## Languages for Informatics 11 – Multi-Threading

Department of Computer Science University of Pisa Largo B. Pontecorvo 3 56127 Pisa





- Linux programming environment (2h)
- Introduction to C programming (12h)
- Basic system programming in Linux (10h)
  - Signals and Error Handling
  - Low-Level System Calls in C
  - Multi-Tasking in C
  - Multi-Threading in C
  - Machine-To-Machine Communication in C

## Overview

## Shared Memory

- PThread Management
  - Creating and Terminating Threads
  - Passing Arguments to Threads
  - Joining and Detaching Threads
- Mutex Synchronization
  - Creating and Destroying Mutexes
  - Locking and Unlocking Mutexes
  - 4 Semaphore Synchronization
  - Synchronization by Condition Variables

### Shared Memory

- 2 PThread Management
  - Creating and Terminating Threads
  - Passing Arguments to Threads
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- 5 Synchronization by Condition Variables

#### Shared Memory PThread Management

Mutex Synchronization Semaphore Synchronization

#### **Processes and Threads**

Suppose

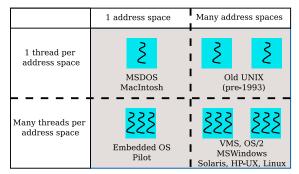
- sewing needles are processors
- and thread in a programs as thread fiber.
- If you had two needles but only one thread, one needle is idle (waste of time)
- if you split the thread into two, one needle can continue sewing even if the other is busy with one button (blocking I/O)

#### Processes and Threads (cont'd)

- A computer program becomes a **process** when it is loaded from some store into the computer's memory and begins execution.
  - A process can be executed by a processor or a set of processors.
- A **thread** is a sequence of instructions within a program that can be **executed independently** of other code.
  - threads contain only necessary information, such as a stack, a copy of the registers, program counter and thread specific data to allow them to be scheduled individually.
  - Other data, like address space, is shared within the process among all threads.

**Real Operating Systems** 

- One or many address spaces
- One or many threads per address space



 Multiple threads may run under multiple processes and communicate within the process.



#### An illustrative example

• Suppose we want to multiply a *M* × *N*-dim. matrix with a *N*-dim. vector,

$$[\boldsymbol{x}]_m = \sum_{n=1}^N \ [\boldsymbol{A}]_{m,n} [\boldsymbol{b}]_n$$



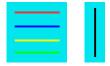
For, M = 40 and N = 2e6 on Intel Celeron J4105 with 4 threads/core: Execution time: 0.660 s



An illustrative example (cont'd)

Suppose we want to multiply a *M* × *N*-dim. matrix with a *N*-dim. vector,

$$[\boldsymbol{x}]_m = \sum_{n=1}^N [\boldsymbol{A}]_{m,n} [\boldsymbol{b}]_n$$



For, M = 40 and N = 2e6 on Intel Celeron J4105 with 4 threads/core: Execution time: 0.183 s

## **POSIX Threads**

- Before the POSIX standard, each computer vendor would implement its own thread library and the resulting programs were not portable across different computer systems.
- POSIX Threads (PThreads) are a standard for Unix-like operating systems.
- A library that can be linked with C programs.
- Specifies an application programming interface (API) for multi-threaded programming

## The PThread API

- The original Pthreads API was defined in the ANSI/IEEE POSIX 1003.1 - 1995 standard. The POSIX standard has continued to evolve and undergo revisions, including the Pthreads specification.
- Subroutines comprising the Pthreads API:
  - Thread management: routines that create, detach, join threads. They also include functions to set/query thread attributes.
  - Mutexes: routines for synchronization, i.e. "mutual exclusion", to create, destroy, lock and unlock mutexes.
  - Condition variables: routines for Communications between threads that share a mutex.

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

Shared Memory

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## **Creating and Terminating Threads**

#### Routines

pthread\_create (&thread, &attr, start\_routine, arg)
pthread\_exit (status)
pthread\_cancel (thread)
pthread\_attr\_init (attr)
pthread\_attr\_destroy (attr)

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## **Creating Threads**

- Initially, your main() program comprises a single, default thread.
  - More threads can be created by the programmer
- **pthread\_create()** creates a new thread and makes it executable
  - can be called any number of times from anywhere within your code.
  - Once created, threads are peers, and may create other threads.
  - The *maximum number* of threads that may be created by a process is implementation dependent.

