

This lecture begins  
the material from  
Chapter 8 of EaC

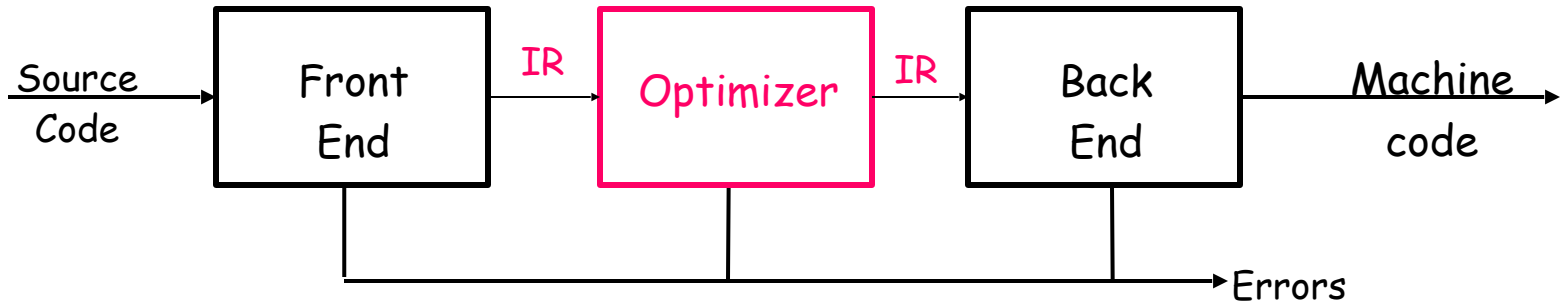
# Introduction to Code Optimization

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# Traditional Three-Phase Compiler

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## Optimization (or Code Improvement)

- Analyzes IR and rewrites (or transforms) IR
- Primary goal is to reduce running time of the compiled code
  - May also improve space, power consumption, ...

Transformations have to be:

- **Safely** applied and (it does not change the result of the running program)
- Applied when **profit** has expected

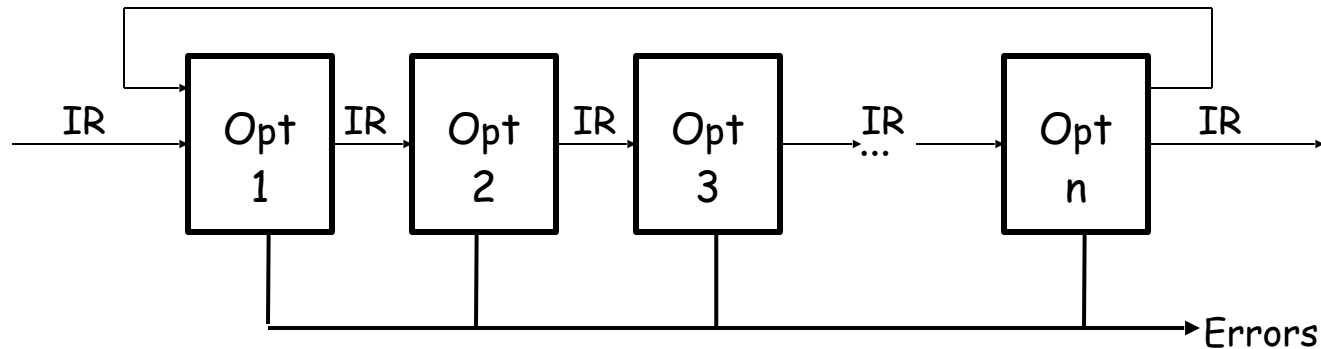
# Background

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- Until the early 1980s optimisation was a feature should be added to the compiler only after its other parts were working well
- Debugging compilers vs. optimising compilers
- After the development of RISC processors the demand for support from the compiler

# The Optimizer

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Modern optimizers are structured as a series of passes

## Typical Transformations

- Discover & propagate some constant value
- Move a computation to a less frequently executed place
- Specialize some computation based on context
- Discover a redundant computation & remove it
- Remove useless or unreachable code

# The Role of the Optimizer

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- The compiler can implement a procedure in many ways
- The optimizer tries to find an implementation that is "better"
  - Speed, code size, data space, ...

## To accomplish this, it

- Analyzes the code to derive knowledge about run-time behavior
  - Data-flow analysis, pointer disambiguation, ...
  - General term is "static analysis"
- Uses that knowledge in an attempt to improve the code
  - Literally hundreds of transformations have been proposed
  - Large amount of overlap between them

## Nothing "optimal" about optimization

- Proofs of optimality assume restrictive & unrealistic conditions

# Scope of Optimization

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In scanning and parsing, "scope" refers to a region of the code that corresponds to a distinct name space.

In optimization "scope" refers to a region of the code that is subject to analysis and transformation.

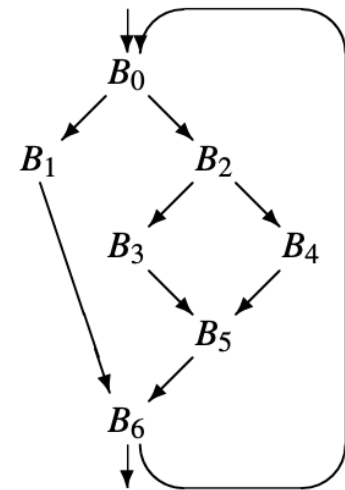
- Notions are somewhat related
- Connection is not necessarily intuitive

Different scopes introduces different challenges & different opportunities

Historically, optimization has been performed at several distinct scopes.

# Scope of Optimization

CFG of basic blocks: BB is a maximal length sequence of straightline code.



## Local optimization

- Operates entirely within a single basic block
- Properties of block lead to strong optimizations

## Regional optimization

- Operate on a region in the CFG that contains multiple blocks
- Loops, trees, paths, extended basic blocks **new opportunities**

## Whole procedure optimization (intraprocedural)

- Operate on entire CFG for a procedure
- Presence of cyclic paths forces analysis then transformation

## Whole program optimization (interprocedural)

- Operate on some or all of the call graph (multiple procedures)
- Must contend with call/return & parameter binding



## Redundancy Elimination as an Example

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An expression  $x+y$  is **redundant** if and only if, along every path from the procedure's entry, it has been evaluated, and its constituent subexpressions ( $x$  &  $y$ ) have not been re-defined.

