

**XML**

# References

- Serge Abiteboul, Ioana Manolescu, Philippe Rigaux, et al., Web Data Management, Cambridge University Press, 2011, Chapter 1, <http://webdam.inria.fr/Jorge/>
- <http://www.w3.org/TR/xml11>: XML 1.1
- [www.w3.org/TR/xpath-datamodel](http://www.w3.org/TR/xpath-datamodel): XQuery/XPath data model (XDM)

# XML

- A simplified version of SGML
- Designed to substitute HTML
- Became the standard for data exchange and web services
- Some related W3C standards:
  - XPath/XQuery
  - XML Infoset and XDM
  - XSLT
  - DTD, XSD
  - RDF, OWL
  - Many, many others

# XML as first conceived

```
<doc><title>Sample databases included with Access</title>
<subtitle>Microsoft Access provides sample
databases.</subtitle>
<subtitle> <link ref= "./NT.mdb">Northwind Traders database
</link> </subtitle>
<body>
<para author= "JDM" font="times">The Northwind database
contains the sales data for a company called <emph>Northwind
Traders</emph>, which imports and exports specialty foods
from around the world. By viewing the <link ref=
"./NT.mdb">database objects</link>included in the Northwind
database.</para>
...</body></doc>
```

# XML for data exchange

```
<trader ID="T12">
  <name>Wilman Kala</name>
  <address><country>...</ country>...</ address>
  <orders>
    <order OID="O121">
      <date>1/3/2005</date>
      <item>...</item> <item>...</item>
    </order>
    <order OID="O122">...</order>
  </orders>
</trader>
<trader ID="T13">
  <name>Hanari Comes</name>
  <address><city>...</city>...</address>
  <orders>
    <order OID="T131">
      <date>3/3/2005</date>
      <item>...</item>
    </order>
  </orders>
</trader>
```

# XML syntax

- **'markup'** and characters
- `<link ref= "./Ag.mdb">Agor&agrave; database  
</link>`
- `<!-- comment <>&& -->`
- `<XMLExample>  
  <![CDATA[<greeting>Hi, world!</greeting>]]>  
</XMLExample >`

# Elements and attributes

- `<link>Agor&agrave; db</link>`
- `<link>`  
    `Agor&agrave; <a>db</a>`  
`</link>`
- `<link ref= ". /Ag.mdb">Agor&agrave;`  
`db</link>`
- `<a/> == <a></a>`

# Entity references

- Entity references:
  - <!DOCTYPE videocollection [  
    <!ENTITY R "Romance">  
    ...  
    <!ENTITY ACT "Action">  
]>
  - <genre>&R;</genre>
- Predefined ERs:
  - &lt; &gt; &amp; &apos; &quot;



# The prologue

- `<?xml version="1.1"?>`  
`<!DOCTYPE greeting SYSTEM "hello.dtd">`  
...
- `<?xml version="1.1" encoding="UTF-8" ?>`  
`<!DOCTYPE greeting`  
    `[ <!ELEMENT greeting (#PCDATA)> ]`  
`>`  
...
- Default version: 1.0

# Good formation

- Well formed:
  - Syntax entities are well formed (prologue, elements, attributes, processing instructions, comments)
  - Elements are ‘well nested’
  - No element has two attributes with the same name
  - Every ‘entity reference’ that is used has also been defined

# Validity: DTD

- External DTD:  
<!DOCTYPE greeting **SYSTEM "hello.dtd"**>  
<greeting>Hello, world!</greeting>
- Internal DTD:  
<!DOCTYPE greeting  
    [ **<!ELEMENT greeting (#PCDATA)>**  
    ]  
>  
<greeting>Hello, world!</greeting>

# DTD: element declaration

- `<!ELEMENT spec (front, body, back?)>`  
`<!ELEMENT div1 (head, (p | list | note)*, div2*)>`  
`<!ELEMENT note (#PCDATA)>`

Means:

- `spec ::= <spec> front body back? </spec>`
- `div1 ::= <div1> head (p | list | note)* div2* </div1>`
- `note ::= <note> string </note>`
- PCDATA: parsed character data
- ‘spec’, ‘front’, etc.: are called ‘types’; ‘(front, body, back?)’ is a content model