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

# Creating Threads

#### pthread\_create() arguments

- thread: A unique identifier for the new thread returned by the subroutine.
- attr: An opaque attribute object to specify a thread attributes object, or NULL for the default values.
- start\_routine: the C function that the thread will execute once it is created.
- arg: A single argument that may be passed to start\_routine, passed by reference as a pointer cast of type void or NULL

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

# Creating Threads

- Set attributes for a newly created thread through special bit-variable of the type pthread\_attr\_t
- Define variable

pthread\_attr\_t attr;

See also

pthread\_attr\_init(&attr);

Default values available at

https://man7.org/linux/man-pages, Section 3.

Creating Threads Attributes - Default Values Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

pthread_attr	Default POSIX	Comment
getscope	PTHREAD_SCOPE_SYSTEM	Thread will compete for resources with all other threads in all processes.
_getdetachstate	PTHREAD_CREATE_JOINABLE	Thread is joinable by other threads.
_getstackaddr	NULL (turned-off)	Stack used by the thread is allocated
		by the OS.
_getstacksize	PTHREAD_STACK_MIN	Sets e.g. 8 MB (8192 kB) stack size
		for a new thread on Linux 64-bit.
_getschedparam	0	Max. priority of the thread.
_getschedpolicy	SCHED_OTHER	The scheduling policy is given by OS.
_getinheritsched	PTHREAD_INHERIT_SCHED	Scheduling policy and parameters are
-		inherited from the creating thread.
_getguardsize	PAGESIZE (4096 B)	Size of guard area for a thread's cre- ated stack equal system page size.

#### There is **no need** to change MOST of the default values.

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## **Terminating Threads**

- void **pthread\_exit** () causes the current thread to exit and free any thread-specific resources it is taking.
- Thread can terminate in several ways :
  - The thread returns normally from its starting routine. Its work is done.
  - The thread makes a call to the **pthread\_exit** subroutine whether its work is done or not.
  - The thread is canceled by another thread via the pthread\_cancel routine.
  - If main() finishes first, without calling pthread\_exit explicitly itself

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## Pthread Creation and Termination

```
#include <pthread.h>
#include <stdio.h>
#include <stdlib.h>
#define NUM THREADS 5
void *PrintHello(void *threadid) {
   long tid:
   tid = (long)threadid;
   printf("Hello World! It's me, thread #%ld!\ n", tid);
   pthread_exit(NULL);
int main(int argc, char *argv[]) {
   pthread_t threads[NUM_THREADS];
   int rc;
   long t;
   for(t=0;t<NUM_THREADS;t++) {</pre>
     printf("In main: creating thread %ld\ n", t);
     rc = pthread create(&threads[t], NULL, PrintHello, (void *)t);
     if (rc) {
       printf("ERROR; return code from pthread_create() is %d\ n", rc);
      exit(-1);
   pthread_exit(NULL); /* finally, just exit w/o return value */
```

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

#### Pthread Creation and Termination Example (cont'd)

#### Compile and Run

bash~\$ gcc helloworld5.c -Wall -lpthread bash~\$ ./a.out

Trace 1	Trace 2
<pre>In main: creating thread 0 In main: creating thread 1 In main: creating thread 2 In main: creating thread 3 Hello World! It's me, thread #0! In main: creating thread 4 Hello World! It's me, thread #4! Hello World! It's me, thread #1! Hello World! It's me, thread #2! Hello World! It's me, thread #3!</pre>	In main: creating thread 0 In main: creating thread 1 Hello World! It's me, thread #0! In main: creating thread 2 In main: creating thread 3 Hello World! It's me, thread #1! In main: creating thread 4 Hello World! It's me, thread #3! Hello World! It's me, thread #2! Hello World! It's me, thread #4!

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

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## Passing Arguments to Threads

- The pthread\_create() routine permits the programmer to pass one argument to the thread start routine.
- For cases where **multiple arguments** must be passed, this limitation is easily overcome by creating a **structure** containing the arguments, and then passing a pointer to that structure in the **pthread\_create()** routine.
- All arguments must be passed by reference and cast to (void \*).

