

Principles of Programming Languages

<http://www.di.unipi.it/~andrea/Didattica/PLP-16/>

Prof. Andrea Corradini

Department of Computer Science, Pisa

Lesson 9

- LR parsing with ambiguous grammars
- Error recovery in LR parsing
- Parser generators: yacc/bison
- Handling ambiguous grammars in yacc/bison

Using Ambiguous Grammars

- All grammars used in the construction of LR-parsing tables must be un-ambiguous
- Can we create LR-parsing tables for ambiguous grammars ?
 - Yes, but they will have conflicts
 - We can resolve these conflicts in favor of one of them to disambiguate the grammar
 - At the end, we will have again an unambiguous grammar

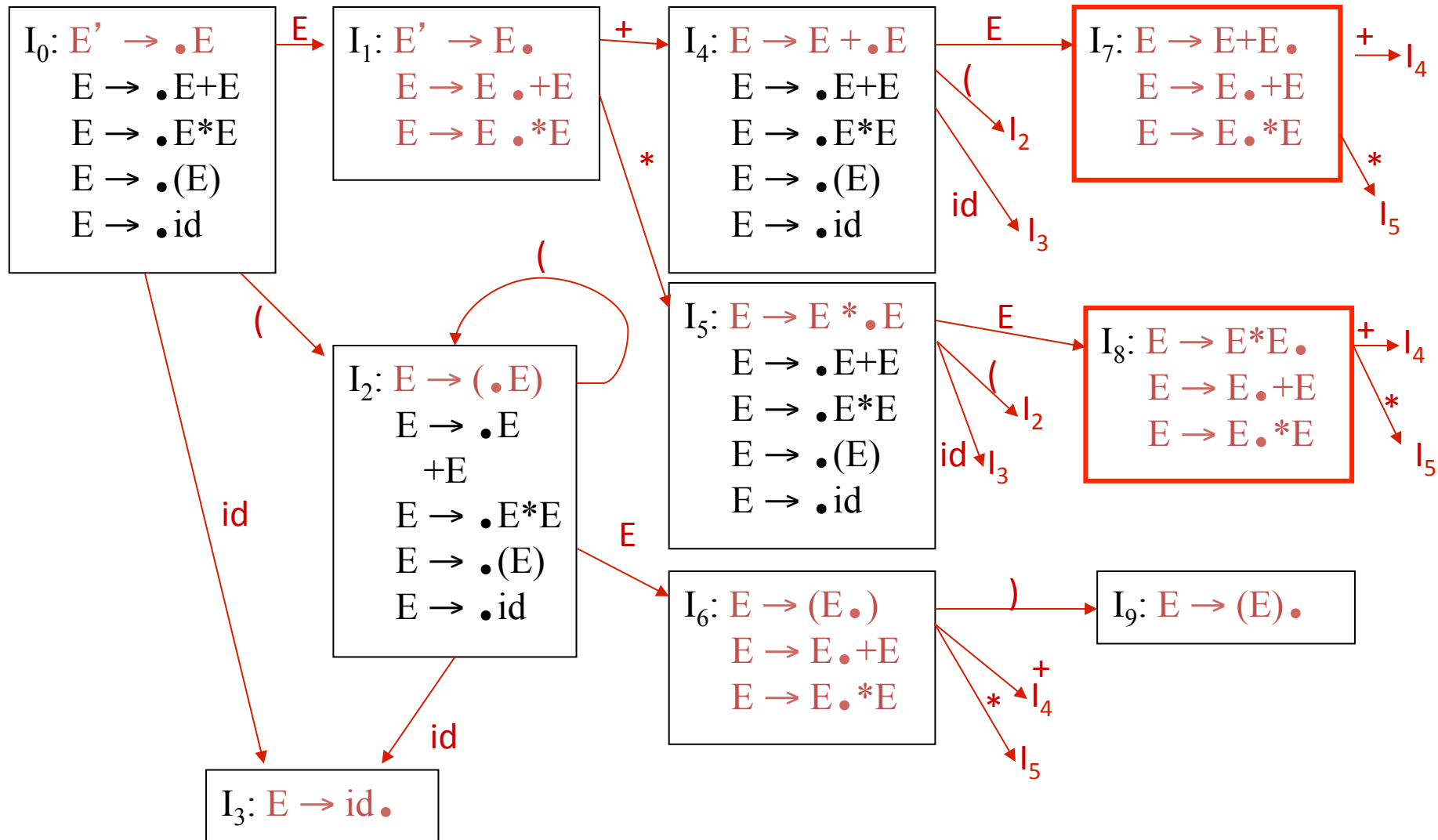
Using Ambiguous Grammars

- Why we want to use an ambiguous grammar?
 - Some of the ambiguous grammars are **much natural**, and a corresponding unambiguous grammar can be very complex
 - Usage of an ambiguous grammar may **eliminate unnecessary reductions** (*single* productions)
 - We may want to postpone/possibly change decisions about associativity/precedence of operators
- Example grammar:

$E \rightarrow E+E \mid E * E \mid (E) \mid id \rightarrow$

$E \rightarrow E+T \mid T$
$T \rightarrow T * F \mid F$
$F \rightarrow (E) \mid id$

Sets of LR(0) Items for Ambiguous Grammar



SLR-Parsing Tables for Ambiguous Grammar

	Action							Goto	
	id	+	*	()	\$			E
0	s3			s2					1
1		s4	s5			acc			
2	s3			s2					6
3		r4	r4		r4	r4			
4	s3			s2					7
5	s3			s2					8
6		s4	s5		s9				
7		r1/s4	r1/s5		r1	r1			
8		r2/s4	r2/s5		r2	r2			
9		r3	r3		r3	r3			

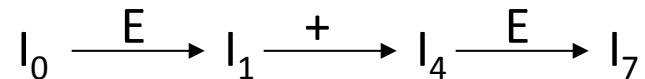
1. $E \rightarrow E+E$
2. $E \rightarrow E * E$
3. $E \rightarrow (E)$
4. $E \rightarrow id$

FOLLOW(E) =
 $\{ \$, +, *,) \}$

Resolving conflicts