If the compiler can prove that an expression is redundant

- It can preserve the results of earlier evaluations
- It can replace the current evaluation with a reference

Two pieces to the problem

- Proving that  $x+y$  is redundant, or available
- Rewriting the code to eliminate the redundant evaluation

One technique for accomplishing both is called value numbering



## Rewriting to avoid Redundancy

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$$a \leftarrow b + c$$

$$b \leftarrow a - d$$

$$c \leftarrow b + c$$

$$d \leftarrow a - d$$

Original Block

$$a \leftarrow b + c$$

$$b \leftarrow a - d$$

$$c \leftarrow b + c$$

$$d \leftarrow b$$

Rewritten Block

The resulting code runs more quickly but extend the lifetime of  $b$   
This could cause the allocator to spill the value of  $b$

Since the optimiser cannot predict the behaviour of the register allocator, it assumes that rewriting to avoid redundancy is profitable!

## Redundancy without textual identity

The problem is more complex than it may seem!

$$a \leftarrow b \times c$$

$$d \leftarrow b$$

$$e \leftarrow d \times c$$

Local algorithm due to Balke  
(1968) or Ershov (1954)

# Local Value Numbering

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The key notion

- Assign an identifying number,  $V(e)$ , to each expression
  - $V(x+y) = V(j)$  iff  $x+y$  and  $j$  always have the same value
  - Use hashing over the value numbers to make it efficient
- Use these numbers to improve the code

Within a basic block;  
definition becomes more  
complex across blocks

Improving the code

- Replace redundant expressions
  - Same  $V(e) \Rightarrow$  refer rather than recompute

# Local Value Numbering

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## The Algorithm

For each operation  $o = \langle \text{operator}, o_1, o_2 \rangle$  in the block, in order

1. Get value numbers for operands from hash lookup
2. Hash  $\langle \text{operator}, \text{VN}(o_1), \text{VN}(o_2) \rangle$  to get a value number for  $o$
3. If  $o$  already had a value number, replace  $o$  with a reference  $\text{operator}, \text{VN}(o_1), \text{VN}(o_2) \rangle$

If hashing behaves, the algorithm runs in linear time

# Local Value Numbering

---

An example

## Original Code

$$a \leftarrow b + c$$

$$b \leftarrow a - d$$

$$c \leftarrow b + c$$

$$* d \leftarrow a - d$$

## With VNs

$$a^3 \leftarrow b^1 + c^2$$

$$b^5 \leftarrow a^3 - d^4$$

$$c^6 \leftarrow b^5 + c^2$$

$$* d^5 \leftarrow a^3 - d^4$$

## Rewritten

$$a \leftarrow b + c$$

$$b \leftarrow a - d$$

$$c \leftarrow b + c$$

$$* d \leftarrow b$$

One redundancy

- Eliminate stmt with

\*

# Local Value Numbering: the role of naming

## An example

### Original Code

$a \leftarrow x + y$   
\*  $b \leftarrow x + y$   
 $a \leftarrow 17$   
\*  $c \leftarrow x + y$

### With VNs

$a^3 \leftarrow x^1 + y^2$   
\*  $b^3 \leftarrow x^1 + y^2$   
 $a^4 \leftarrow 17$   
\*  $c^3 \leftarrow x^1 + y^2$

### Rewritten

$a^3 \leftarrow x^1 + y^2$   
\*  $b^3 \leftarrow a^3$   
 $a^4 \leftarrow 17$   
\*  $c^3 \leftarrow a^3$  (oops!)

### Two redundancies

- Eliminate stmts with a \*

### Options

- Use  $c^3 \leftarrow b^3$

with a mapping from values to names

- Save  $a^3$  in  $t^3$
- Rename around it

# Local Value Numbering: renaming

Example (continued):

Remember the SSA form?

## Original Code

$a_0 \leftarrow x_0 + y_0$   
\*  $b_0 \leftarrow x_0 + y_0$   
 $a_1 \leftarrow 17$   
\*  $c_0 \leftarrow x_0 + y_0$

## With VNs

$a_0^3 \leftarrow x_0^1 + y_0^2$   
\*  $b_0^3 \leftarrow x_0^1 + y_0^2$   
 $a_1^4 \leftarrow 17$   
\*  $c_0^3 \leftarrow x_0^1 + y_0^2$

## Rewritten

$a_0^3 \leftarrow x_0^1 + y_0^2$   
\*  $b_0^3 \leftarrow a_0^3$   
 $a_1^4 \leftarrow 17$   
\*  $c_0^3 \leftarrow a_0^3$

### Renaming:

- Give each value a unique name
- Makes it clear

### Notation:

- While complex, the meaning is clear

### Result:

- $a_0^3$  is available
- Rewriting now works

How to reconcile this new subscripted names with the original ones? A clever implementation would map

$a_1 \rightarrow a$      $b_0 \rightarrow b$      $c_0 \rightarrow c$      $a_0 \rightarrow t$

# The impact of indirect assignments

- To manage the subscripted naming the compiler maintain a map from names to the current subscript.
- With a direct assignment  $a \leftarrow b + c$ , the changes are clear
- With an indirect assignment  $*p \leftarrow 0$ ?
- The compiler can perform static analysis to disambiguate pointer references (to restrict the set of variables to whom  $p$  can refer to).

Ambiguous reference

the compiler cannot isolate a single memory location



# Simple Extensions to Value Numbering

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## Constant folding

- Add a bit that records when a value is constant
- Evaluate constant values at compile-time
- Replace with load immediate or immediate operand
- No stronger local algorithm

## Commutative operations

- commutative operations that differs only for the order of their operands should receive the same value numbers  $a \times b$  and  $b \times a$

## Algebraic identities

- Must check (many) special cases
- Replace result with input VN
- Build a decision tree on operation

### Identities (on VNs)

$x \leftarrow y$ ,  $x+0$ ,  $x-0$ ,  $x*1$ ,  $x\div 1$ ,  $x-x$ ,  $x*0$ ,  
 $x\div x$ ,  $x\vee 0$ ,  $x \wedge 0xFF\dots FF$ ,  
 $\max(x, \text{MAXINT})$ ,  $\min(x, \text{MININT})$ ,  
 $\max(x, x)$ ,  $\min(y, y)$ , and so on ...