#### Note

Make sure that all passed data is thread safe, i.e. can not be changed by other threads.

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

# Passing Arguments to Threads

```
#include <pthread.h>
#include <stdio.h>
#include <stdlib.h>
#define NUM THREADS 5
struct thread_data {
   int thread id:
   char * message;
 1;
void *PrintHello(void *threadarg) {
   int tid;
   char *hello msg;
   struct thread_data *my_data;
   my data = (struct thread data *) threadarg;
   tid = my data->thread id;
   hello_msg = my_data->message;
   printf("Thread %d: %s \n", tid, hello msg);
   pthread exit (NULL);
```

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

# Passing Arguments to Threads

```
int main(int argc, char *argv[]) {
  char *messages[NUM THREADS];
  struct thread data thread data array[NUM THREADS]; //array of struct
 messages[0] = "English: Hello World!";
  . . .
 pthread t threads [NUM THREADS];
 int rc; long t;
  for(t=0;t<NUM THREADS;t++) {</pre>
    printf("In main: creating thread %ld\n", t);
    thread_data_array[t].thread_id = t;
    thread data array[t].message = messages[t];
    rc = pthread_create(&threads[t], NULL, PrintHello, (void *) &thread_data_array[t]);
    if (rc) {
      printf("ERROR; return code from pthread create() is %d\n", rc);
      exit(-1);
  pthread exit (NULL);
```

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## Shared Memory

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Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## Joining and Detaching Threads

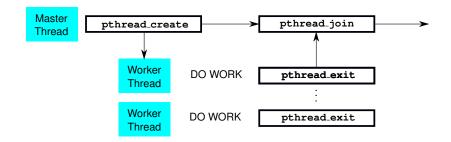
#### Routines

#include <pthread.h>

- int pthread\_join(pthread\_t thread, void \*\*value\_ptr);
- int pthread\_detach(pthread\_t thread);
- int pthread\_attr\_getdetachstate(const pthread\_attr\_t \*attr, int \*detachstate);
  - Joining is one way to accomplish synchronization between threads.
  - Two other synchronization methods, *mutexes and condition variables*, come later.

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## Joining Threads



- The **pthread**\_join() subroutine blocks the calling thread until the specified threadID thread terminates.
- When the target is terminated by pthread\_exit ( void \*rval\_ptr), the return value in the argument is accessible by pthread\_join().

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## Joining Threads (cont'd)

- POSIX standard specifies that threads should be created as joinable.
- Consider **explicitly creating it as joinable**. This provides portability as not all implementations may create threads as joinable by default.

#### Procedure:

- Declare a pthread attribute variable of the pthread\_attr\_t data type
- Initialize the attribute variable with pthread\_attr\_init()
- Set the attribute detached status with
  - pthread\_attr\_setdetachstate()
- When done, free library resources used by the attribute with pthread\_attr\_destroy()

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

#### Example Matrix-Vector Multiplication revisited

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>
#include <sys/time.h>
/* Global variables */
int
       MAX THREAD;
int M, N;
double** A;
double* b;
double* x;
void *matvec_mlt(void* junk) { //assign rows to threads
  long my junk = (long) junk;
  int i, j;
  int local_m = M/MAX_THREAD;
  int my first row = my junk*local m;
  int my last row = my first row + local m - 1;
   for (i = my first row; i <= my last row; i++) {
      x[i] = 0.0;
      for (j = 0; j < N; j++)
          x[i] += A[i][j]*b[j];
  pthread exit (NULL) :
```



Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

#### Example Matrix-Vector Multiplication revisited

