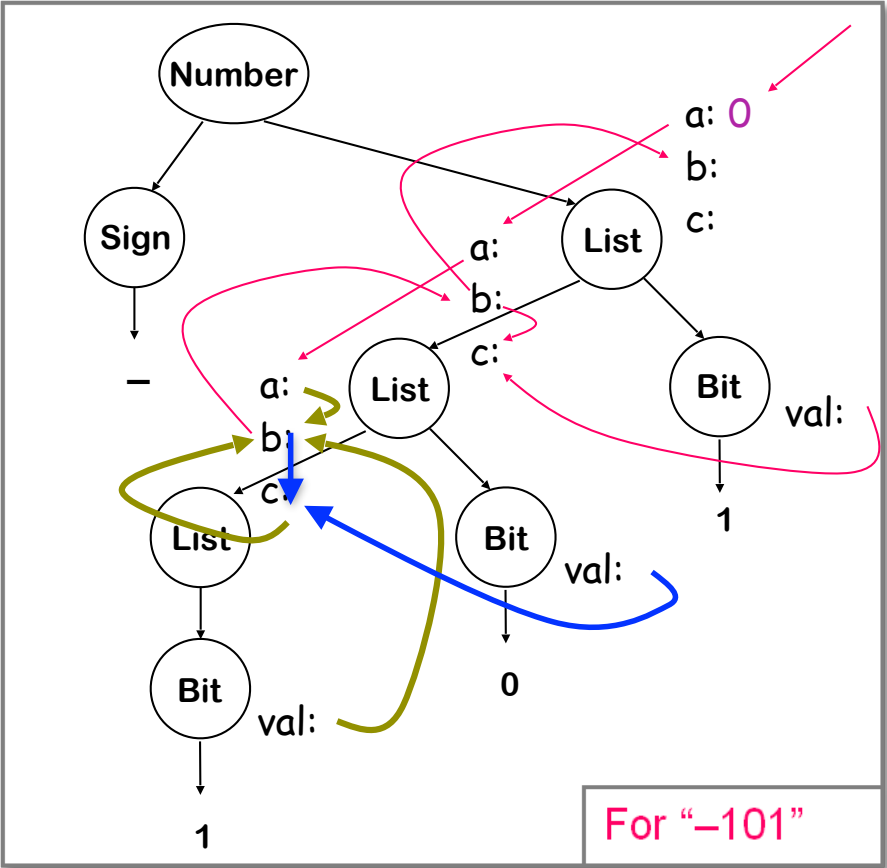


For "-101"

Here is the circularity ...

Productions	Attribution Rules
$Number \rightarrow List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1$	$List_1.a \leftarrow List_0.a + 1$
$List_0 \rightarrow Bit$	$List_0.b \leftarrow List_1.b$
	$List_1.c \leftarrow List_1.b + Bit.val$
	$Bit.val$
	$List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$Bit \rightarrow 1$	$Bit.val \leftarrow 1$

# Circular Grammar Example



Productions	Attribution Rules
$Number \rightarrow List$	$List.a \leftarrow 0$
$List_0 \rightarrow List_1$	$List_1.a \leftarrow List_0.a + 1$
$Bit$	$List_0.b \leftarrow List_1.b$
	$List_1.c \leftarrow List_1.b + Bit.val$
	$List_0.b \leftarrow List_0.a + List_0.c + Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$Bit \rightarrow 1$	$Bit.val \leftarrow 1$

Here is the circularity ...

## Circularity — The Point

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- Circular grammars have indeterminate values
    - Algorithmic evaluators will fail
  - Noncircular grammars evaluate to a unique set of values
- ⇒ Should (undoubtedly) use provably noncircular grammars

Remember, we are studying AGs to gain insight

- We should avoid circular, indeterminate computations
- If we stick to provably noncircular schemes, evaluation should be easier



# Another Example on Attribute Grammar

## Grammar for a basic block

1	$Block_0$	$\rightarrow$	$Block_1$	$Assign$
2			$Assign$	
3	$Assign_0$	$\rightarrow$	$Ident = Expr;$	
4	$Expr_0$	$\rightarrow$	$Expr_1 + Term$	
5			$Expr_1 - Term$	
6			$Term$	
7	$Term_0$	$\rightarrow$	$Term_1 * Factor$	
8			$Term_1 / Factor$	
9			$Factor$	
10	$Factor$	$\rightarrow$	$( Expr )$	
11			$Number$	
12			$Ident$	

Let's estimate cycle counts

- Each **operation** has a **COST**
- Assume a **load** per value that has a **COST**
- **Add them, bottom up**
- Assume no reuse

Simple problem for an AG

Hey, that is a practical application!