# Local Value Numbering

(Recap)

## The LVN Algorithm, with bells & whistles

for  $i \leftarrow 0$  to  $n-1$

1. get the value numbers  $V_1$  and  $V_2$  for  $L_i$  and  $R_i$
2. if  $L_i$  and  $R_i$  are both constant then *Constant folding*  
evaluate  $L_i \text{ Op}_i R_i$ , assign it to  $T_i$  and mark  $T_i$  as a constant
3. if  $L_i \text{ Op}_i R_i$  matches an identity then *Algebraic identities*  
replace it with a copy operation or an assignment
4. if  $\text{Op}_i$  commutes and  $V_1 > V_2$  then *Commutativity*  
swap  $V_1$  and  $V_2$
5. construct a hash key  $\langle V_1, \text{Op}_i, V_2 \rangle$ 
  - if the hash key is already present in the table then  
replace operation  $I$  with a copy into  $T_i$  and mark  $T_i$  with the VN
  - else  
insert a new VN into table for hash key & mark  $T_i$  with the VN

Block is a sequence of  $n$  operations of the form

$$T_i \leftarrow L_i \text{ Op}_i R_i$$

# Local Value Numbering

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## The Algorithm

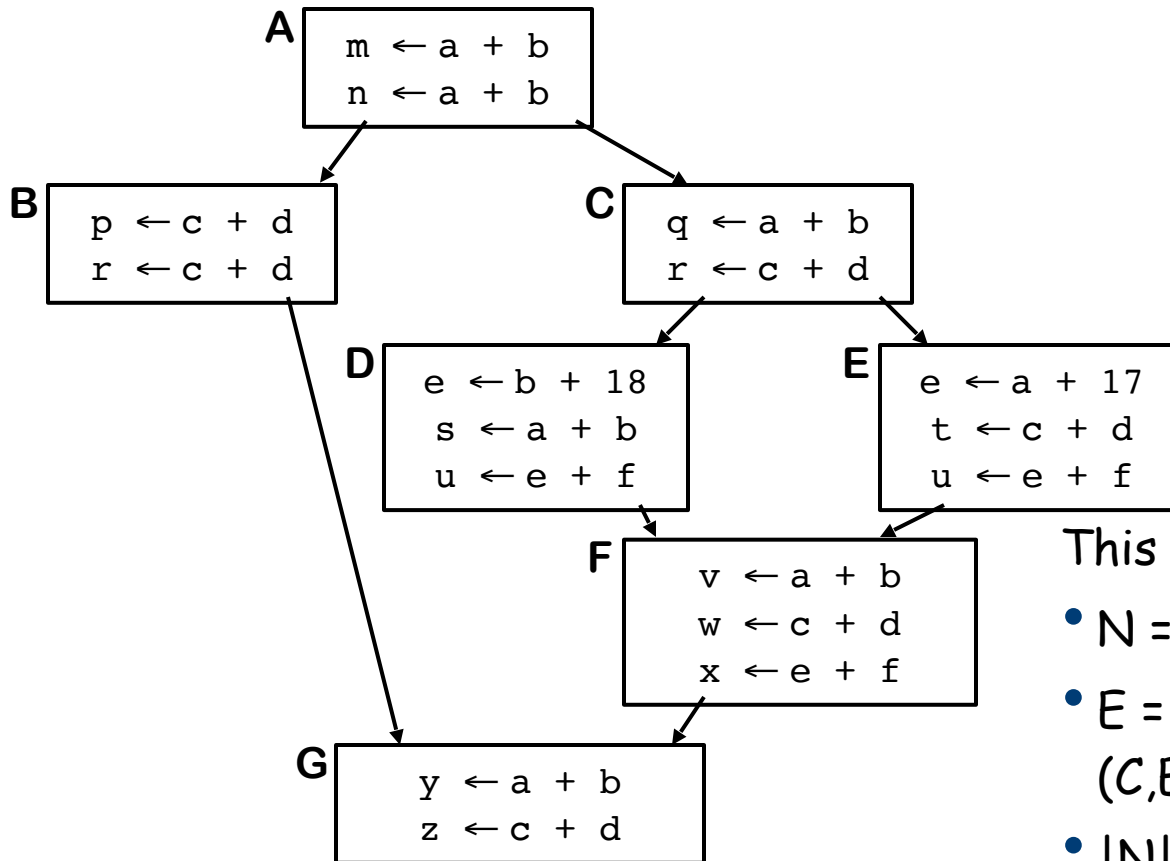
For each operation  $o = \langle \text{operator}, o_1, o_2 \rangle$  in the block, in order

- 1 Get value numbers for operands from hash lookup
- 2 Hash  $\langle \text{operator}, \text{VN}(o_1), \text{VN}(o_2) \rangle$  to get a value number for  $o$
- 3 If  $o$  already had a value number, replace  $o$  with a reference

## Complexity & Speed Issues

- "Get value numbers" — linear search versus hash
- "Hash  $\langle \text{op}, \text{VN}(o_1), \text{VN}(o_2) \rangle$ " — linear search versus hash
- Copy folding — set value number of result
- Commutative ops — double hash versus sorting the operands