```
int main(int argc, char* argv[]) {
  if(argc != 4)
       fprintf(stderr, "Usage: %s <rows> <cols> <threads>\n", argv[0]); return 1;
     }
 M = atoi(argv[1]); N = atoi(argv[2]);
 int i, j, rc; long t; //thread index
 void *status; // return status obtained by thread_join
 pthread t* thread handles:
 pthread attr t attr;
 MAX THREAD = atoi(argv[3]); //variable number of threads
  thread_handles = malloc(MAX_THREAD*sizeof(pthread_t));
  pthread_attr_init(&attr); //reset to default.
  pthread attr setdetachstate(&attr, PTHREAD CREATE JOINABLE);
  ... /* allocate memory dynamically to A.b.x + assign values */
  for (t = 0; t < MAX_THREAD; t++) {
    rc = pthread create(&thread handles[t], &attr, matvec mlt, (void *) t);
    if (rc) perror("thread create");
 pthread attr destroy(&attr); /* Free attribute and wait for the other threads */
  for (t = 0; t < MAX THREAD; t++)
    rc = pthread_join(thread_handles[t], &status);
    if (rc) perror("thread join");
    printf("Main: completed join with thread %ld having a status of %ld\n",t, (long)status);
  }
  ... /* print result */
  free(A); free(b); free(x);
  free(thread_handles); pthread_exit(NULL); return 0;
```

```
ъ
```

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

#### Example Matrix-Vector Multiplication revisited

#### shell

```
bash-$gcc mv.mlt.thread.c -Wall -lpthread
bash-$./a.out 2 2 2
Main: completed join with t 0 having a status of 0
Main: completed join with t 1 having a status of 0
A[0][0] = 33.00
A[0][1] = 36.00
A[1][0] = 27.00
A[1][1] = 15.00
b[0] = 43.00
b[1] = 35.00
x[0] = 2679.00
x[1] = 1686.00
```

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

#### Example Threads with return value

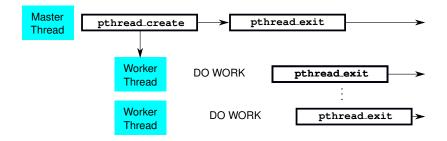
```
int ret[MAX_NUMBER_THREAD];
```

```
void *matvec_mlt(void* junk) {
   . . .
   ret[mv junk] = <some value to be returned> ;
   pthread exit(&ret[my junk]);
   return NULL;
}
int main(int argc, char* argv[]) {
  int *ptr[MAX_THREAD];
  . . .
  for (t = 0; t < MAX THREAD; t++)
    pthread join(thread handles[t], (void**)&ptr[thread]);
  for (t = 0; t < MAX THREAD; t++)
    printf("\n return value from thread = %d\n", *ptr[thread]);
```

Creating and Terminating Threads Passing Arguments to Threads Joining and Detaching Threads

## **Detaching Threads**

• The **pthread\_detach()** routine can be used to explicitly detach a thread **even though it was created as joinable.** 



```
PThread Management
                                       Joining and Detaching Threads
Example
Demo
   #include <pthread.h>
   #include <stdio.h>
   #include <unistd.h> //sleep
   void *func(void *data) {
       while (1) {
            printf("Speaking from the detached thread...n");
            sleep(5); \}
       pthread_exit(NULL); }
   int main() {
       pthread_t handle;
        if (!pthread_create(&handle, NULL, func, NULL)) {
            printf("Thread create successfully !!!\n");
            if ( ! pthread_detach(handle) )
                printf("Thread detached successfully !!!\n");
        }
```

```
printf("Main thread dying...\n");
```

```
pthread_exit(NULL);
return 0; }
```

Creating and Destroying Mutexes Locking and Unlocking Mutexes

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Shared Memory PThread Management Mutex Synchronization Semaphore Synchronization

Creating and Destroying Mutexes

## An illustrative example