State I_7 has shift/reduce conflicts for symbols $+$ and $*$.

After reading **id + id**:



when current token is $+$

shift \rightarrow $+$ is right-associative

reduce \rightarrow $+$ is left-associative

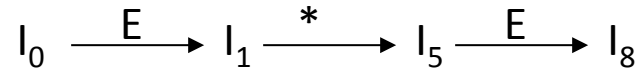
when current token is $*$

shift \rightarrow $*$ has higher precedence than $+$

reduce \rightarrow $+$ has higher precedence than $*$

Resolving conflicts

Also state I_8 has shift/reduce conflicts for symbols $+$ and $*$.
After reading **id * id**:



when current token is $*$

shift \rightarrow $*$ is right-associative

reduce \rightarrow $*$ is left-associative

when current token is $+$

shift \rightarrow $+$ has higher precedence than $*$

reduce \rightarrow $*$ has higher precedence than $+$

Disambiguated SLR-Parsing Tables

		Action					Goto	
	id	+	*	()	\$		E
0	s3			s2				1
1		s4	s5			acc		
2	s3			s2				6
3		r4	r4		r4	r4		
4	s3			s2				7
5	s3			s2				8
6		s4	s5		s9			
7		r1	s5		r1	r1		
8		r2	r2		r2	r2		
9		r3	r3		r3	r3		

1. $E \rightarrow E+E$
2. $E \rightarrow E * E$
3. $E \rightarrow (E)$
4. $E \rightarrow id$

$FOLLOW(E) =$
 $\{ \$, +, *,) \}$

Error Recovery in LR Parsing

- An LR parser will detect an error when it consults the parsing action table and finds an error entry. All empty entries in the action table are error entries.
- Errors are never detected by consulting the **goto** table.
- An LR parser will announce error as soon as there is no valid continuation for the scanned portion of the input.
- A canonical LR parser (LR(1) parser) will never make even a single reduction before announcing an error.
- The SLR and LALR parsers may make several reductions before announcing an error.
- But, all LR parsers (LR(1), LALR and SLR parsers) will never shift an erroneous input symbol onto the stack.