# Terminology Control-flow graph (CFG)

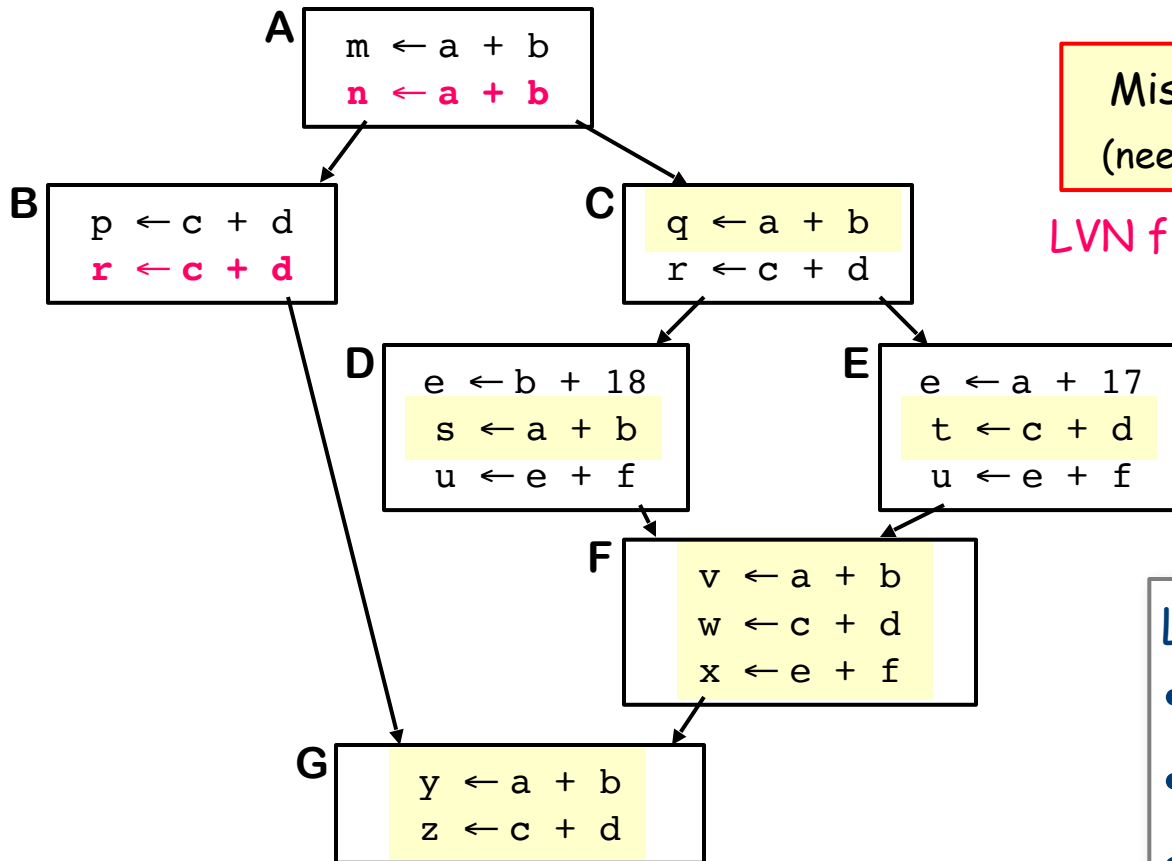


- Nodes for basic blocks
- Edges for branches
- Basis for much of program analysis & transformation

This CFG,  $G = (N, E)$

- $N = \{A, B, C, D, E, F, G\}$
- $E = \{(A, B), (A, C), (B, G), (C, D), (C, E), (D, F), (E, F), (F, E)\}$
- $|N| = 7, |E| = 8$

# Local Value Numbering



Missed opportunities  
(need stronger methods)

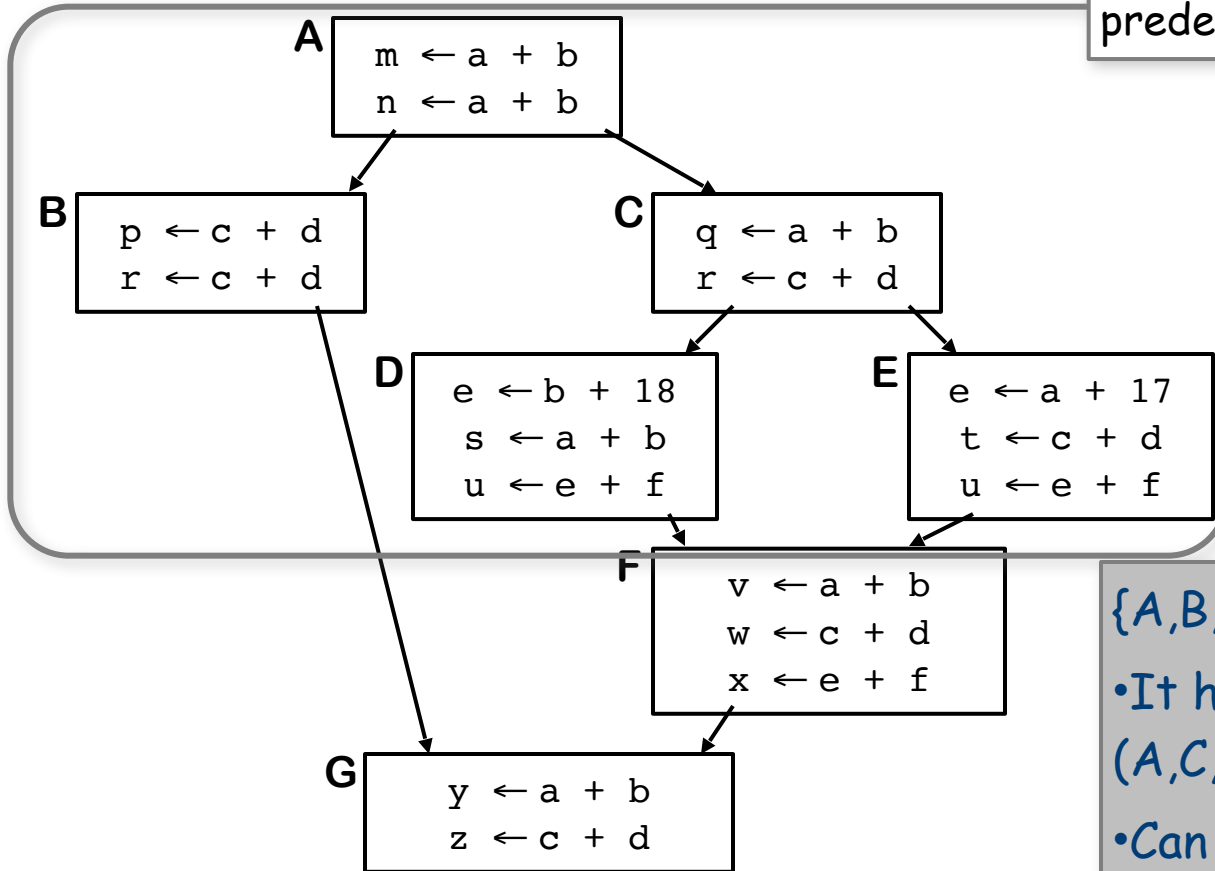
LVN finds these redundant ops

- Local Value Numbering
- 1 block at a time
  - Strong local results
  - No cross-block effects

## A Regional Technique

# Superlocal Value Numbering

**Extended Basic Block:** maximal set of blocks  $B_1, B_2, \dots, B_n$  where each  $B_i$ , except  $B_1$ , has exactly one predecessor in the EBB itself.