# An Extended Example

(continued)

1	$Block_0 \rightarrow Block_1 \text{ Assign}$	$Block_0.cost \leftarrow Block_1.cost + Assign.cost$
2	$Assign$	$Block_0.cost \leftarrow Assign.cost$
3	$Assign_0 \rightarrow Ident = Expr;$	$Assign.cost \leftarrow COST(store) + Expr.cost$
4	$Expr_0 \rightarrow Expr_1 + Term$	$Expr_0.cost \leftarrow Expr_1.cost + COST(add) + Term.cost$
5	$Expr_1 - Term$	$Expr_0.cost \leftarrow Expr_1.cost + COST(sub) + Term.cost$
6	$Term$	$Expr_0.cost \leftarrow Term.cost$
7	$Term_0 \rightarrow Term_1 * Factor$	$Term_0.cost \leftarrow Term_1.cost + COST(mult) + Factor.cost$
8	$Term_1 / Factor$	$Term_0.cost \leftarrow Term_1.cost + COST(div) + Factor.cost$
9	$Factor$	$Term_0.cost \leftarrow Factor.cost$
10	$Factor \rightarrow ( Expr )$	$Factor.cost \leftarrow Expr.cost$
11	$Number$	$Factor.cost \leftarrow COST(loadI)$
12	$Ident$	$Factor.cost \leftarrow COST(load)$

These are all synthesized attributes !

Values flow from rhs to lhs in prod'ns

## An Extended Example

(continued)

---

Properties of the example grammar

- All attributes are synthesized  $\Rightarrow$  **S-attributed grammar**
- Rules can be evaluated bottom-up in a single pass
  - Good fit to bottom-up, shift/reduce parser
- Easily understood solution
- Seems to fit the problem well

What about an improvement?  $x=y+y$

- Values are loaded only once per block (not at each use)
- Need to track which values have been already loaded

# An Extended Example

---

- We would like something like

```
if ( name has not been loaded )  
  then Factor.cost ← Cost(load);  
  else Factor.cost ← 0;
```

Non local information!

- to realize it we consider two attributes *before* and *after* that contains set of *names*
  - *before* contains the set of all *names* that occur earlier in the block
  - *after* contain all names in *before* plus any *name* that was loaded in the subtree rooted at that node

## A Better Execution Model

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### Adding load tracking

- Need sets Before and After for each production
- Must be initialized, updated, and passed around the tree

```
10  Factor  → ( Expr )    Factor.cost ← Expr.cost
                               Expr.before ← Factor.before
                               Factor.after ← Expr.after
11      | Number          Factor.cost ← COST(loadI)
                               Factor.after ← Factor.before
12      | Ident           If (Ident.name ∉ Factor.before)
                               then
                               Factor.cost ← COST(load)
                               Factor.after ← Factor.before
                               ∪ { Ident.name }
                               else
                               Factor.cost ← 0
                               Factor.after ← Factor.before
```

This version is much more complex

## A Better Execution Model

---

- Load tracking adds complexity
- But, most of it is in the "copy rules"
- Every production needs rules to copy Before & After

A sample production

4	$Expr_0 \rightarrow Expr_1 + Term$	$Expr_0.cost \leftarrow Expr_1.cost +$ $COST(add) + Term.cost$ $Expr_1.before \leftarrow Expr_0.before$ $Term.before \leftarrow Expr_1.before$ $Expr_0.after \leftarrow Term.after$
---	------------------------------------	---

These copy rules multiply rapidly

Each creates an instance of the set

Lots of work, lots of space, lots of rules to write

## A second example: inferring expression types

- Any compiler that tries to generate efficient code for a typed language must confront the problem of inferring types for every expression in the program
- This relies on context-sensitive information: the type of `name` or of a `num` depends on its identity rather than its syntactic category

# Type inference for expressions

---

Assume

- **name** and **num** that appear in the parse tree has already an attribute type
- $\mathcal{F}_+$   $\mathcal{F}_-$   $\mathcal{F}_\times$   $\mathcal{F}_\div$  encode information as the one for + in this table

+	integer	real	double	complex
integer	integer	real	double	complex
real	real	real	double	complex
double	double	double	double	<i>illegal</i>
complex	complex	complex	<i>illegal</i>	complex