Panic Mode Error Recovery in LR Parsing

- Scan down the stack until a state s with a goto on a particular nonterminal \mathbf{A} is found. (Get rid of everything from the stack before this state s).
- Discard zero or more input symbols until a symbol a is found that can “legitimately follow” \mathbf{A} .
 - The symbol a is simply in $\text{FOLLOW}(\mathbf{A})$, but this may not work for all situations.
- The parser stacks the nonterminal \mathbf{A} and the state **goto**[s, \mathbf{A}], and it resumes the normal parsing.
- This nonterminal \mathbf{A} is normally is a basic programming block (there can be more than one choice for \mathbf{A}).
 - *stmt, expr, block, ...*
- Symbol a can be typically ‘;’, ‘}’

Phrase-Level Error Recovery in LR Parsing

- Each empty entry in the action table is marked with a specific error routine.
- An error routine reflects the error that the user most likely will make in that case.
- An error routine inserts the symbols into the stack or the input (or it deletes the symbols from the stack and the input, or it can do both insertion and deletion).
 - missing operand
 - unbalanced right parenthesis

Phrase-Level Error Recovery: intuition

- Suppose $abEc$ is popped and there is no production right hand side that matches $abEc$
- If there were a rhs aEc , we might issue message “illegal b on line x ”
- If the rhs is $abEdc$, we might issue message “missing d on line x ”
- If the found rhs is abc , we might issue message “illegal E on line x ”
where E stands for an appropriate syntactic category represented by non-terminal E

Disambiguated SLR-Parsing Tables

	Action						Goto
	id	+	*	()	\$	E
0	s3			s2			1
1		s4	s5			acc	
2	s3			s2			6
3		r4	r4		r4	r4	
4	s3			s2			7
5	s3			s2			8
6		s4	s5		s9		
7		r1	s5		r1	r1	
8		r2	r2		r2	r2	
9		r3	r3		r3	r3	

1. $E \rightarrow E+E$
2. $E \rightarrow E * E$
3. $E \rightarrow (E)$
4. $E \rightarrow id$

$FOLLOW(E) =$
 $\{ \$, +, *,) \}$

Disambiguated SLR-Parsing Tables with error routines

		Action						Goto	
	id	+	*	()	\$		E	
0	s3	E1	E1	s2	E2	E1		1	
1	E3	s4	s5	E3	E2	acc			
2	s3	E1	E1	s2	E2	E1		6	
3	r4	r4	r4	r4	r4	r4			
4	s3	E1	E1	s2	E2	E1		7	
5	s3	E1	E1	s2	E2	E1		8	
6	E3	s4	s5	E3	s9	E4			
7	r1	r1	s5	r1	r1	r1			
8	r2	r2	r2	r2	r2	r2			
9	r3	r3	r3	r3	r3	r3			

1. $E \rightarrow E+E$
2. $E \rightarrow E*E$
3. $E \rightarrow (E)$
4. $E \rightarrow id$