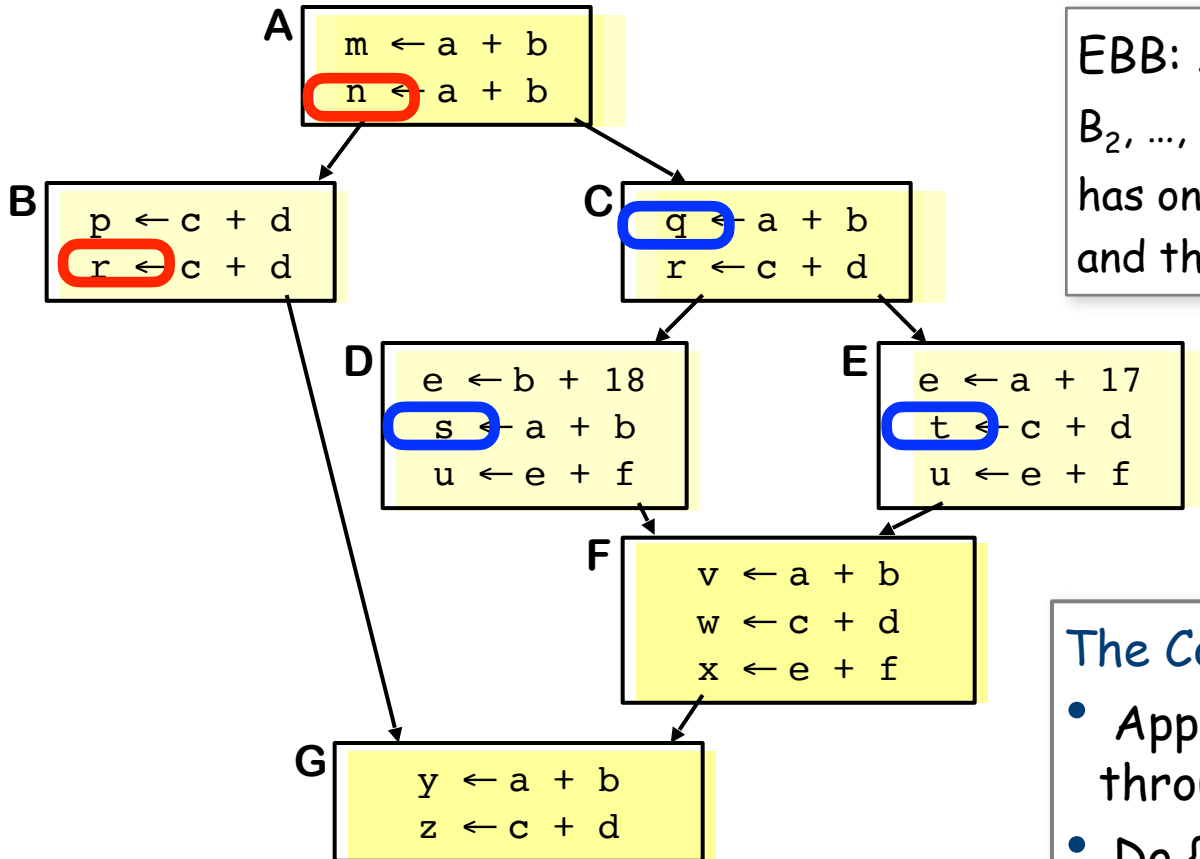


$\{A, B, C, D, E\}$  is an EBB

- It has 3 paths:  $(A, B)$ ,  $(A, C, D)$ , &  $(A, C, E)$
- Can sometimes treat each path as if it were a block

$\{F\}$  &  $\{G\}$  are degenerate EBBs

# Superlocal Value Numbering



EBB: A maximal set of blocks  $B_1, B_2, \dots, B_n$  where each  $B_i$ , except  $B_1$ , has only exactly one predecessor and that block is in the EBB.

## The Concept

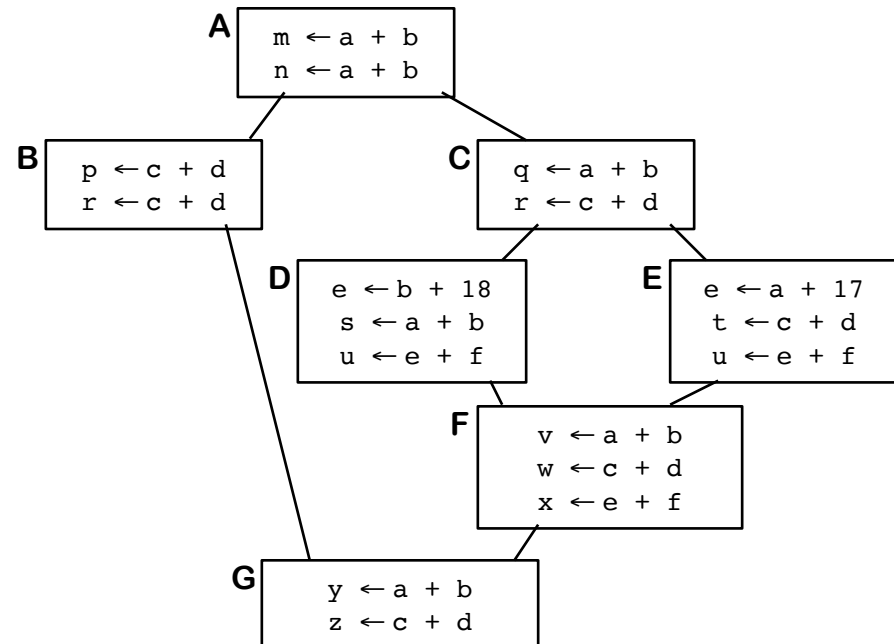
- Apply local method to paths through the EBBs
- Do  $\{A,B\}$ ,  $\{A,C,D\}$ , &  $\{A,C,E\}$
- Obtain reuse from ancestors
- Avoid re-analyzing A & C
- Does not help with F or G

# Superlocal Value Numbering

## Efficiency

- Use A's table to initialize tables for B & C
- To avoid duplication, use a scoped hash table
  - A, AB, A, AC, ACD, AC, ACE, F, G
- Need a  $VN \rightarrow name$  mapping to handle kills
  - Must restore map with scope
  - Adds complication, not cost