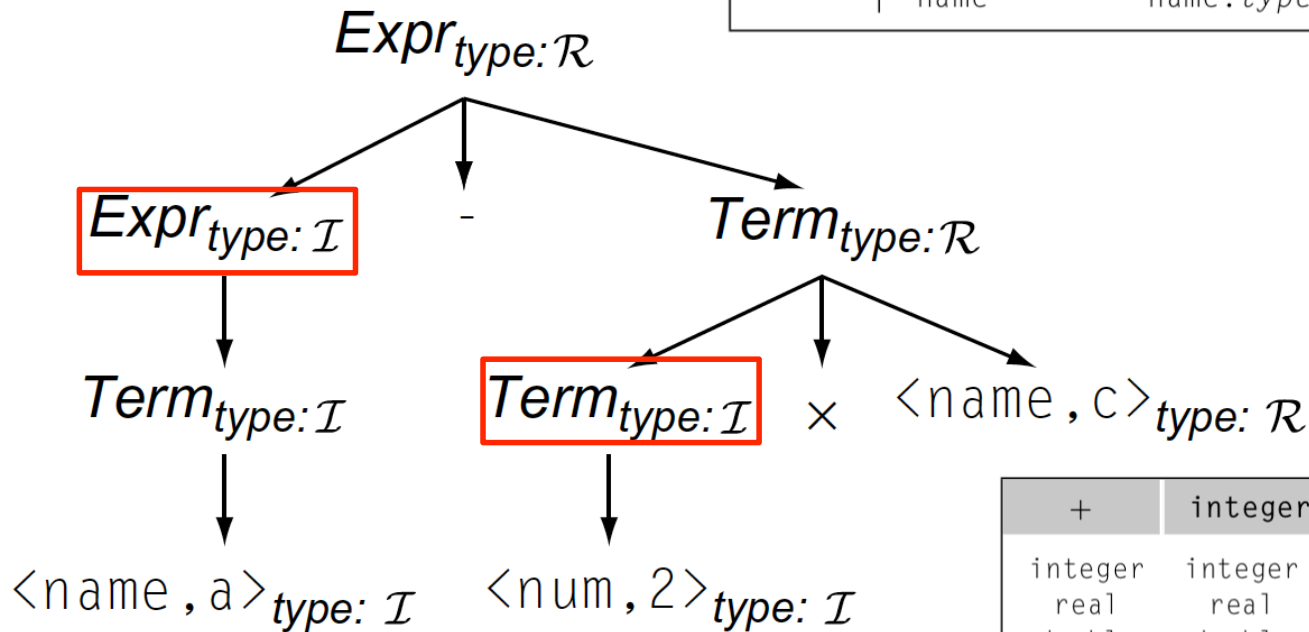


# The attribute Grammar

Production	Attribution Rules
$Expr_0 \rightarrow Expr_1 + Term$	$Expr_0.type \leftarrow \mathcal{F}_+(Expr_1.type, Term.type)$
$Expr_1 - Term$	$Expr_0.type \leftarrow \mathcal{F}_-(Expr_1.type, Term.type)$
$Term$	$Expr_0.type \leftarrow Term.type$
$Term_0 \rightarrow Term_1 Factor$	$Term_0.type \leftarrow \mathcal{F}_\times(Term_1.type, Factor.type)$
$Term_1 Factor$	$Term_0.type \leftarrow \mathcal{F}_\div(Term_1.type, Factor.type)$
$Factor$	$Term_0.type \leftarrow Factor.type$
$Factor \rightarrow (Expr)$	$Factor.type \leftarrow Expr.type$
num	num.type <i>is already defined</i>
name	name.type <i>is already defined</i>

a - 2 x c

Production	Attribution Rules
$Expr_0 \rightarrow Expr_1 + Term$	$Expr_0.type \leftarrow \mathcal{F}_+(Expr_1.type, Term.type)$
$  Expr_1 - Term$	$Expr_0.type \leftarrow \mathcal{F}_-(Expr_1.type, Term.type)$
$  Term$	$Expr_0.type \leftarrow Term.type$
$Term_0 \rightarrow Term_1 Factor$	$Term_0.type \leftarrow \mathcal{F}_\times(Term_1.type, Factor.type)$
$  Term_1 Factor$	$Term_0.type \leftarrow \mathcal{F}_\div(Term_1.type, Factor.type)$
$  Factor$	$Term_0.type \leftarrow Factor.type$
$Factor \rightarrow (Expr)$	$Factor.type \leftarrow Expr.type$
$  num$	$num.type \text{ is already defined}$
$  name$	$name.type \text{ is already defined}$



	+	integer	real	double	complex
integer	integer	integer	real	double	complex
real	real	real	real	double	complex
double	double	double	double	double	<i>illegal</i>
complex	complex	complex	complex	<i>illegal</i>	complex

For each case the operand will have a different type from the type of the other operand the compiler need to add a conversion

## Type inference for expressions

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- We have assumed that `name.type` and `num.type` were already defined
  - but to fill those values using an attribute grammar the compiler writer would need to develop a set of rules for the portion of the grammar that handle declarations, to collect this information and to add attributes for propagate that information on all variables: **many copy rules!**
  - at the leaf node the rules need to extract the appropriate facts
- The result set of rules would be similar the one of the previous example

## Problems with Attribute-Grammar Approach

- Attribute grammars handle well problems where all information flows in the same direction and is local
- There is a problem in handling non local information
- Non-local computation need a lots of supporting rules
  - Copy rules increase cognitive overhead
  - Copy rules increase space requirements
    - Need copies of attributes
- Result is an attributed tree
  - **Must build the parse tree**
  - All the answer are in the values of the attributed tree. To find them later phases has either visit the tree for answers or copy relevant information in the root (more copy rules)