FOLLOW(E) =
 $\{ \$, +, *,) \}$

Phrase-Level Error Recovery: example

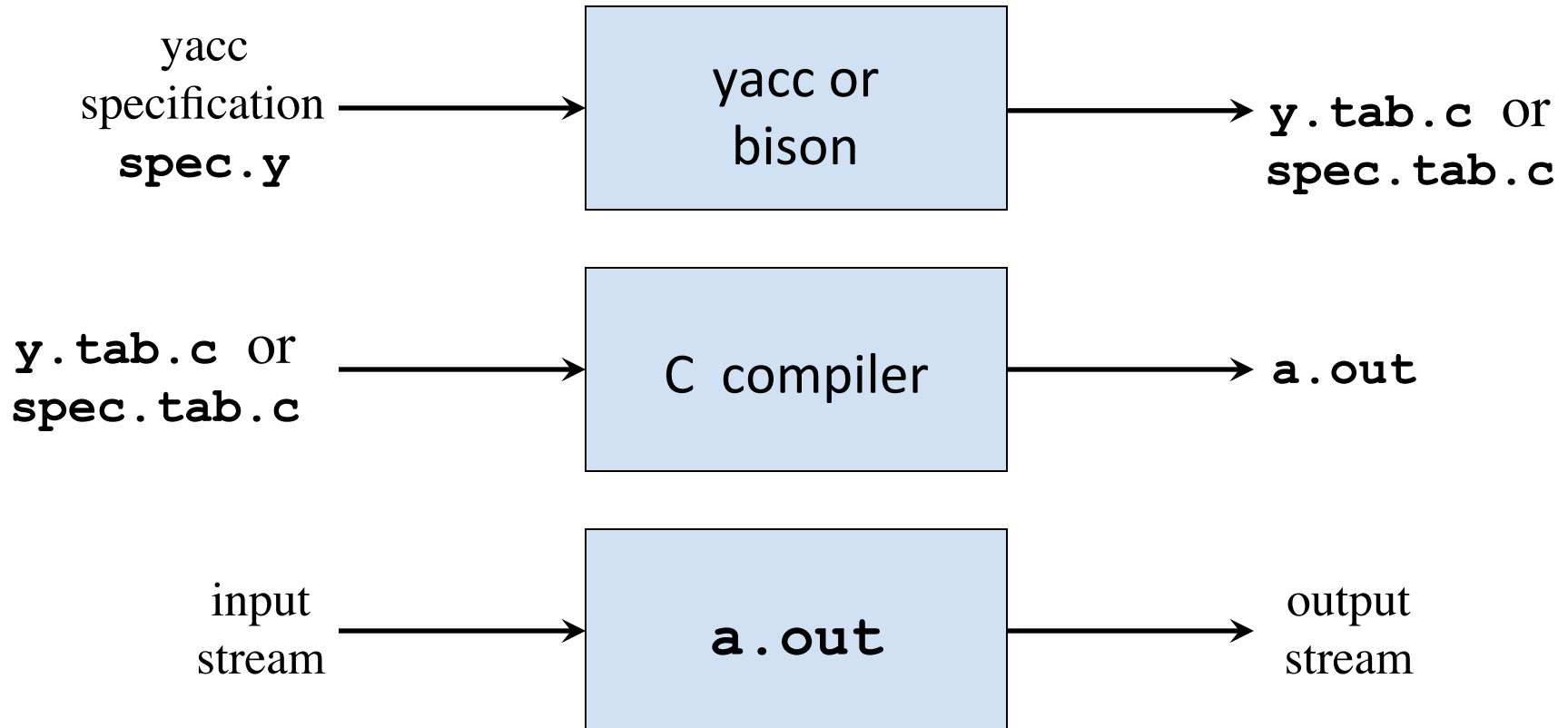
- **E1:** /* called when operand expected: '(' or 'id', but '+', '*' or '\$' found */
 - push 'id' (state 3) onto the stack
 - print “missing operand”
- **E2:** /* called when unexpected ')' is found */
 - delete ')' from the input
 - print “unbalanced right parenthesis”
- **E3:** /* called when expecting an operator, but 'id' or '(' found/
 - push '+' (state 4) onto the stack
 - print “missing operator”
- **E4:** /* called from state 6 when end of input is found */
 - print “missing right parenthesis”