"kill" is a re-definition of some name



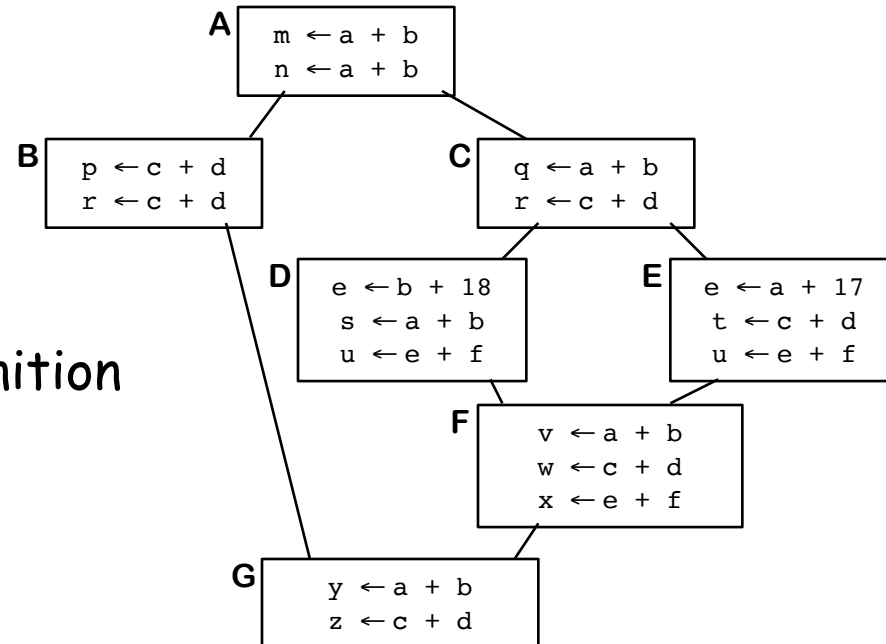


# Superlocal Value Numbering

## Efficiency

- Use A's table to initialize tables for B & C
- To avoid duplication, use a scoped hash table
  - A, AB, A, AC, ACD, AC, ACE, F, G
- Need a **VN** → **name** mapping to handle kills
  - Must restore map with scope
  - Adds complication, not cost

"kill" is a re-definition of some name



## To simplify THE PROBLEM

- Need unique name for each definition
- Makes name  $\Leftrightarrow$  VN
- Use the SSA name space

# SSA Name Space

(locally)

Example (from earlier):

## Original Code

$$a_0 \leftarrow x_0 + y_0$$

$$* b_0 \leftarrow x_0 + y_0$$

$$a_1 \leftarrow 17$$

$$* c_0 \leftarrow x_0 + y_0$$

## With VNs

$$a_0^3 \leftarrow x_0^1 + y_0^2$$

$$* b_0^3 \leftarrow x_0^1 + y_0^2$$

$$a_1^4 \leftarrow 17$$

$$* c_0^3 \leftarrow x_0^1 + y_0^2$$

## Rewritten

$$a_0^3 \leftarrow x_0^1 + y_0^2$$

$$* b_0^3 \leftarrow a_0^3$$

$$a_1^4 \leftarrow 17$$

$$* c_0^3 \leftarrow a_0^3$$

### Renaming:

- Give each value a unique name
- Makes it clear

### Notation:

- While complex, the meaning is clear

### Result:

- $a_0^3$  is available
- Rewriting just works

# SSA Name Space

(in general)

Two principles

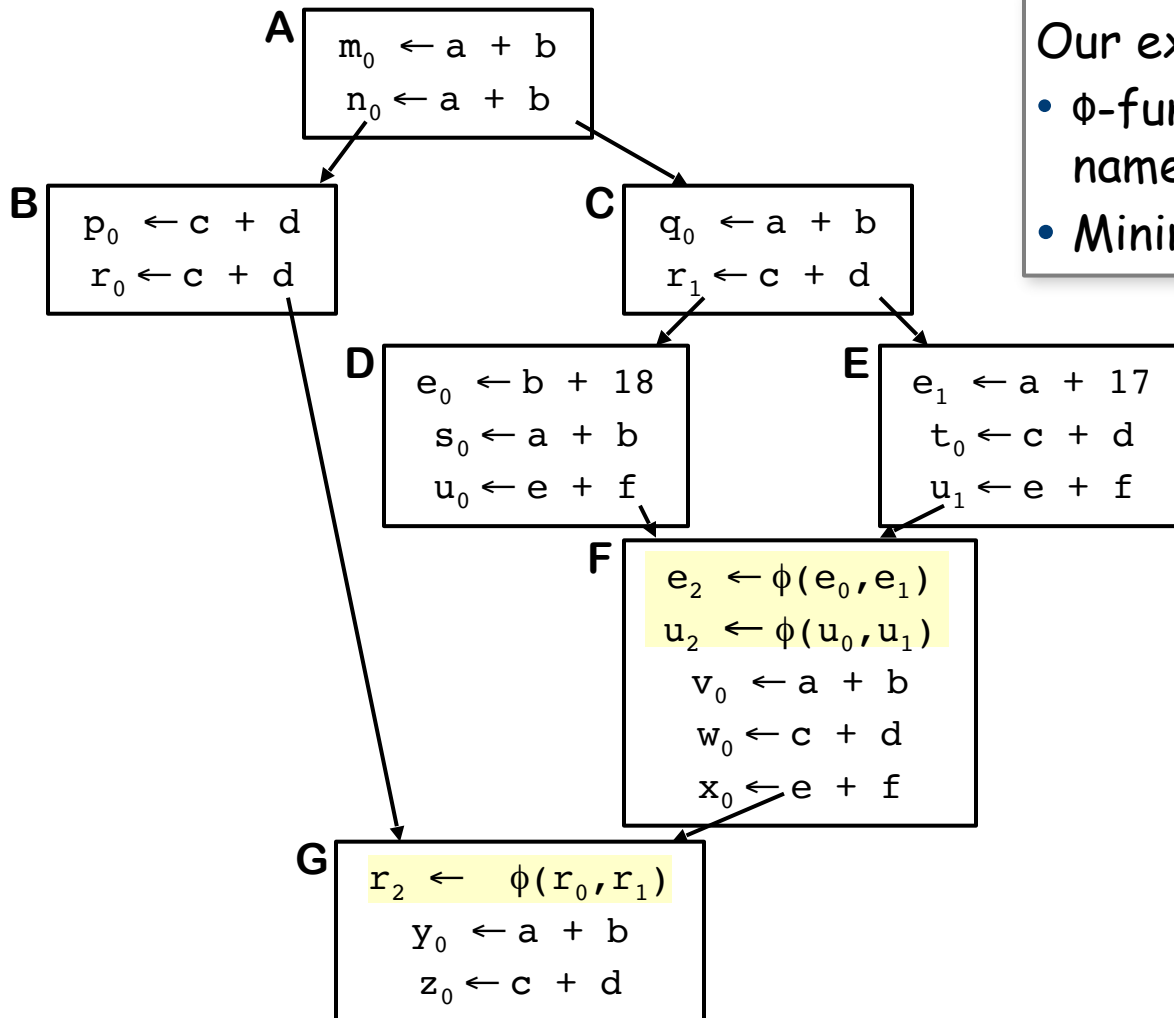
- Each name is defined by exactly one operation
- Each operand refers to exactly one definition