```
#include <stdio.h>
#include <pthread.h>
#define THREAD MAX 2
volatile int counter = 0; //read from memory every time
void *testing(void *param) {
   int i;
   for (i = 0; i < 5; i++) {
     counter++:
     printf("thread %d counter = %d\n", (int)param, counter);
   }
   pthread_exit(NULL):
}
int main() {
  int arr[] = \{1,2\};
  pthread_t thread [THREAD_MAX];
  for (int t=0:t<THREAD_MAX:t++)</pre>
    pthread_create(&thread[t], 0, testing, (void*) arr[t]);
  for (int t=0;t<THREAD_MAX;t++)
    pthread_join(thread[t], 0);
  pthread_exit(NULL);
  return 0;
```

Synchronization by Condition Variables

Creating and Destroying Mutexes Locking and Unlocking Mutexes

## An illustrative example

#### Compile and run

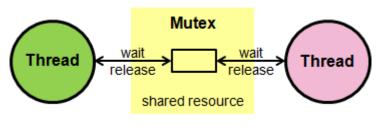
thread	1	counter	=	1
thread	1	counter	=	3
thread	1	counter	=	4
thread	2	counter	=	2
thread	2	counter	=	6
thread	2	counter	=	7
thread	2	counter	=	8
thread	2	counter	=	9
thread	1	counter	=	5
thread	1	counter	=	10

#### What has occured ?

- Any of the two jobs adds +1 to the same counter variable in memory.
- The job order depends on the (random) scheduler.
- Synchronization between the jobs is missing.

Creating and Destroying Mutexes Locking and Unlocking Mutexes

## **Mutex**

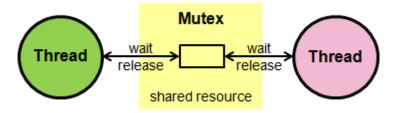


Source: keil.com

- Mutex is a variable being owned by one and only one thread.
- Principle: When one thread owns the mutex variable, any other thread is blocked until this thread unlocks the mutex variable.

Creating and Destroying Mutexes Locking and Unlocking Mutexes

## Mutex



Source: keil.com

#### Note

A **deadlock** occurs when one or more threads are blocked waiting for being unlocked that will never occur.

Creating and Destroying Mutexes Locking and Unlocking Mutexes

# **Mutex Variables**

### • A typical sequence in the use of a mutex is as follows:

- Create and initialize a mutex variable
- Several threads attempt to lock the mutex
- Only one succeeds and that thread owns the mutex
- The owner thread performs some set of actions
- The owner unlocks the mutex
- Another thread acquires the mutex and repeats the process
- Finally the mutex is destroyed

#### Note

- Make sure every thread that needs to use a mutex does so!
- For example, if 4 threads are updating the same data, but only one uses a mutex, the data can still be corrupted.

Creating and Destroying Mutexes Locking and Unlocking Mutexes

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Synchronization by Condition Variables

Creating and Destroying Mutexes Locking and Unlocking Mutexes

# Creating and Destroying Mutexes

#### Routines

#include <pthread.h>

- int pthread\_mutex\_init(pthread\_mutex\_t \*restrict mutex, const pthread\_mutexattr\_t \*restrict attr);
- int pthread\_mutex\_destroy(pthread\_mutex\_t \*mutex);
- int pthread\_mutexattr\_init(pthread\_mutexattr\_t \*attr);
- int pthread\_mutexattr\_destroy(pthread\_mutexattr\_t \*attr);
  - Mutex variables must be declared with type pthread\_mutex\_t, and initialized:
    - Statically, when it is declared. For example: pthread\_mutex\_t mymutex = PTHREAD\_MUTEX\_INITIALIZER;
    - **Dynamically**, with the pthread\_mutex\_init() routine. This method permits setting mutex object attributes, attr.
  - The mutex is initially unlocked.

Creating and Destroying Mutexes Locking and Unlocking Mutexes

# Creating and Destroying Mutexes (cont'd)

- The attr object establishes properties for the mutex object (of type pthread\_mutexattr\_t)
- pthread\_mutexattr\_settype:
  - PTHREAD\_MUTEX\_NORMAL: This type of mutex does not detect deadlock. A thread attempting to relock this mutex without first unlocking it will deadlock.
  - PTHREAD\_MUTEX\_ERRORCHECK: A thread attempting to relock this mutex without first unlocking it will return with an error.
  - PTHREAD\_MUTEX\_RECURSIVE: Multiple locks of this mutex require the same number of unlocks to release the mutex before another thread can acquire the mutex, to prevent deadlock scenario.

Shared Memory PThread Management Mutex Synchronization emaphore Synchronization

Synchronization by Condition Variables

Creating and Destroying Mutexes Locking and Unlocking Mutexes

Creating and Destroying Mutexes (cont'd)

#### Routines

#include <pthread.h>

- int pthread\_mutex\_init(pthread\_mutex\_t \*restrict mutex, const pthread\_mutexattr\_t \*restrict attr);
- int pthread\_mutex\_destroy(pthread\_mutex\_t \*mutex);
- int pthread\_mutexattr\_init(pthread\_mutexattr\_t \*attr);
- int pthread\_mutexattr\_destroy(pthread\_mutexattr\_t \*attr);
  - The pthread\_mutexattr\_init() and pthread\_mutexattr\_destroy() routines are used to create and destroy mutex attribute objects respectively.
  - pthread\_mutex\_destroy() should be used to free a mutex object which is no longer needed.

Creating and Destroying Mutexes Locking and Unlocking Mutexes

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Synchronization by Condition Variables

Creating and Destroying Mutexes Locking and Unlocking Mutexes

# Locking and Unlocking Mutex

### Routines

#include <pthread.h>
int pthread\_mutex\_lock(pthread\_mutex\_t \*mutex);
int pthread\_mutex\_trylock(pthread\_mutex\_t \*mutex);
int pthread\_mutex\_unlock(pthread\_mutex\_t \*mutex);

### Usage:

- pthread\_mutex\_lock() used by a thread to acquire a lock on the specified mutex variable according to above policy by attr.
- pthread\_mutex\_trylock() will attempt to lock a mutex. If mutex already locked, routine returns EBUSY errno code.

Semaphore Synchronization

Synchronization by Condition Variables

Creating and Destroying Mutexes Locking and Unlocking Mutexes

# Locking and Unlocking Mutex

#### Routines

#include <pthread.h>

- int pthread\_mutex\_lock(pthread\_mutex\_t \*mutex);
- int pthread\_mutex\_trylock(pthread\_mutex\_t \*mutex);
- int pthread\_mutex\_unlock(pthread\_mutex\_t \*mutex);

### Usage:

- pthread\_mutex\_unlock () will unlock a mutex if called by the owning thread. Returns a non-zero value
  - when the mutex was already unlocked
  - when the mutex is owned by another thread

Semaphore Synchronization

nchronization by Condition Variables

Creating and Destroying Mutexes Locking and Unlocking Mutexes

## The illustrative example revisted