PARSER GENERATORS

Parser Generators: ANTLR, Yacc, and Bison

- *ANTLR* tool
 - Generates LL(k) parsers
- *Yacc* (Yet Another Compiler Compiler)
 - Generates LALR parsers
- *Bison*
 - Improved version of Yacc (GNU project)

Creating an LALR(1) Parser with Yacc/Bison



Yacc Specification

- A **yacc specification** consists of three parts:
- **yacc declarations**, and C declarations within `%{ %}`
`%%`
translation rules (*productions + semantic actions*)
`%%`
user-defined auxiliary procedures
- The *translation rules* are productions with actions:
 $production_1 \quad \{ semantic\ action_1 \}$
 $production_2 \quad \{ semantic\ action_2 \}$
...
 $production_n \quad \{ semantic\ action_n \}$

Writing a Grammar in Yacc

- Productions $head \rightarrow body_1 \mid body_2 \mid \dots \mid body_n \mid \varepsilon$
becomes in Yacc

```
head : body1 { semantic action1 }  
      | body2 { semantic action2 }  
      ...  
      | /* empty */  
      ;
```

- Tokens (terminals) can be:
 - Quoted single characters, e.g. ' + ', with corresponding ASCII code
 - Identifiers declared as tokens in the declaration part using
`%token TokenName`
- Nonterminals:
 - Arbitrary strings of letters and digits (not declared as tokens)

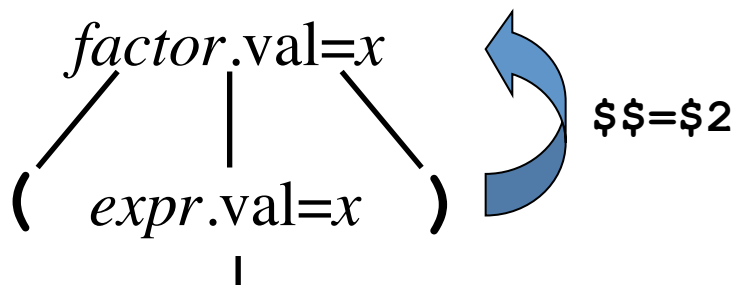
Semantic Actions and Synthesized Attributes

- **Semantic actions** are sequences of C statements, and may refer to values of the *synthesized attributes* of terminals and nonterminals in a production:

$$X : Y_1 Y_2 Y_3 \dots Y_n \{ \text{action} \}$$

- $\$\$$ refers to the value of the attribute of X
- $\$i$ refers to the value of the attribute of Y_i

- For example

$$\text{factor} : \text{'(' expr ')' } \{ \$\$=\$2; \}$$


- The values associated with tokens (terminals) are those returned by the lexer

An S-attributed Grammar for a simple desk calculator

The grammar

```
line → expr '\n'  
expr → expr + term | term  
term → term * factor | factor  
factor → (expr) | DIGIT
```

```
%token DIGIT  
%%  
line    : expr '\n'    { printf(“= %d\n”, $1); }  
;  
expr    : expr '+' term { $$ = $1 + $3; }  
        | term         { $$ = $1; }  
;  
term    : term '*' factor { $$ = $1 * $3; }  
        | factor        { $$ = $1; }  
;  
factor  : '(' expr ')'  { $$ = $2; }  
        | DIGIT        { $$ = $1; }  
;  
%%
```

Also results in definition of
#define DIGIT xxx

Attribute of
term (parent)

Attribute of **term** (child)

Attribute of token

A simple desk calculator

```
%{ #include <ctype.h> %}  
%token DIGIT  
%%  
line      : expr '\n'      { printf(“= %d\n”, $1); }  
          ;  
expr      : expr '+' term  { $$ = $1 + $3; }  
          | term           { $$ = $1; }  
          ;  
term      : term '*' factor { $$ = $1 * $3; }  
          | factor         { $$ = $1; }  
          ;  
factor    : '(' expr ')'   { $$ = $2; }  
          | DIGIT          { $$ = $1; }  
          ;
```

```
%%  
int yylex()  
{ int c = getchar();  
  if (isdigit(c))  
  { yylval = c - '0';  
    return DIGIT;  
  }  
  return c;  
}
```