# Attribute declaration

- `<!ATTLIST list`  
    type (bullets | ordered | glossary) "ordered">  
`<!ATTLIST termdef`  
    id ID #REQUIRED  
    name CDATA #IMPLIED>  
`<!ATTLIST form`  
    method CDATA #FIXED "POST">
- **T #Required**: has type T, must be present;  
**T #Implied**: optional;  
**T #Fixed x**: must have "x" as its value;  
**T x**: "x" default, assigned at validation time

# DTDs: main limitations

- No base types apart from PCDATA
- Cannot say that <address> inside <letter> is different from <address> inside <email>
- Types cannot be defined by restriction or by extension of other types
- XSD (XML Schema Definition) adds these features, and many others

# Semantics of XML

- Is `<a>11</a>` the same as `<a> 11 </a>`?
- Is `<weight>10</weight>` the same as `<weight>010</weight>`?
- `<a>11 12</a>` and  
`<a>`  
    11    12  
`</a>`?
- Order of attributes? Comments?

# XML Information Set

- A document has an *Infoset* if it is well formed, and namespaces are correctly used
- *Infoset*: a tree of *information items*:
- 11 kinds of *infoitems*: **Document**, **element**, **attribute**, **PI**, unexpanded ER, **character information**, **comment**, DTD, unparsed entity, notation, **namespace**
- Every *infoitem* has some properties:
  - Element infoitem: namespace name, local name, prefix, children, attributes, namespace attributes, in-scope namespaces, base URI, parent



# Post Schema-Validation Infoset

- Validation according XML Schema Definition (XSD) transforms an Infoset in a PSVI:
  - Every infoitem gets an XSD type
  - Missing attributes that have a default value, are initialized with their default value

# XDM (XQuery/XPath Data Model)

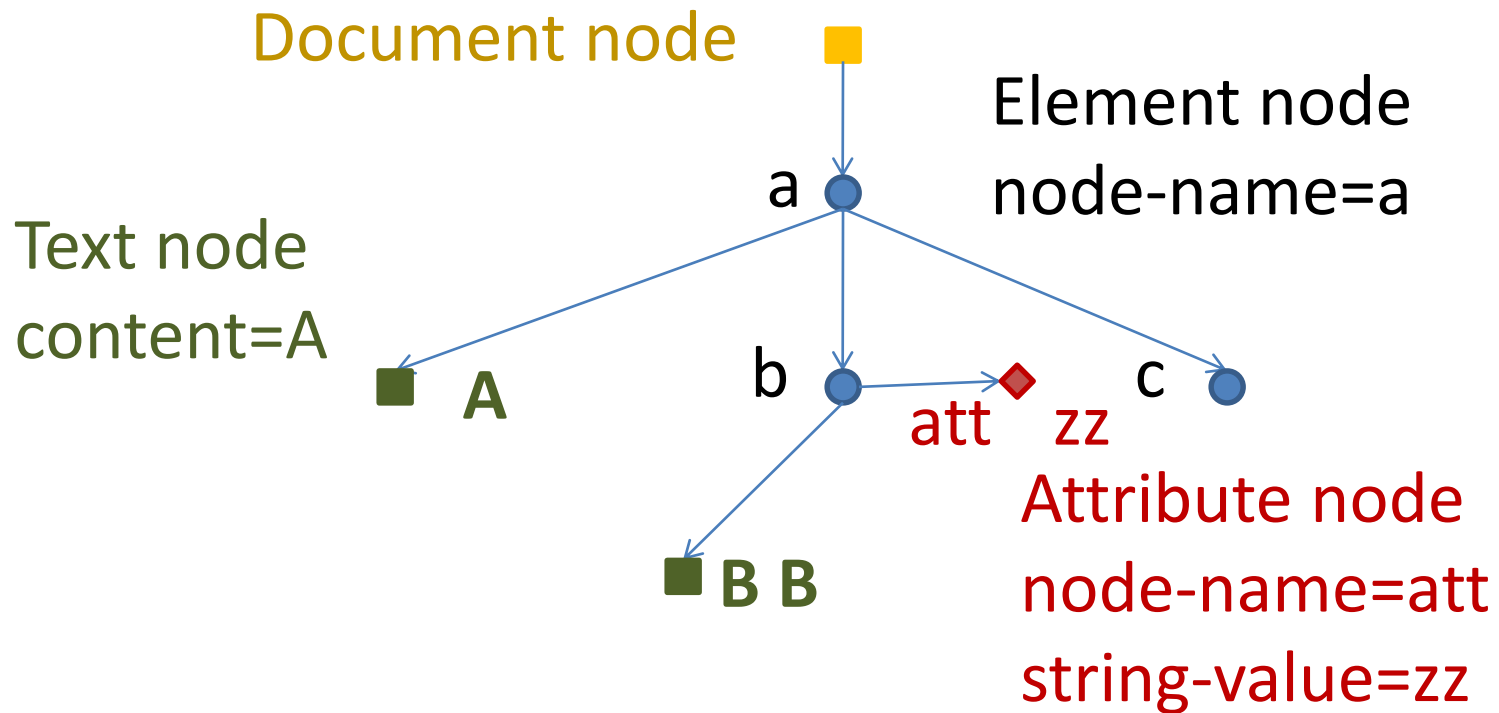
- XQuery and XPath manipulate XDM *values*; every value is a sequence of items: atoms or nodes
- XDM is based on PSVI
- A node is essentially a PSVI infoitem, but consecutive CharInfo's are merged into a unique Text node
- Node identity: a document is a forest-shaped graph  $\langle N, E \rangle$ , and a node is an element of  $N$ , with its own identity
  - $\langle b \rangle t \langle /b \rangle \neq \langle b \rangle t \langle /b \rangle$
- Every node has a value and a type