```
#include <stdio h>
#include <pthread.h>
volatile int counter = 0; //read from memory every time
#define THREAD MAX 2
pthread mutex t myMutex;
void *testing(void *param) {
   for(int i = 0; i < 5; i++) {
                                       // any thread arriving here will be locked
     pthread mutex lock(&myMutex);
     counter++;
                                       //increases counter
     printf("thread %lu counter = %d\n", (intptr_t) param, counter);
     pthread mutex unlock(&myMutex); //thread will be unlocked
   }
   pthread_exit(NULL);
   return 0;
int main() {
 int arr[] = 1,2;
 pthread t thread [THREAD MAX];
  pthread_mutex_init(&myMutex,0);
  for (int t=0;t<THREAD MAX;t++)</pre>
    pthread create(&thread[t], 0, testing, (void*) (intptr t) arr[t]);
  for (int t=0;t<THREAD MAX;t++)</pre>
    pthread_join(thread[t], 0);
 pthread exit (NULL);
 pthread_mutex_destroy(&myMutex);
  return 0;
```

Synchronization by Condition Variables

Creating and Destroying Mutexes Locking and Unlocking Mutexes

## The illustrative example revisited

#### Compile and run

```
bash~$gcc mutex.c -o mutex -Wall -lpthread
bash~$./mutex
thread 1 counter = 1
thread 1 counter = 2
thread 1 counter = 3
thread 2 counter = 4
thread 2 counter = 5
thread 2 counter = 6
thread 2 counter = 7
thread 2 counter = 8
thread 1 counter = 9
thread 1 counter = 10
```

#### Result

- The Mutex lock has synchronized the threads.
- The counter is correctly updated among threads.

## Shared Memory

- PThread Management
  - Creating and Terminating Threads
  - Passing Arguments to Threads
  - Joining and Detaching Threads

## 3 Mutex Synchronization

- Creating and Destroying Mutexes
- Locking and Unlocking Mutexes

# Semaphore Synchronization

Synchronization by Condition Variables

# Semaphore Synchronization

- POSIX semaphores allow processes and threads to synchronize their actions.
  - Semaphore is a signaling mechanism
  - Mutex is a locking mechanism
- A semaphore is a **positive integer** variable *s*.
- Starting from s = N (number of free resources),
   Dijkstra's<sup>1</sup>wait P(s) and signal V(s) operations are:
  - wait: Decrements the value of semaphore variable by 1. The process is blocked and may continue execution, when the new value of the semaphore variable is negative and positive, respectively.
  - **signal**: Increments the value of semaphore variable by 1. If the new value is zero, waiting process is awakened.

<sup>&</sup>lt;sup>1</sup>The semaphore concept was invented by Dutch computer scientist Edsger Dijkstra in 1962/63

# Semaphore Synchronization (cont'd)

#### Note

- OS guarantees that wait() and signal() are atomic operations.
  - Only one *P*(*s*) or *V*(*s*) operation at a time can modify *s*
  - When loop in *P*(*s*) terminates, only that *P*(*s*) can decrement *s*

# **Unnamed Semaphores**

Procedure:

- Declare the semaphore global (outside of any function): #include <semaphore.h> sem\_t s;
- Initialize the unnamed semaphore in the main function:

```
#include <semaphore.h>
int sem_init(sem_t *s, int pshared, unsigned int
    value);
```

s : address of the declared semaphore

pshared : should be 0 (not shared with threads in other processes)

- value : the desired initial value of the semaphore
  - On success, the return value is 0.

#### Unnamed Semaphores Example

Thread 1	Thread 2	Data
<pre>sem_wait(&amp;s);</pre>	—	0
<u> </u>	<pre>sem_wait(&amp;s);</pre>	0
count++;	/* blocked */	1
<pre>sem_post(&amp;s);</pre>	/* blocked */	1
/* blocked */	count++;	2
/* blocked */	<pre>sem_post(&amp;s);</pre>	2

- When you can't afford to wait for the lock, sem\_trywait() locks immediately if s > 0 and sets EAGAIN error otherwise.
- Destroy the unnamed semaphore in the main function:

```
#include <semaphore.h>
int sem_destroy(sem_t *s);
```

Shared Memory PThread Management Mutex Synchronization Semaphore Synchronization

### The illustrative example revisted

```
#include <stdio.h>
#include <pthread.h>
#include <semaphore.h>
#include <unistd.h> //sleep
volatile int counter = 0;
int THREAD MAX=2;
sem_t mySem;
void *sem_testing(void *param) {
 int i;
  for(i = 0; i < 5; i++) {
    sem_wait(&mySem); //any thread may lock the semaphore
                             //does its job
    counter++;
    printf("thread %lu counter = d^n", (intptr t) param, counter);
    sem_post(&mySem); //and unlock the semaphore again
 pthread exit(NULL);
  return NULL:
int main() {
 int arr[] = 1, 2;
  pthread_t thread[THREAD_MAX];
  sem init(&mySem,0,1);
  for (int t=0;t<THREAD MAX;t++)</pre>
  pthread_create(&thread[t], 0, sem_testing, (void*) (intptr_t) arr[t]);
  for (int t=0;t<THREAD MAX;t++)</pre>
    pthread join(thread[t], 0);
  sem_destroy(&mySem);
  pthread_exit(NULL);
  return 0.
```

## The illustrative example revisited

#### Compile and run