Very simple lexical
analyzer invoked
by the parser

Attribute of token
(stored in **yylval**)

The grammar
line → expr '\n'
expr → expr + term | term
term → term * factor | factor
factor → (expr) | **DIGIT**

Bottom-up Evaluation of S-Attributed Definitions in Yacc

Stack	val	Input	Action	Semantic Rule
\$	_	3*5+4n\$	shift	
\$ 3	3	*5+4n\$	reduce $F \rightarrow \mathbf{digit}$	$$$ = \1
\$ F	3	*5+4n\$	reduce $T \rightarrow F$	$$$ = \1
\$ T	3	*5+4n\$	shift	
\$ T *	3 _	5+4n\$	shift	
\$ T * 5	3 _ 5	+4n\$	reduce $F \rightarrow \mathbf{digit}$	$$$ = \1
\$ T * F	3 _ 5	+4n\$	reduce $T \rightarrow T * F$	$$$ = \$1 * \$3$
\$ T	15	+4n\$	reduce $E \rightarrow T$	$$$ = \1
\$ E	15	+4n\$	shift	
\$ E +	15 _	4n\$	shift	
\$ E + 4	15 _ 4	n\$	reduce $F \rightarrow \mathbf{digit}$	$$$ = \1
\$ E + F	15 _ 4	n\$	reduce $T \rightarrow F$	$$$ = \1
\$ E + T	15 _ 4	n\$	reduce $E \rightarrow E + T$	$$$ = \$1 + \$3$
\$ E	19	n\$	shift	
\$ E n	19 _	\$	reduce $L \rightarrow E \mathbf{n}$	<i>print</i> \$1
\$ L	19	\$	accept	

Dealing With Ambiguous Grammars

- By defining operator precedence levels and left/right associativity of the operators, we can specify ambiguous grammars in Yacc, such as
$$E \rightarrow E+E \mid E-E \mid E * E \mid E / E \mid (E) \mid -E \mid \text{num}$$
- Yacc resolves conflicts, by default, as follows:
 - **Reduce/reduce** conflict: precedence to first production in the specification
 - **Shift/reduce** conflict: precedence to shift
 - ok for *if-then-else*
 - infix binary operators are handled as **right-associative!**

Example: PlusTimesCalculator-flat

```
%token NUMBER
%%
lines : expr '\n'      { printf("= %g\n", $1); }
expr  : expr '+' expr  { $$ = $1 + $3; }
      | expr '*' expr  { $$ = $1 * $3; }
      | NUMBER
      ;
%%
```

- bison's warning:
conflicts: 4 shift/reduce

```
> ./PlusTimesCalculator-flat
1+2*3+4*5
=?
=? /* right associate, no precedence */
= 47 (!)
```

State 8 conflicts: 2 shift/reduce
State 9 conflicts: 2 shift/reduce

...

state 8

2 expr: expr . '+' expr

2 | expr '+' expr .

3 | expr . '*' expr

'+' shift, and go to state 6

'*' shift, and go to state 7

'+' [reduce using rule 2 (expr)]

'*' [reduce using rule 2 (expr)]

\$default reduce using rule 2 (expr)

Ambiguous Grammars in bison

- To define precedence levels and associativity in Yacc's declaration part, list tokens in order of increasing precedence, prefixed by `%left` or `%right`:

```
%left '+' '-' //same precedence, associate left
%left '*' '/'
%right UMINUS
```

- If tokens have precedence, productions also have, equal to that of the rightmost terminal in the body. In this case:
 - **Shift/reduce** conflict are resolved with **reduce** if the production has higher precedence than the input symbol, or if they are equal and are left-associative.