To reconcile these principles with real code

- Insert  $\phi$ -functions at merge points to reconcile name space
- Add subscripts to variable names for uniqueness



# Superlocal Value Numbering



Our example in SSA form

- $\phi$ -functions at join points for names that need them
- Minimal set of  $\phi$ -functions

# Superlocal Value Numbering

## The SVN Algorithm

WorkList  $\leftarrow$  { entry block }

Blocks to process

Empty  $\leftarrow$  new table

Table for base case

while (WorkList is not empty)

    remove a block b from WorkList

    SVN(b, Empty)

Assumes LVN has been parameterized  
around block and table

SVN( Block, Table)

    t  $\leftarrow$  new table for Block, with Table linked as surrounding scope

Use LVN for the work

    LVN( Block, t)

    for each successor s of Block

In the same EBB

        if s has just 1 predecessor

            then SVN( s, t )

Starts a new EBB

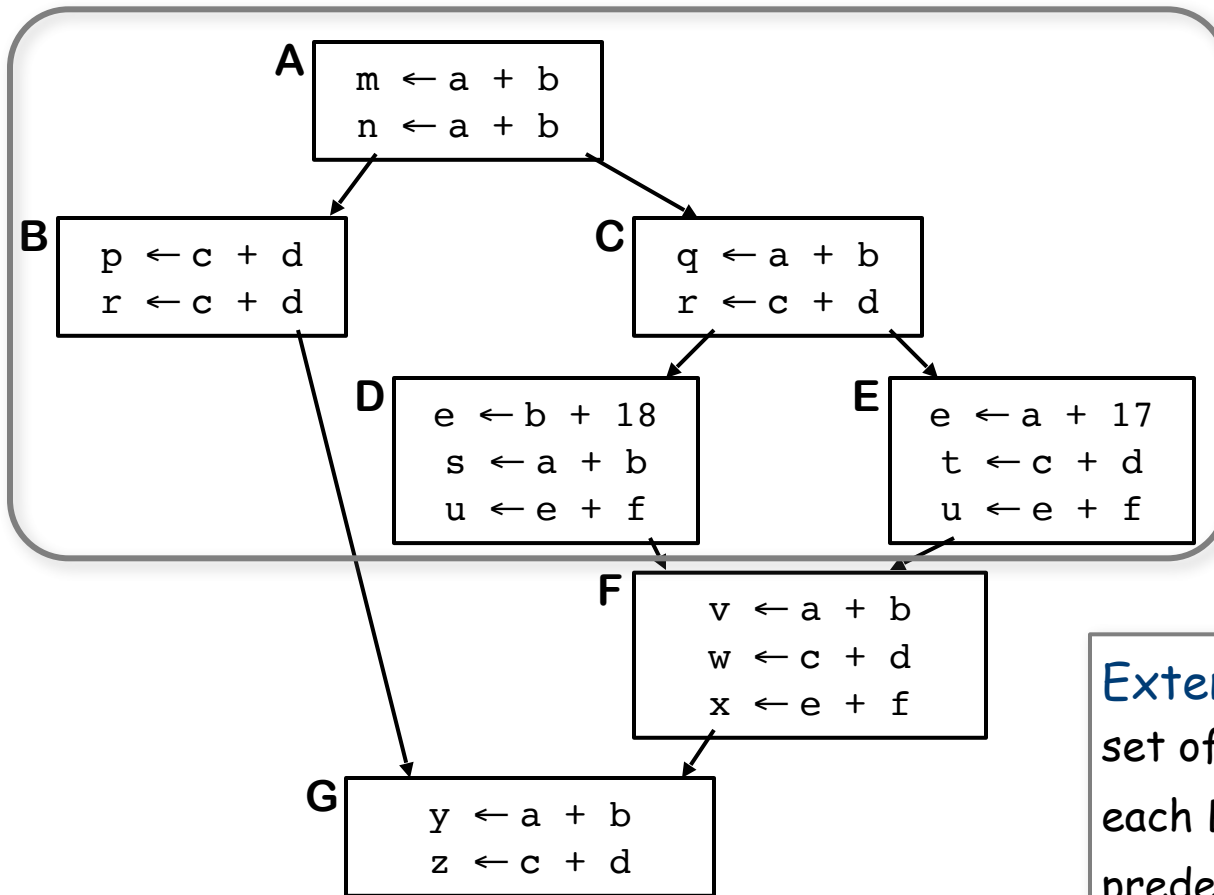
        else if s has not been processed