# Other details

- 7 types of nodes: document, element, attribute, namespace, PI, comment, text
- Attributes: are NOT *children* of their *parent*
- ID – IDREFs: pointers inside a document
- Namespaces to avoid clashes when documents are merged
- Type annotations, validation

# A document and its tree (XDM)

- `<a> A`  
    `<b att="zz">B B</b>`  
    `<c/>`  
  `</a>`



# Namespaces

- Ref: <http://www.w3.org/TR/xml-names/>
- Amazon defines book with a given structure, B&N define book with a different structure; how to merge them in a unique document?
- Namespaces: every name is a pair URI:local-name
- Every organization uses its own URIs, such as:
  - <http://www.w3.org/1999/xhtml>
- A URI is not necessarily a meaningful URL!

# The default URI

- Defining a default URI:
- `<?xml version="1.0"?>`
  - `<!-- elements are in the HTML namespace, in this case by default -->`
  - `<html xmlns='http://www.w3.org/1999/xhtml'>`
    - `<head><title>Frobnostication</title></head>`
    - `<body><p>Moved to <a href='http://frob.example.com'>here</a>.`
    - `</body>`
  - `</html>`

# Prefixes instead of URIs

- <!-- unprefixed element types are from "books" -->  
<book xmlns="urn:loc.gov:books"  
 xmlns:isbn="urn:ISBN:0-395-3631-6">  
 <title>Cheaper by the Dozen</title>  
 <isbn:number>15684913</isbn:number>  
</book>
- title abbreviates (urn:loc.gov:books, title)
- book abbreviates (urn:loc.gov:books, book)
- isbn:number abbreviates  
(urn:ISBN:0-395-3631-6, number)

# Expanded QNames

- QName: book, isbn:number
- An expanded QName is a URI – local name pair, obtained from the QName as follows
  - If there is no prefix, we use the default URI (if defined)
  - If there is a prefix, it is substituted by the associated URI
- Two QNames are equal if, and only if, their expansion is the same



# QNames in XDM

- Lexical space (used for input/output): prefix (optional) – local name
- Semantic space (equality and other operations): URI (optional) – local name
- In XDM an expanded QName is a triple: prefix – URI – local name (XDM keeps track of the original prefix)