Example: PlusTimesCalculator

```
%token NUMBER /* tokens listed in increasing order of precedence */
%left '+'
%left '*'
%%
lines : expr '\n'      { printf("= %g\n", $1); }
expr  : expr '+' expr  { $$ = $1 + $3; }
      | expr '*' expr  { $$ = $1 * $3; }
      | NUMBER
      ;
%%
```

- No warnings by bison

```
> ./PlusTimesCalculator-flat
1+2*3+4*5
= 27 /* correct precedence */
```

state 8

```
2 expr: expr . '+' expr
2   | expr '+' expr .
3   | expr . '*' expr
```

'*' shift, and go to state 6

\$default reduce using rule 2 (expr)

A more advanced calculator

```
%{
#include <ctype.h>
#include <stdio.h>
#define YYSTYPE double
%}

%token NUMBER /* tokens listed in increasing order of precedence */
%left '+' '-'
%left '*' '/'
%right UMINUS /* fake token with highest precedence, used below */
%%

lines : lines expr '\n' { printf(“= %g\n”, $2); }
      | lines '\n'
      | /* empty */
      ;


expr: expr '+' expr { $$ = $1 + $3; }
     | expr '-' expr { $$ = $1 - $3; }
     | expr '*' expr { $$ = $1 * $3; }
     | expr '/' expr { $$ = $1 / $3; }
     | '(' expr ')' { $$ = $2; }
     | '-' expr %prec UMINUS { $$ = -$2; } /* rule with highest precedence */
     | NUMBER
     ;

%%
```

Double type for attributes
and `yylval`

A more advanced calculator (cont'd)

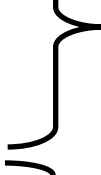
```
%%  
int yylex()  
{ int c;  
  while ((c = getchar()) == ' ')  
    ;  
  if ((c == '.') || isdigit(c))  
  { ungetc(c, stdin);  
    scanf("%lf", &yylval);  
    return NUMBER;  
  }  
  return c;  
}  
int main()  
{ if (yyparse() != 0)  
  fprintf(stderr, "Abnormal exit\n");  
  return 0;  
}  
int yyerror(char *s)  
{ fprintf(stderr, "Error: %s\n", s);  
}
```