```
bash-$ gcc semaphore.c -o semaphore -Wall -lpthread
bash-$ ./semaphore
thread 1 counter = 1
thread 1 counter = 2
thread 1 counter = 3
thread 1 counter = 4
thread 2 counter = 5
thread 2 counter = 6
thread 2 counter = 7
thread 2 counter = 8
thread 2 counter = 9
thread 1 counter = 10
```

#### Result

POSIX Mutex allows the counter to be correctly updated among threads.

## Shared Memory

- PThread Management
  - Creating and Terminating Threads
  - Passing Arguments to Threads
  - Joining and Detaching Threads

### 3 Mutex Synchronization

- Creating and Destroying Mutexes
- Locking and Unlocking Mutexes
- 4 Semaphore Synchronization
- 5 Synchronization by Condition Variables



# **Condition Variables**

- Condition variables<sup>2</sup> are like Mutexes ways for threads synchronization.
  - Condition variables allow particular threads to be notified once a particular **data value** occurs.
  - Mutex implements synchronization by controlling thread access to data
- A condition variable is always used in conjunction with a mutex lock.
- Birrel proposed the condition as condition variables abstraction as well as three operations wait, signal and broadcast.

<sup>&</sup>lt;sup>2</sup>The concept of condition variables goes back to Birrel at Microsoft Research in 2003

# Condition Variables (cont'd)

- The designated variable type pthread\_cond\_t aCond;
- To block the calling thread on the condition variable aCond,
  - int pthread\_cond\_wait(pthread\_cond\_t \*restrict aCond
     , pthread\_mutex\_t \*restrict mutex);
    - The function takes two arguments, a condition variable and a mutex.
    - The calling thread must have acquired the mutex lock.
    - Note that before blocking the mutex lock is internally released. This allows other threads to also acquire the mutex lock and wait on this condition variable.
    - When this function returns, the lock is still held by this thread.

# Condition Variables (cont'd)

• To **unblock at least one** of the threads that is blocked on the specified condition variable aCond,

int pthread\_cond\_signal(pthread\_cond\_t \*cond);

- This function has no effect if no threads are blocked on the condition variable aCond.
- The unblocked thread re-acquires the associated mutex lock before returning from pthread\_cond\_wait().
- Moreover,

int pthread\_cond\_broadcast(pthread\_cond\_t \*cond);

**unblocks all** the threads that are blocked on the specified condition variable cond.

## Condition Variables (cont'd)

### • Initialization is pretty straight forward;

- - This function initializes the condition variable aCond with attributes specified by attr.
  - When attr is NULL, the default condition variable attributes are used.
- Just like threads, condition variables should be explicitly freed,

int pthread\_cond\_destroy(pthread\_cond\_t \*cond);

#### Ping-Pong Counter Example

```
#include <stdio.h>
#include <stdlib.h>
#include <unistd.h>
#include <pthread.h>
pthread_mutex_t mux[2];
pthread cond t cond[2];
volatile int count = 0;
#define THREAD MAX 2
void *playerX( void *param ) {
  long id = (intptr t) param;
 if (id==0) {
                                             //THREAD 0
    for (int i = 0; i < 5; i++) {
    pthread mutex lock(&mux[0]);
    pthread cond wait(&cond[0], &mux[0]);
    count ++;
    printf("thread %lu counter = %d\n", id, count);
    pthread cond signal(&cond[1]);
    pthread mutex_unlock(&mux[0]); } }
 else if(id==1) {
                                              //THREAD 1
  for (int i = 0; i < 5; i++) {
    pthread_mutex_lock(&mux[1]);
    pthread cond wait(&cond[1], &mux[1]);
    count ++;
    printf("thread %lu counter = %d\n", id, count);
    pthread_cond_signal(&cond[0]);
    pthread mutex unlock(&mux[1]); } }
  pthread exit(NULL); return NULL; }
```

#### Ping-Pong Counter Example (cont'd)

```
int main() {
    pthread_t thread[THREAD_MAX];
    for (int t=0;t<THREAD MAX;t++)
                                      {
      pthread mutex init (&mux[0],0); //init mutex dynamically
      pthread_cond_init(&cond[t],0); //init cond. dynamically
      pthread create(&thread[t], NULL, playerX, (void*) (intptr t) t);
    sleep(1); //give the first thread time, to get the lock
    pthread cond signal(&cond[0]); // s=s+1
    for (int t=0;t<THREAD MAX;t++)
      pthread join(thread[t], 0);
    for (int t=0;t<THREAD_MAX;t++) {</pre>
      pthread cond destroy(&cond[t]);
      pthread_mutex_destroy(&mux[t]);
    pthread exit (NULL);
    return 0;
```

### Ping-Pong Counter Example (cont'd)

#### Compile and run

```
bash-$gcc condition.c -o condition -Wall -lpthread
bash-$./condition
thread 0 counter = 1
thread 1 counter = 2
thread 0 counter = 3
thread 1 counter = 4
thread 0 counter = 5
thread 1 counter = 6
thread 0 counter = 7
thread 1 counter = 8
thread 0 counter = 9
thread 1 counter = 10
```

#### Result

 Condition Variables Signaling allows the counter to be correctly updated among threads in a ping-pong fashion.



# Quiz

#### A thread life cycle consists of







## **④** 5

states?