            then add s to WorkList

    deallocate t

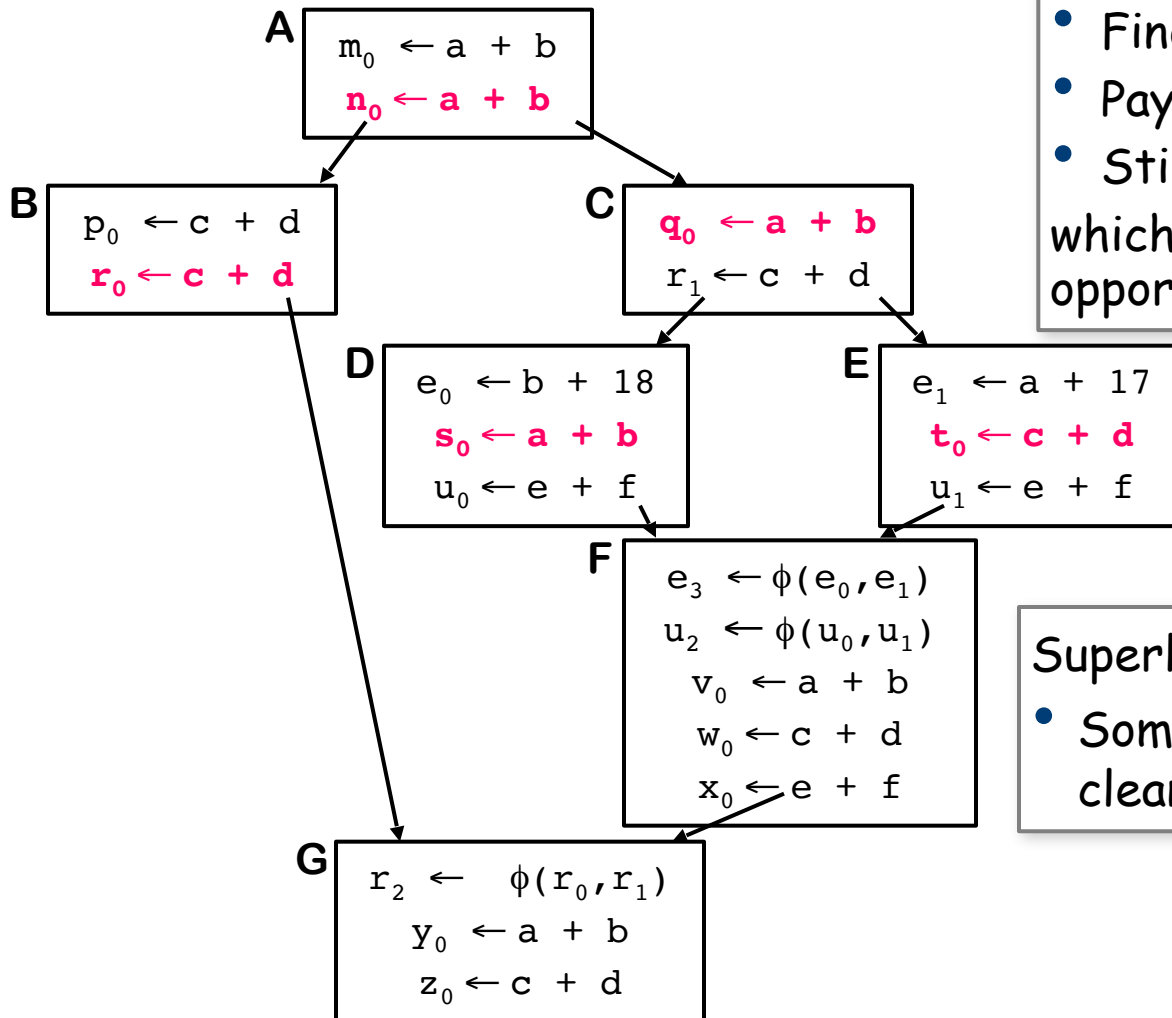
## A Regional Technique

# Superlocal Value Numbering



**Extended Basic Block:** maximal set of blocks  $B_1, B_2, \dots, B_n$  where each  $B_i$ , except  $B_1$ , has exactly one predecessor in the EBB itself.

# Superlocal Value Numbering



With all we saw SVN

- Find more **redundancy**
- Pay minimal extra cost
- Still does nothing for F & G which have some opportunities....

Superlocal techniques

- Some local methods extend cleanly to superlocal scopes

# Loop Unrolling

---

Applications spend a lot of time in loops

- We can reduce loop overhead by unrolling the loop

```
do i = 1 to 100 by 1
  a(i) ← b(i) * c(i)
end
```



Complete unrolling

```
a(1) ← b(1) * c(1)
a(2) ← b(2) * c(2)
a(3) ← b(3) * c(3)
...
a(100) ← b(100) * c(100)
```

- Eliminated additions, tests and branches: reduce the number of operations Can subject resulting code to strong local optimization!
- Only works with fixed loop bounds & few iterations
- The principle, however, is sound
- Unrolling is always safe, as long as we get the bounds right



# Loop Unrolling

---

Unrolling by smaller factors can achieve much of the benefit

Example: unroll by 4 (8, 16, 32? depends on # of registers)

```
do i = 1 to 100 by 1
  a(i) ← b(i) * c(i)
end
```



Unroll by 4

```
do i = 1 to 100 by 4
  a(i) ← b(i) * c(i)
  a(i+1) ← b(i+1) * c(i+1)
  a(i+2) ← b(i+2) * c(i+2)
  a(i+3) ← b(i+3) * c(i+3)
end
```

Achieves much of the savings with lower code growth

- Reduces tests & branches by 25%
- LVN will eliminate duplicate adds and redundant expressions
- Less overhead per useful operation

But, it relied on knowledge of the loop bounds...

# Loop Unrolling

---

## Unrolling with unknown bounds

Need to generate guard loops

```
do i = 1 to n by 1
  a(i) ← b(i) * c(i)
end
```



Unroll by 4

```
i ← 1
do while (i+3 < n )
  a(i)    ← b(i) * c(i)
  a(i+1) ← b(i+1) * c(i+1)
  a(i+2) ← b(i+2) * c(i+2)
  a(i+3) ← b(i+3) * c(i+3)
  i ← i + 4
end
```

Achieves most of the savings

- Reduces tests & branches by 25%
- LVN still works on loop body
- Guard loop takes some space

```
do while (i < n)
  a(i)    ← b(i) * c(i)
  i ← i + 1
end
```

Can generalize to arbitrary upper & lower bounds, unroll factors

# Loop Unrolling

$$i=1, \dots, 100 : a(i) = a(i) + b(i) + b(i-1)$$

## One other unrolling trick

Eliminate copies at the end of a loop

```
t1 ← b(0)
do i = 1 to 100 by 1
  t2 ← b(i)
  a(i) ← a(i) + t1 + t2
  t1 ← t2
end
```



Unroll and rename

```
t1 ← b(0)
do i = 1 to 100 by 2
  t2 ← b(i)
  a(i) ← a(i) + t1 + t2
  t1 ← b(i+1)
  a(i+1) ← a(i+1) + t2 + t1
end
```

Unroll

- Eliminates the copies, which were a naming artifact
- Achieves some of the benefits of unrolling
  - Lower overhead, longer blocks for local optimization
- Situation occurs in more cases than you might suspect

## Sources of Degradation

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- It increases the size of the code
- The unrolled loop may have more demand for registers
- If the demand for registers forces additional register spills (store and reloads) then the resulting memory traffic may overwhelm the potential benefits of unrolling