Crude lexical analyzer for
fp doubles and arithmetic
operators



Run the parser

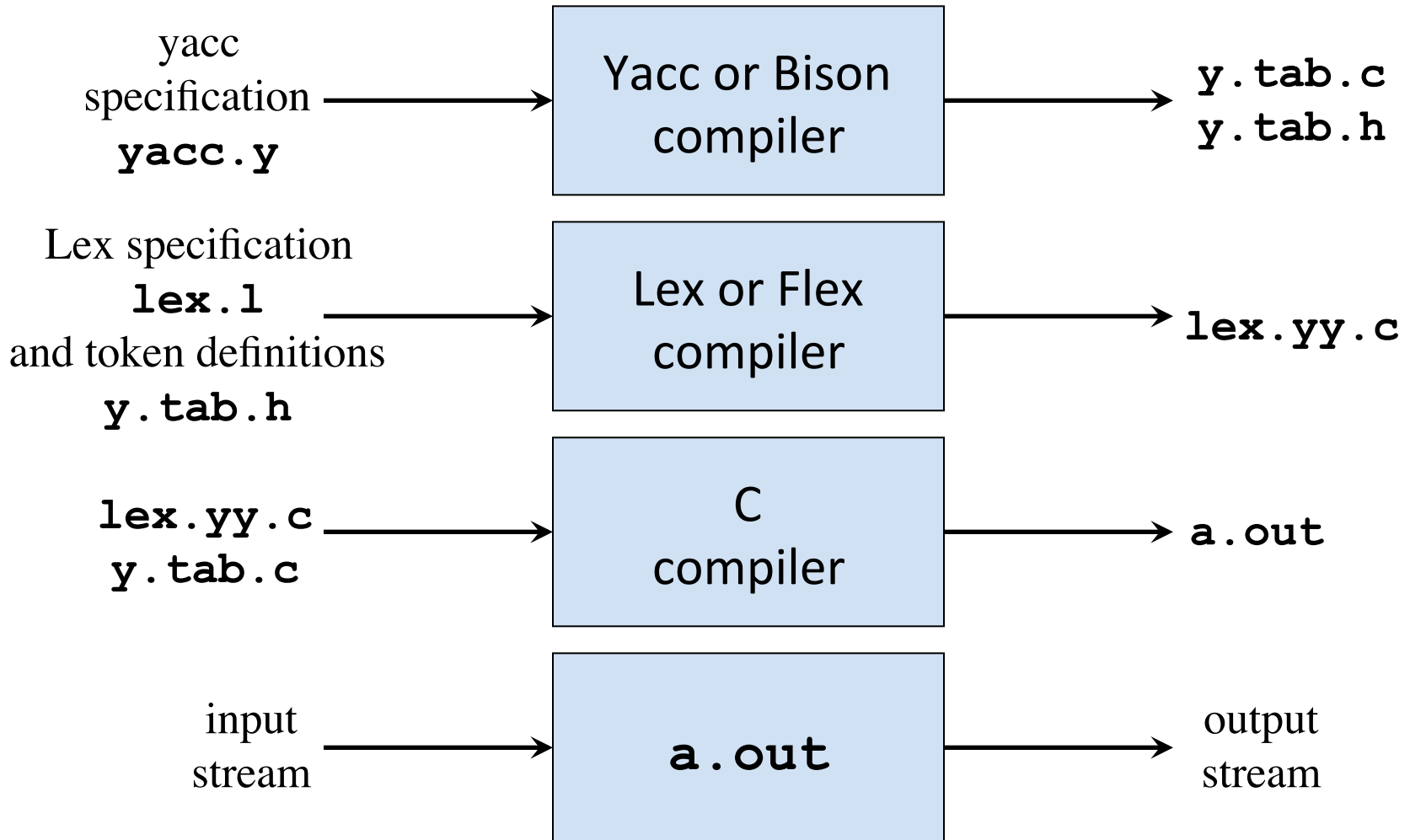


Invoked by parser
to report parse errors

Dealing With Ambiguous Grammars (summary)

- Yacc does not report about conflicts that are solved using user-defined precedences
- It reports conflicts that are resolved with default rules
- To visit the automaton and the LALR parsing table generated, execute Bison/Yacc with option **-v**, and read the **<filename>.output** file
- This allows to see where conflicts were generated, and if the parser resolved them correctly
- Graphical representation of the automaton using Bison/Yacc with option **-g**. Output should be in **dot** format

Combining Lex/Flex with Yacc/Bison



Lex Specification for Advanced Calculator

```
%option noyywrap
%{
#define YYSTYPE double
#include "y.tab.h"
extern double yylval;
}%
number [0-9]+\.|[0-9]*\.[0-9]+
%%
[ ] { /* skip blanks */ }
{number} { sscanf(yytext, "%lf", &yylval);
          return NUMBER;
}
\n|. { return yytext[0]; }
```

Generated by Yacc, contains
`#define NUMBER xxx`

Defined in `y.tab.c`

```
yacc -d example2.y
lex example2.l
gcc y.tab.c lex.yy.c
./a.out
```

```
bison -d -y example2.y
flex example2.l
gcc y.tab.c lex.yy.c
./a.out
```

Error Recovery in Yacc

- Based on error productions of the form $A \rightarrow error\ a$

```
%{  
...  
%}  
...  
%%  
lines : lines expr '\n' { printf("%g\n", $2; }  
      | lines '\n'  
      | /* empty */  
      | error '\n' { yyerror("reenter last line: ");  
                  yyerrok;  
...  
;
```

Error production:
set error mode and
skip input until newline

Reset parser to normal mode